



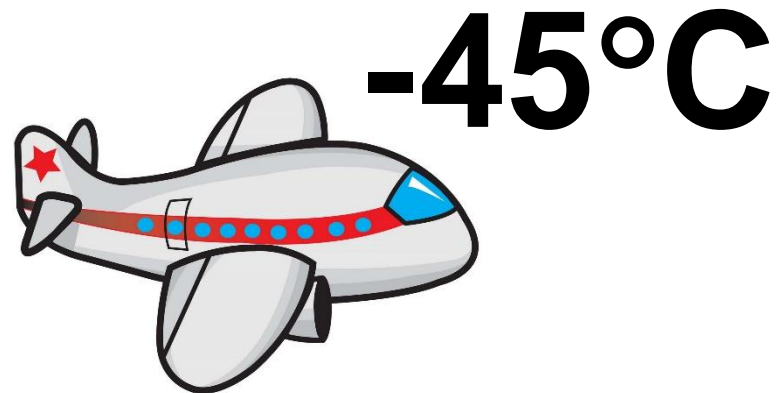
# UNSW Spillway Erosion Project

Dr Steven Pells, Dr Kurt Douglas, Prof. Robin Fell, Prof. Bill Peirson

Never Stand Still

Faculty of Engineering

School of Civil and Environmental Engineering



# Dams Risk – Spillway Rock Erosion

- Backward erosion piping of dams and levees
- Global backward erosion piping in dams
- Prediction and numerical modelling of cracking in embankment dams
- Prediction of potential erosion in unlined spillways

# The 'Dams Risk' Project



Australian Government  
Australian Research Council



**ELFORSK**



**URS**

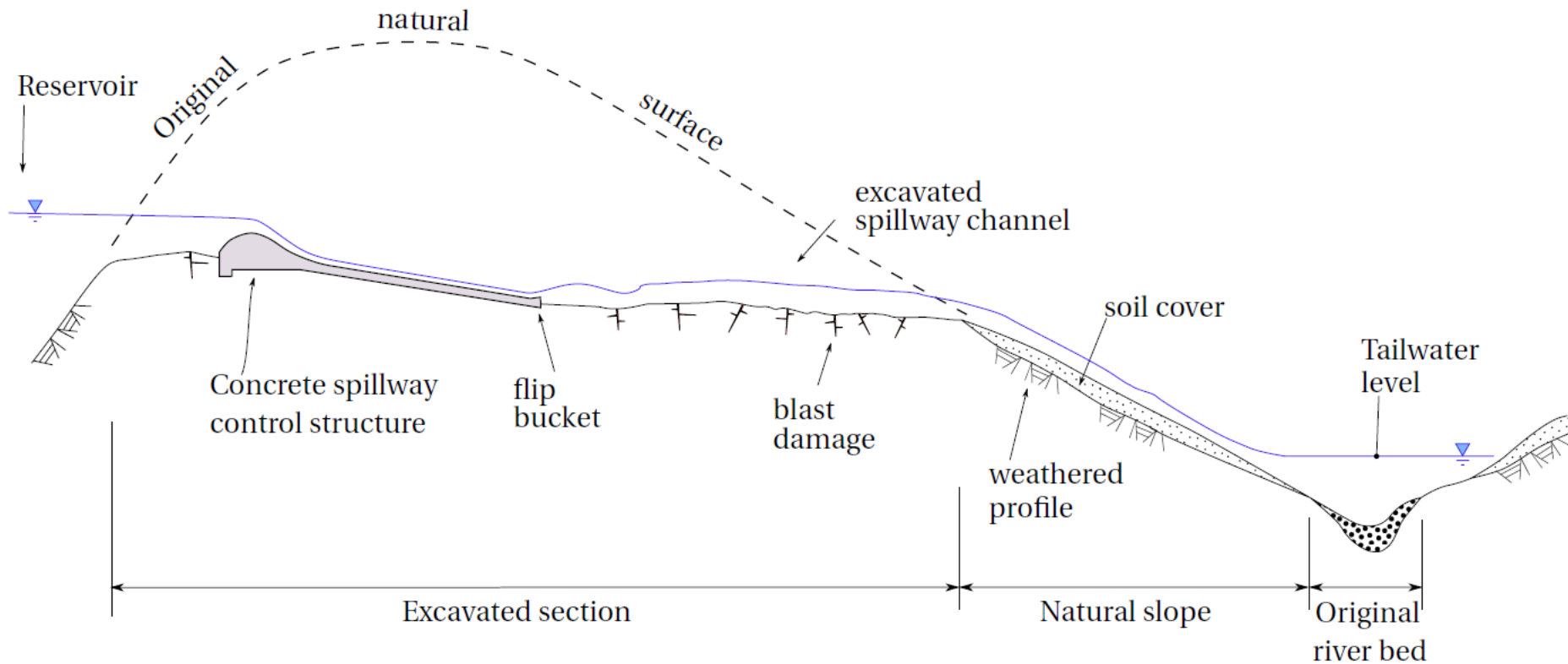


# Dams Risk – Spillway Rock Erosion

- Prediction of potential erosion in unlined spillways
  - Field studies of dam spillways from dams around Australia and South Africa (plus documented USA) with significant erosion and/or flows.
  - Laboratory flume studies focussing on potential pressure variations that can be induced in rock joints from parallel spillway flow.
  - New empirical and analytical methods of quantifying erosion created.



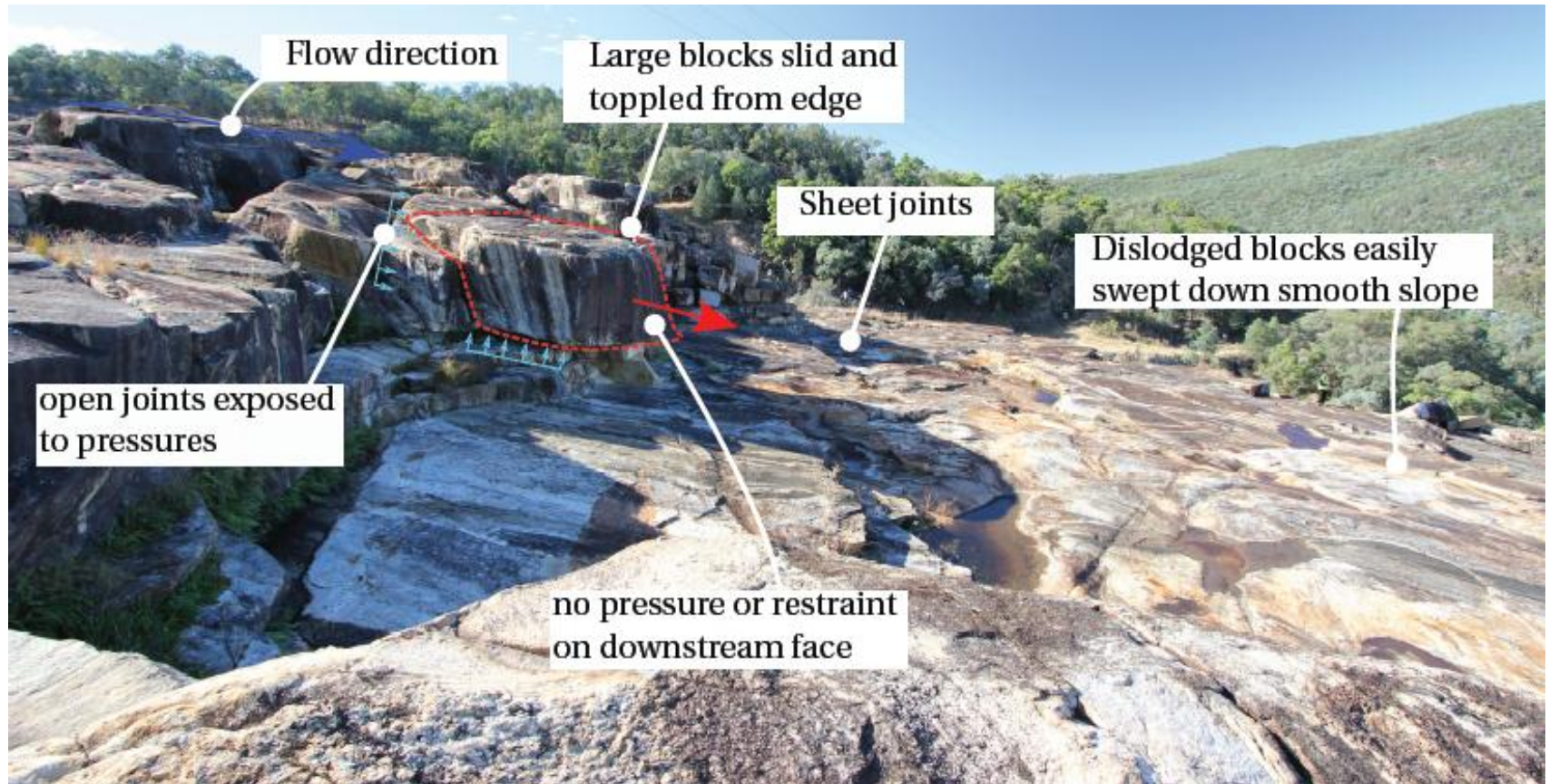
# Erosion Mechanisms



# Erosion Mechanisms

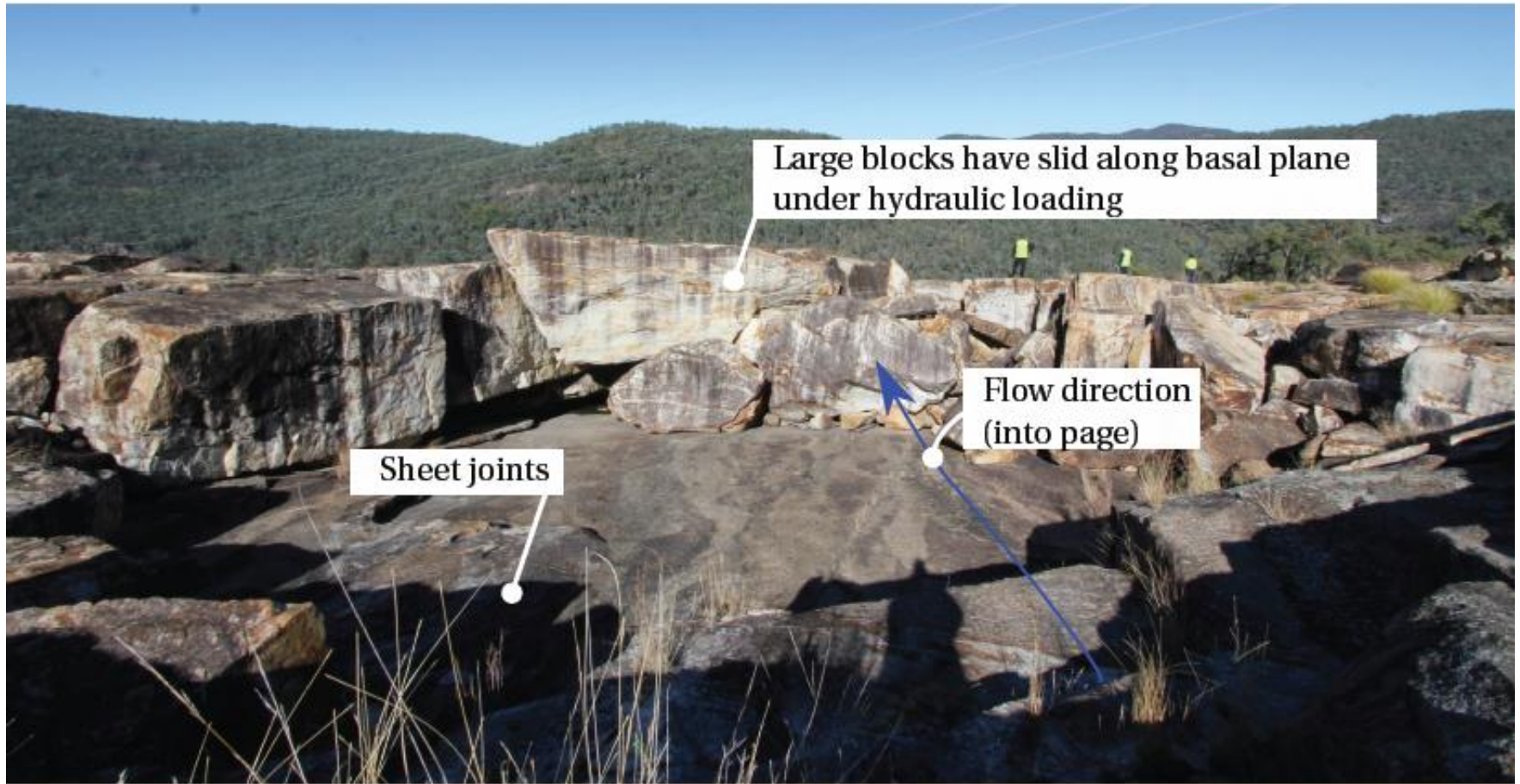
- Requires consideration of BOTH the:
  - Geological Domains - primarily governed by the structural geology.
  - Hydraulics – primarily the spillway geometry and roughness and the direction of flow with respect to major defect orientations.
- Combined they are considered Erosion Domains
- Mechanisms are often very similar to slope instability mechanisms exacerbated by sub-horizontal water pressures.

# Erosion Mechanisms – Copeton Dam





# Erosion Mechanisms – Copeton Dam



Sheet joints

Large blocks have slid along basal plane under hydraulic loading

Flow direction (into page)

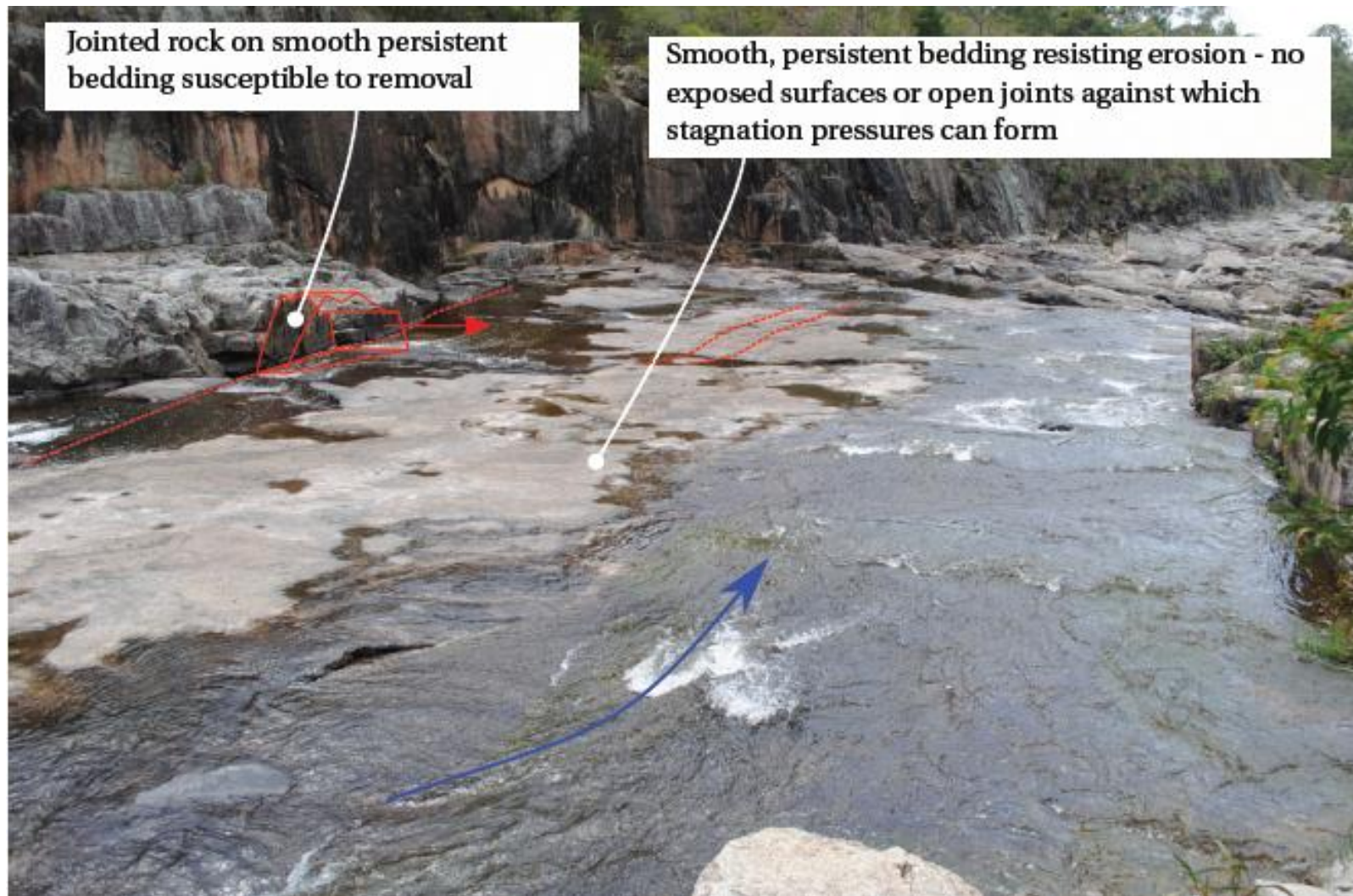


# Erosion Mechanisms – Anthony Dam





# Erosion Mechanisms - Brogo Dam

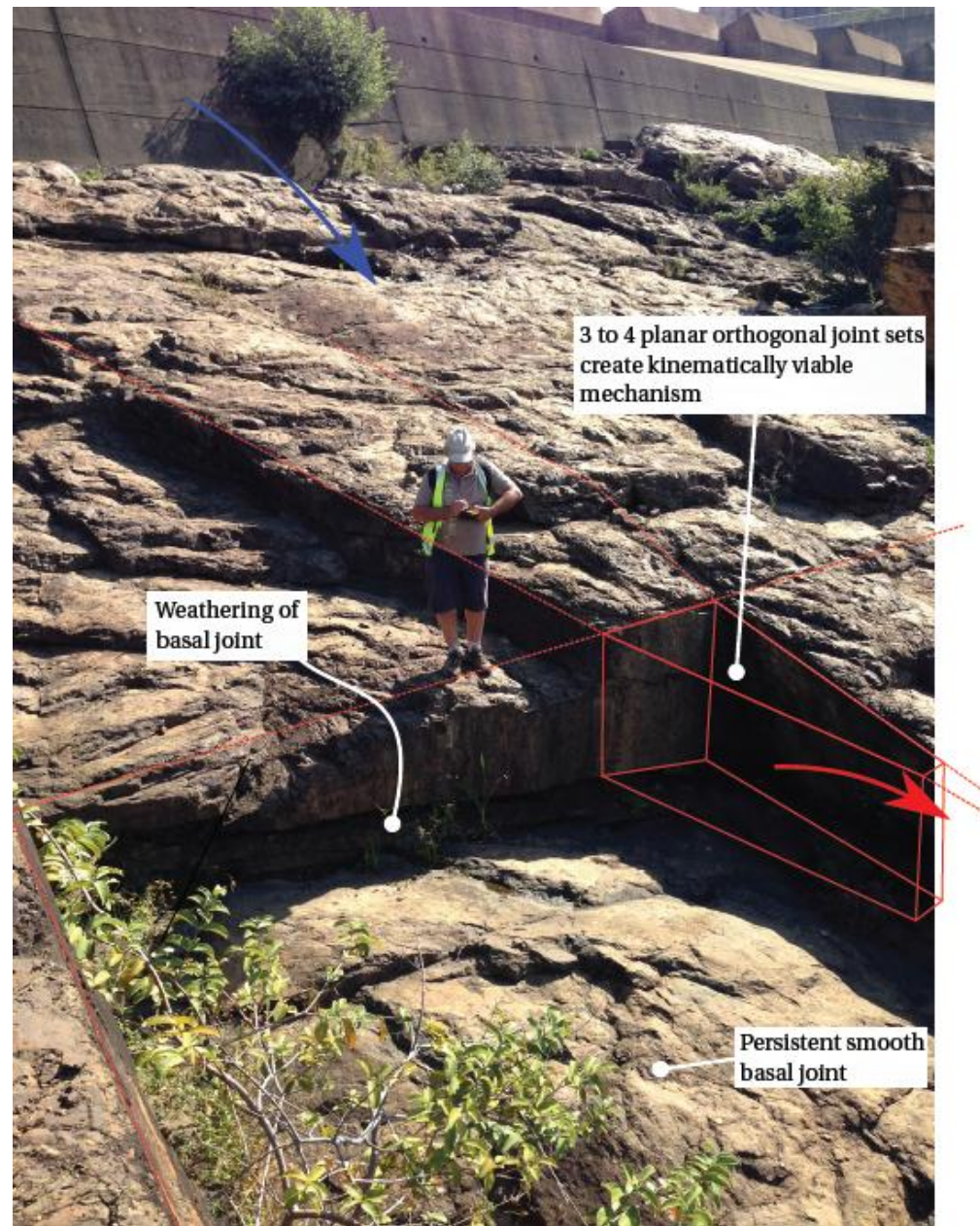


# Erosion Mechanisms – Harding Dam





# Erosion Mechanisms – Goedertrouw Dam



# Erosion Mechanisms – Klipfontein Dam



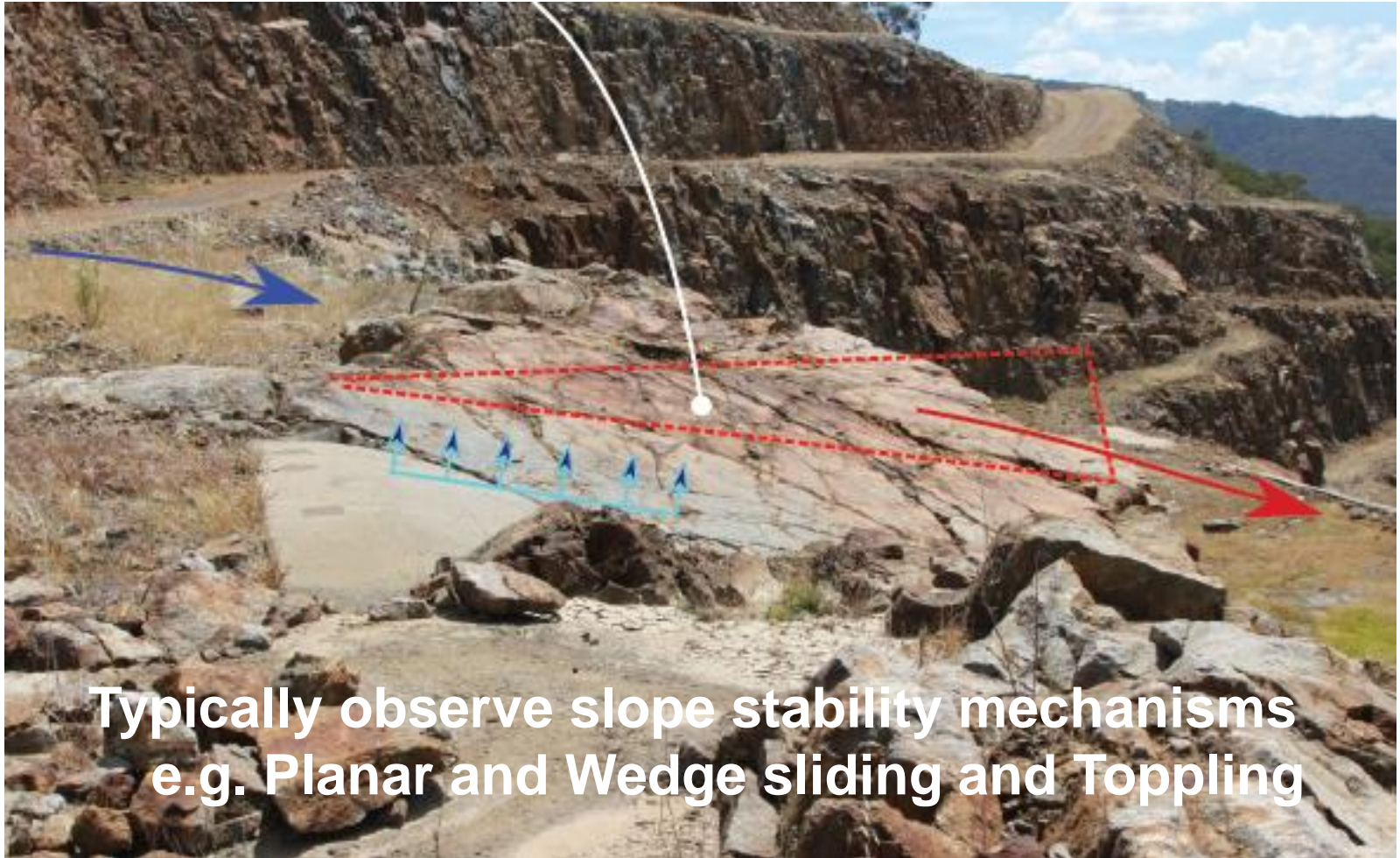


# Erosion Mechanisms – Applethwaite Dam



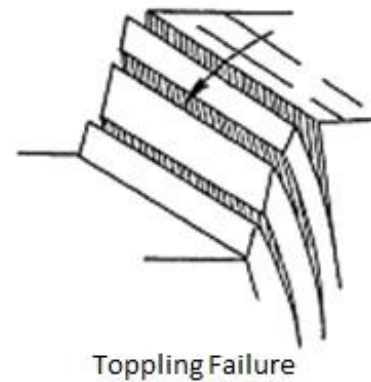
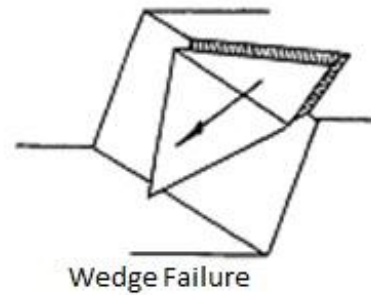
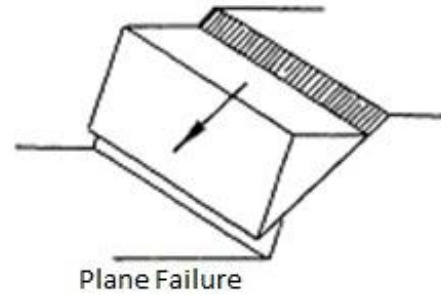


# Erosion Mechanisms –Dartmouth Dam



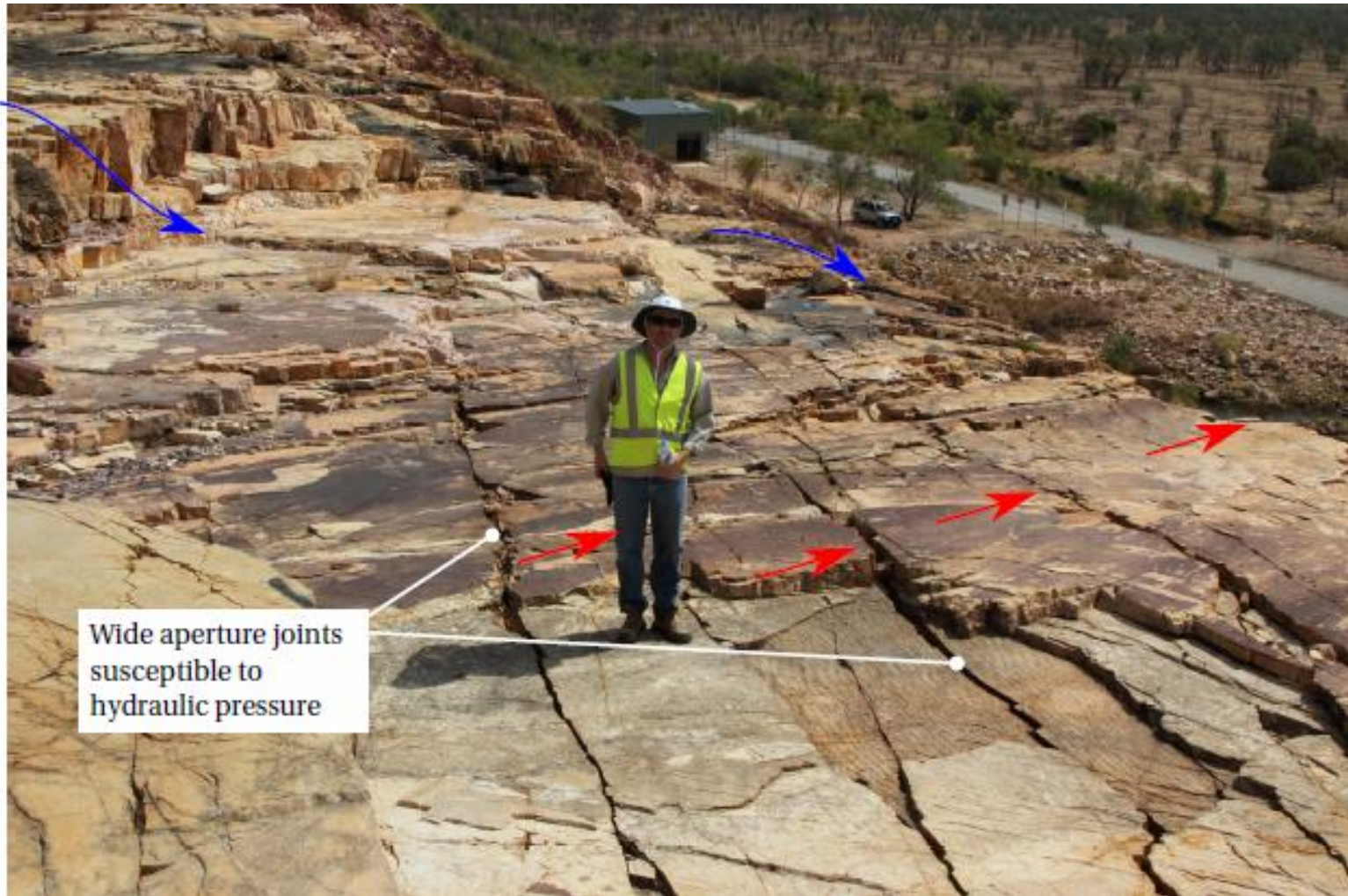
Typically observe slope stability mechanisms  
e.g. Planar and Wedge sliding and Toppling

# Simple slope stability mechanisms





# Erosion Mechanisms – Moochalabra Dam



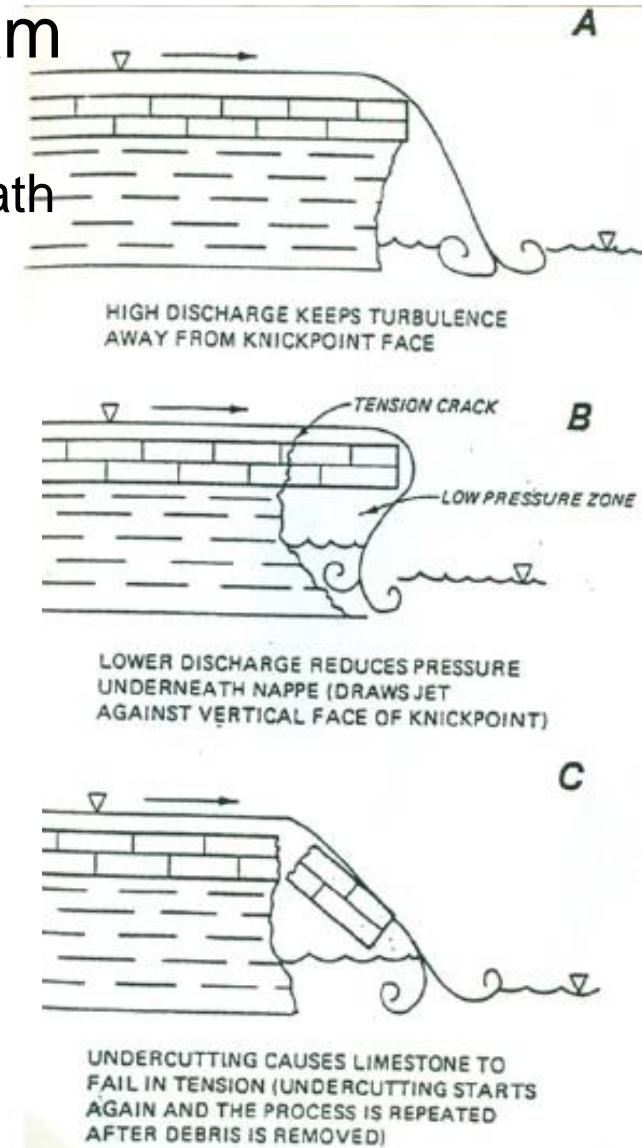
# Erosion Mechanisms – Moochalabra Dam





# Erosion Mechanisms – Tuttle Ck Dam

Headcutting – erosion of weak shale beds beneath stronger limestone beds (courtesy USACE)



# Erosion Mechanisms – Dartmouth Dam





# Erosion Mechanisms – Pindari Dam



# Erosion Mechanisms - Copeton Dam

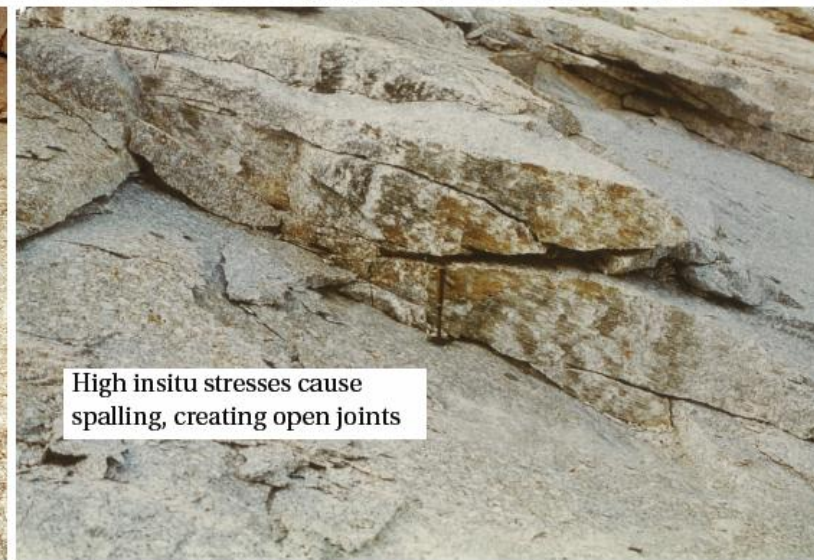
Fault zone  
+  
Sheet joints  
+  
High stress  
=





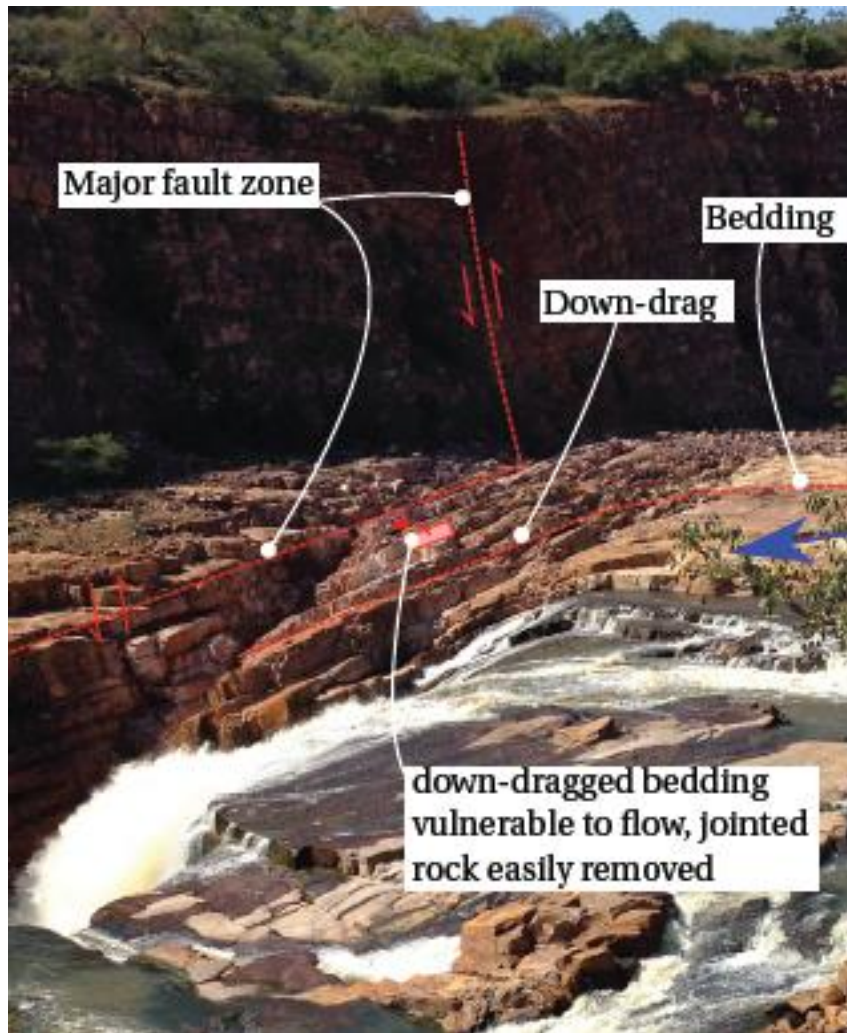
# Erosion Mechanisms - Copeton Dam

(Depth approx. 30m)

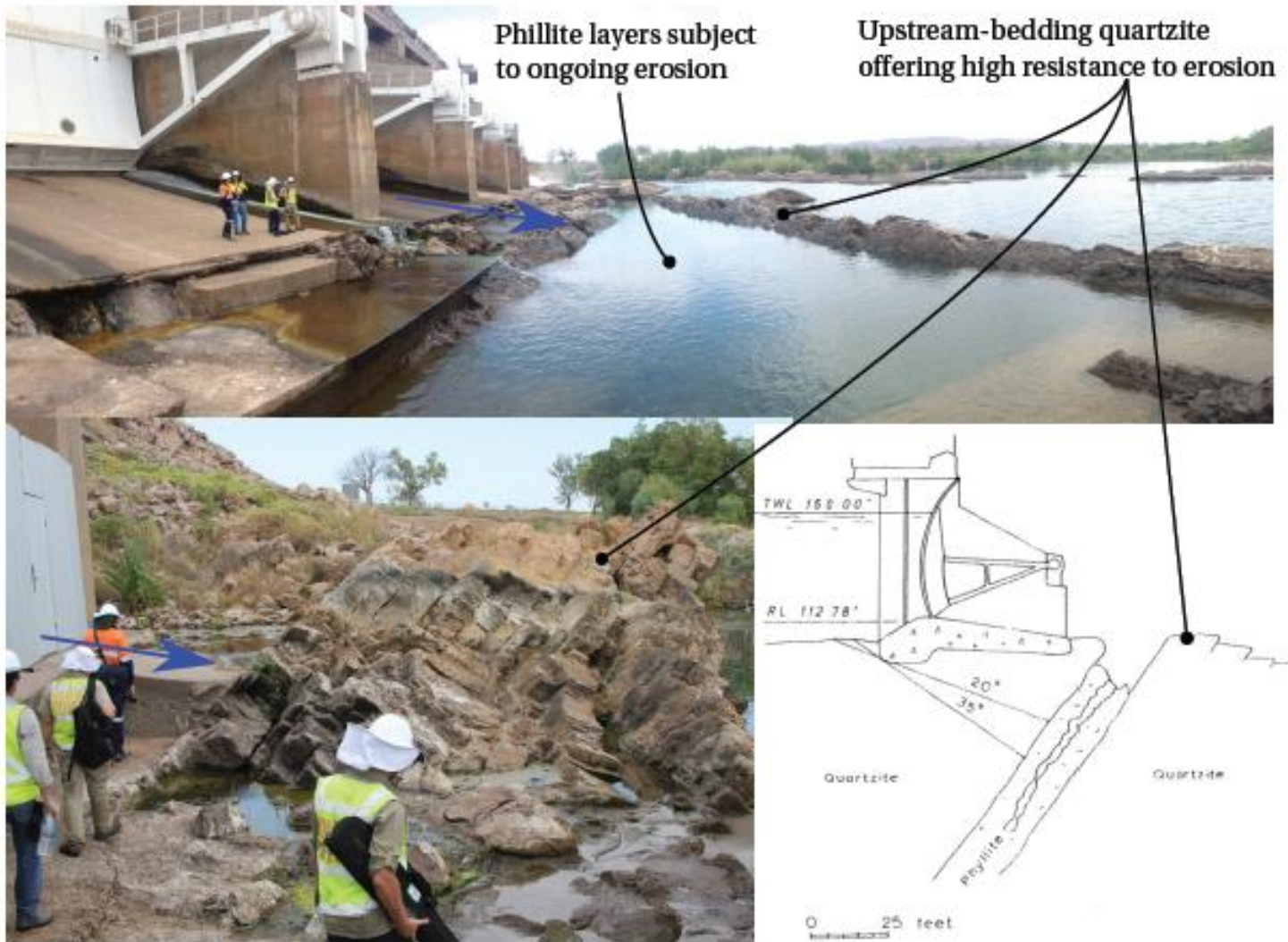




# Erosion Mechanisms – Mokolo Dam

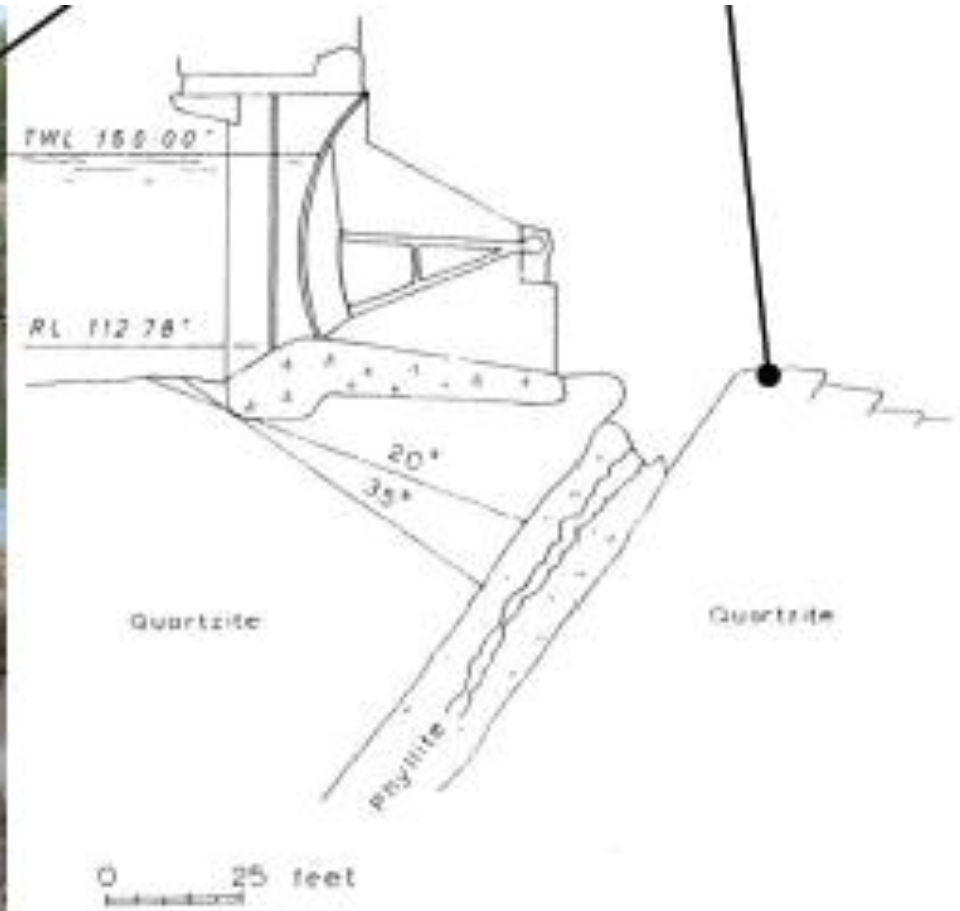


# Erosion Mechanisms – Kununurra Diversion Dam





# Erosion Mechanisms – Kununurra Diversion Dam



# Erosion Mechanisms – Garden Route Dam





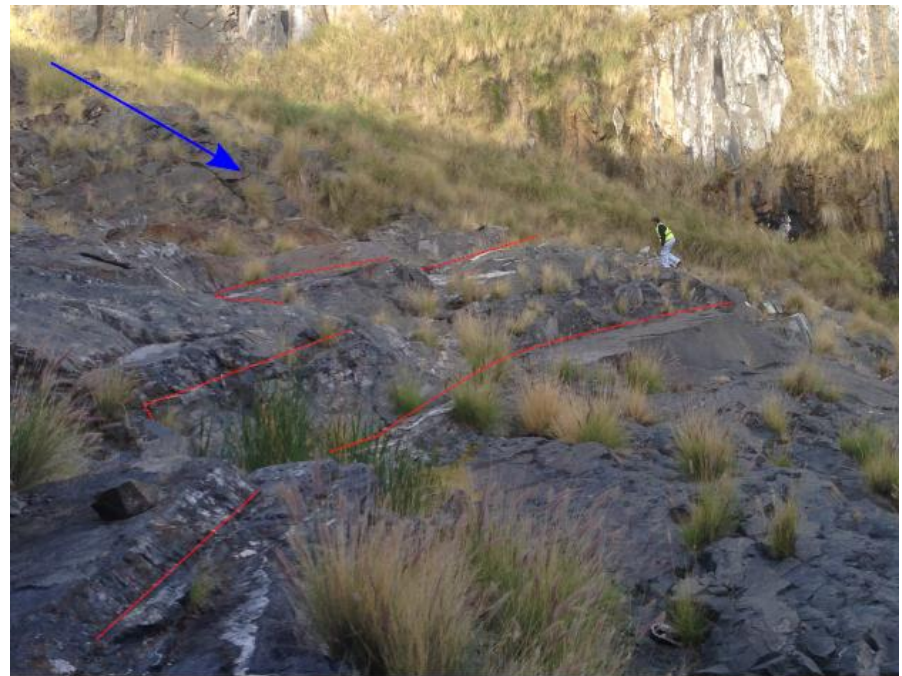
# Erosion Mechanisms – Hartebeespoort Dam



# Erosion Mechanisms

## Split Rock Dam

Persistent joins dipping upstream resist erosion (except where blast damaged)





# Erosion Mechanisms – Mackenzie Dam (top) Anthony Dam (bottom)



Closely jointed (foliated) structure.  
Small blocks would be easily transported  
but are difficult to remove due to  
elongated shape



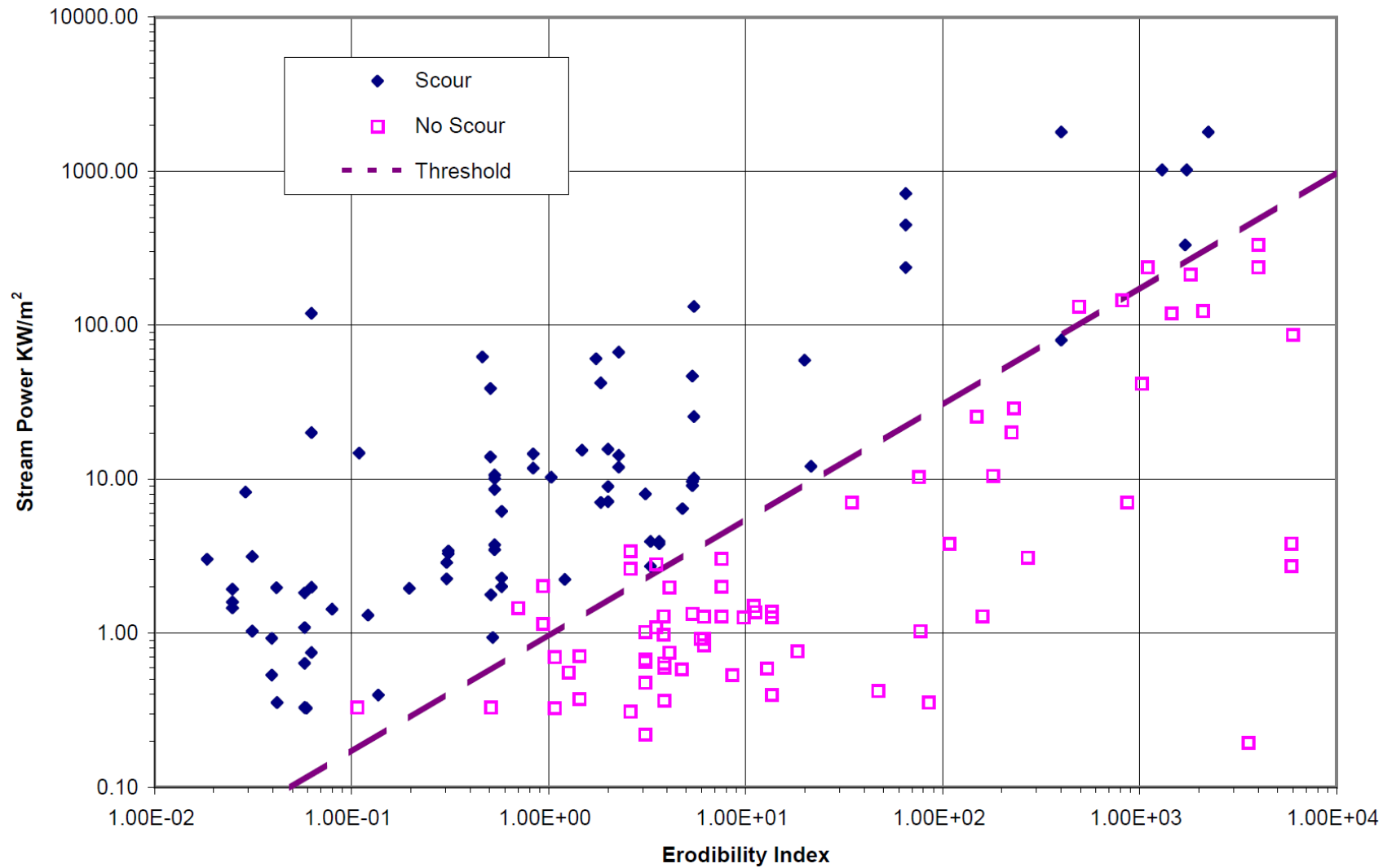


# Rowallan dam









# Rock Mass Indices

Bieniawski 'RMR' (1974)

$$RMR = \sum(\text{classification parameters}) + \text{discontinuity orientation adjustment}$$

Barton (1974) 'Rock mass quality' ('Q-system')

$$Q = \left( \frac{RQD}{J_n} \right) \left( \frac{J_r}{J_a} \right) \left( \frac{J_w}{SRF} \right)$$

Hoek (1995) 'Geological Strength Index' ('GSI')

$$GSI = RMR'_{76} = F_1 + F_2 + F_3 + F_4 + 10$$

Kirsten (1982) 'excavatability' ('Kirsten Index')

$$K = \left( \frac{RQD}{J_n} \right) \left( \frac{J_r}{J_a} \right) M_s J_s$$



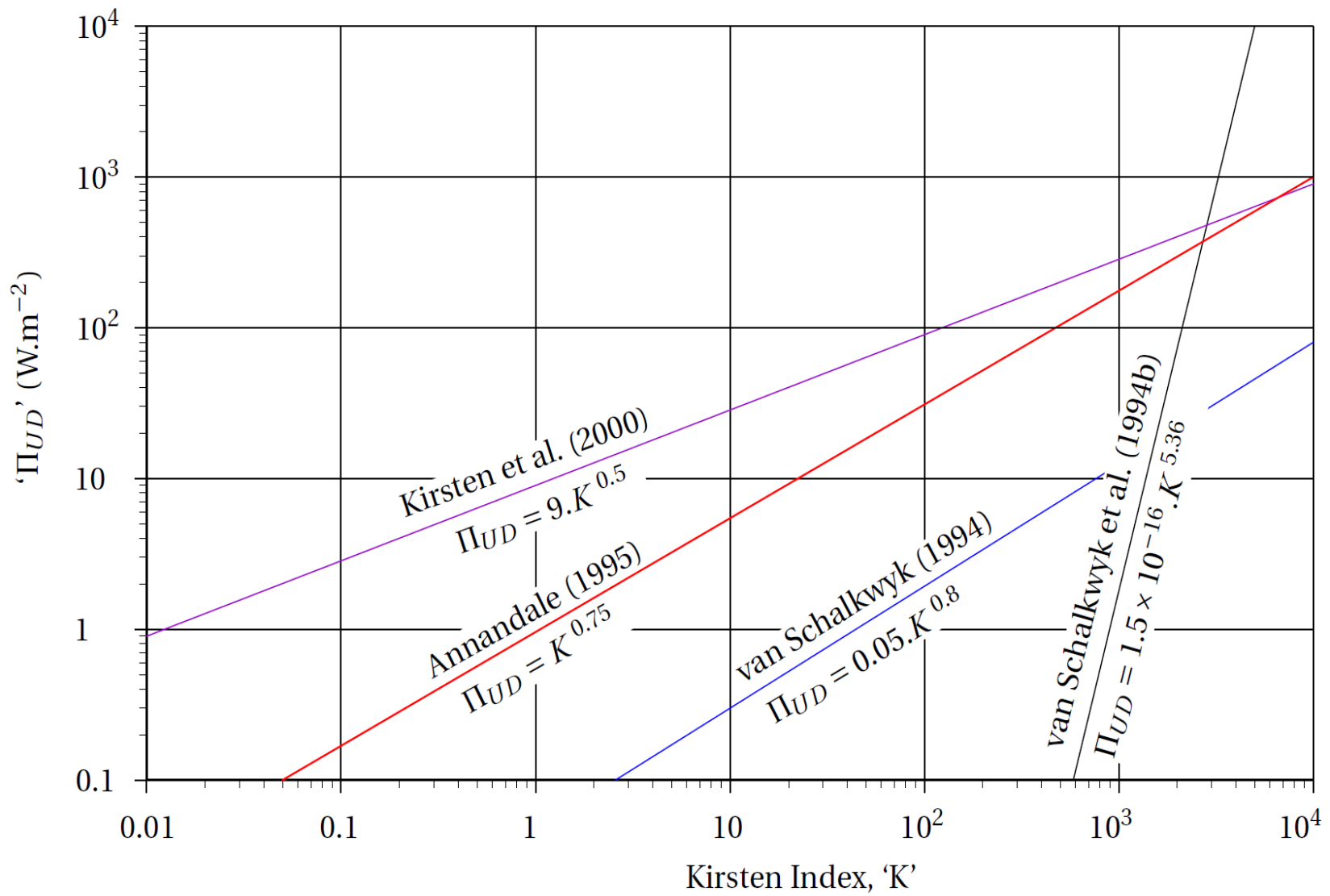
# Rock Mass Indices

1. ROCK QUALITY DESIGNATION ( $R_{QD}$ )			4. JOINT ALTERATION NUMBER ( $J_a$ )		$\varphi_r$ (approx.)		
A.	Very poor	0—25	(a) <i>Rock wall contact</i>			Note: (i) Values of $(\varphi)_r$ are intended as an approximate guide to the mineralogical properties of the alteration products, if present	
B.	Poor	25—50	A.	Tightly healed, hard, non-softening, impermeable filling i. e. quartz or epidote	0.75		(—)
C.	Fair	50—75	B.	Unaltered joint walls, surface staining only	1.0		(25°—35°)
D.	Good	75—90	C.	Slightly altered joint walls. Non-softening mineral coatings, sandy particles, clay-free disintegrated rock etc.	2.0		(25°—30°)
E.	Excellent	90—100	D.	Silty-, or sandy-clay coatings, small clay-fraction (non-softening)	3.0		(20°—25°)
			E.	Softening or low friction clay mineral coatings, i. e. kaolinite, mica. Also chlorite, talc, gypsum and graphite etc., and small quantities of swelling clays. (Discontinuous coatings, 1—2 mm or less in thickness)	4.0		(8°—16°)
			(b) <i>Rock wall contact before 10 cms shear</i>				
			F.	Sandy particles, clay-free disintegrated rock etc.	4.0		(25°—30°)
			G.	Strongly over-consolidated, non-softening clay mineral fillings (Continuous, <5 mm in thickness)	6.0		(16°—24°)
			H.	Medium or low over-consolidation, softening, clay mineral fillings. (Continuous, <5 mm in thickness)	8.0		(12°—16°)
			J.	Swelling clay fillings, i. e. montmorillonite (Continuous, <5 mm in thickness). Value of $J_a$ depends on percent of swelling clay-size particles, and access to water etc.	8.0—12.0	(6°—12°)	
			(c) <i>No rock wall contact when sheared</i>				
			K, L, M.	Zones or bands of disintegrated or crushed rock and clay (see G, H, J for description of clay condition)	6.0, 8.0 or 8.0—12.0	(6°—24°)	
			N.	Zones or bands of silty- or sandy clay, small clay fraction (non-softening)	5.0		
			O, P, R.	Thick, continuous zones or bands of clay (see G, H, J for description of clay condition)	10.0, 13.0 or 13.0—20.0	(6°—24°)	
2. JOINT SET NUMBER ( $J_n$ )							
A.	Massive, no or few joints	0.5—1.0					
B.	One joint set	2					
C.	One joint set plus random	3					
D.	Two joint sets	4					
E.	Two joint sets plus random	6					
F.	Three joint sets	9					
G.	Three joint sets plus random	12					
H.	Four or more joint sets, random, heavily jointed, "sugar cube", etc.	15					
J.	Crushed rock, earthlike	20					
3. JOINT ROUGHNESS NUMBER ( $J_r$ )							
(a) <i>Rock wall contact and</i>							
(b) <i>Rock wall contact before 10 cms shear</i>							
A.	Discontinuous joints	4					
B.	Rough or irregular, undulating	3					
C.	Smooth, undulating	2					
D.	Slickensided, undulating	1.5					
E.	Rough or irregular, planar	1.5					
F.	Smooth, planar	1.0					
G.	Slickensided, planar	0.5					
(c) <i>No rock wall contact when sheared</i>							
H.	Zone containing clay minerals thick enough to prevent rock wall contact	1.0 (nominal)					
J.	Sandy, gravelly or crushed zone thick enough to prevent rock wall contact	1.0 (nominal)					

Note:  
 (i) Where  $R_{QD}$  is reported or measured as  $\leq 10$  (including 0) a nominal value of 10 is used to evaluate  $Q$  in Eq. (1)  
 (ii)  $R_{QD}$  intervals of 5, i. e. 100, 95, 90, etc. are sufficiently accurate

Note:  
 (i) For intersections use  $(3.0 \times J_n)$   
 (ii) For portals use  $(2.0 \times J_n)$

Note:  
 (i) Add 1.0 if the mean spacing of the relevant joint set is greater than 3 m  
 (ii)  $J_r=0.5$  can be used for planar slickensided joints having lineations, provided the lineations are favourably orientated







# Die Erodeerbaarheid van Verskillende Rotsformasies Onder Variërende Vloeitoestande

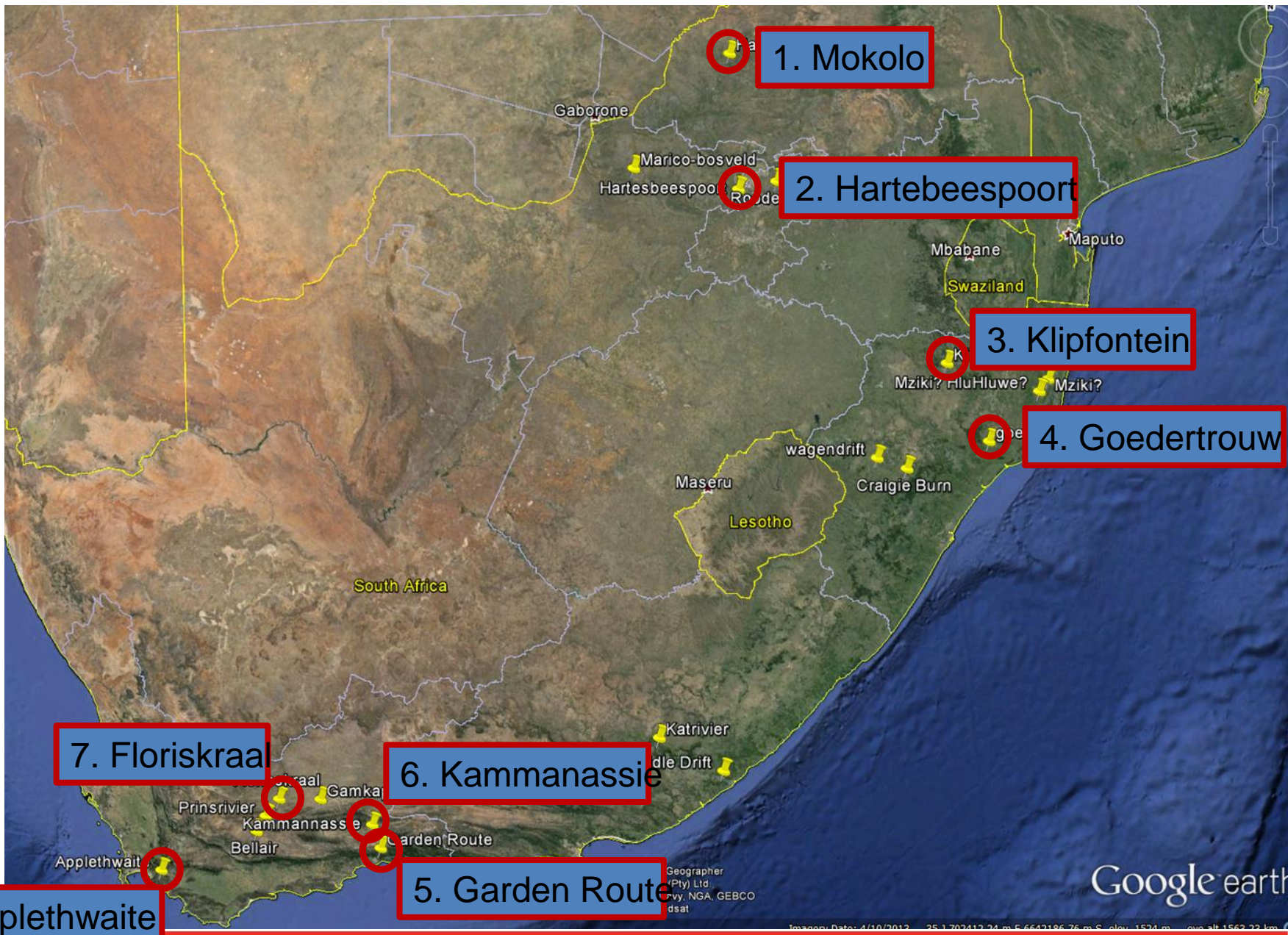
A van Schalkwyk • JM Jordaan • N Dooge

Verslag aan die Waternavorsingskommissie  
deur die  
Departement Geologie  
Universiteit van Pretoria

WNK Verslag No 302/1/95







7. Floriskraal

6. Kammanassie

5. Garden Route

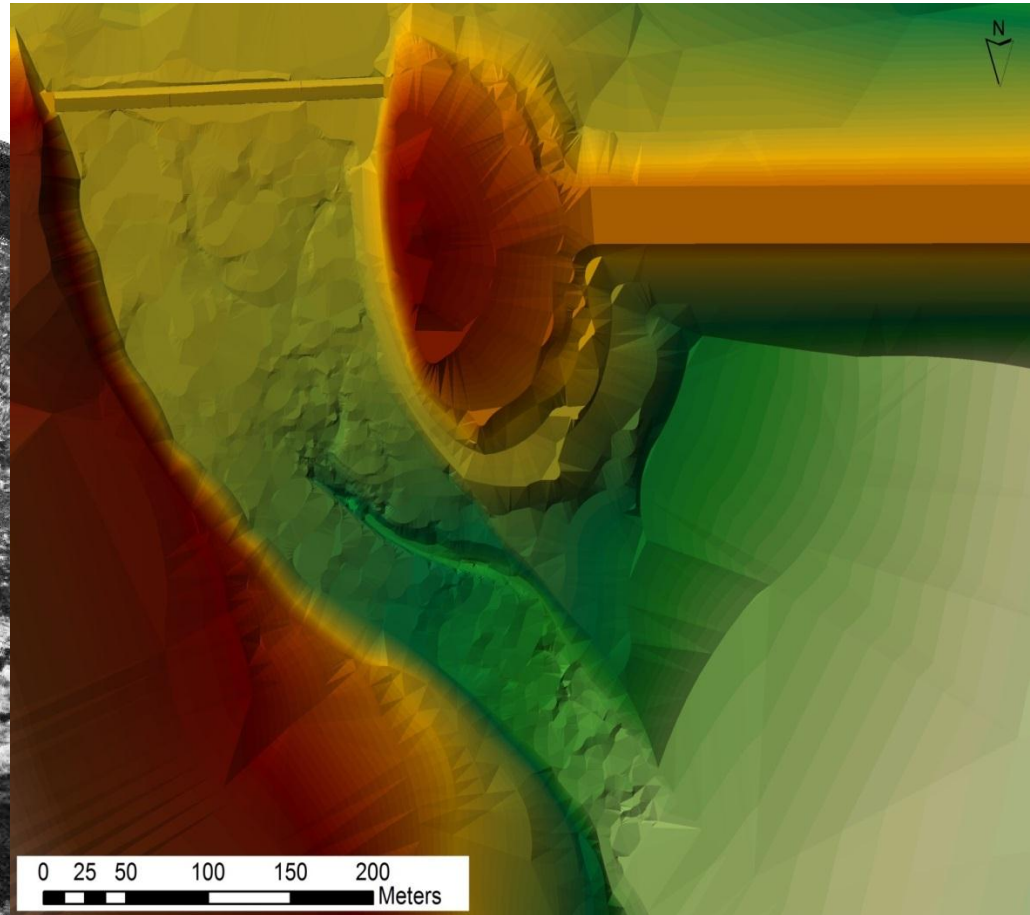
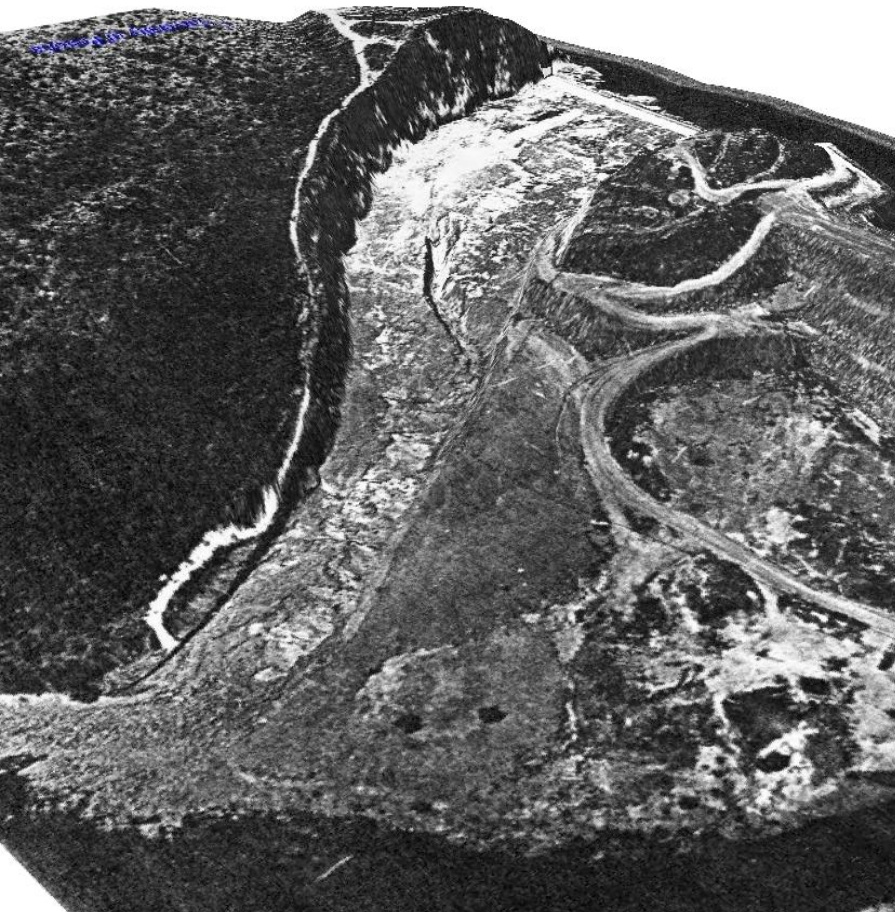
8. Applethwaite



[link](#)

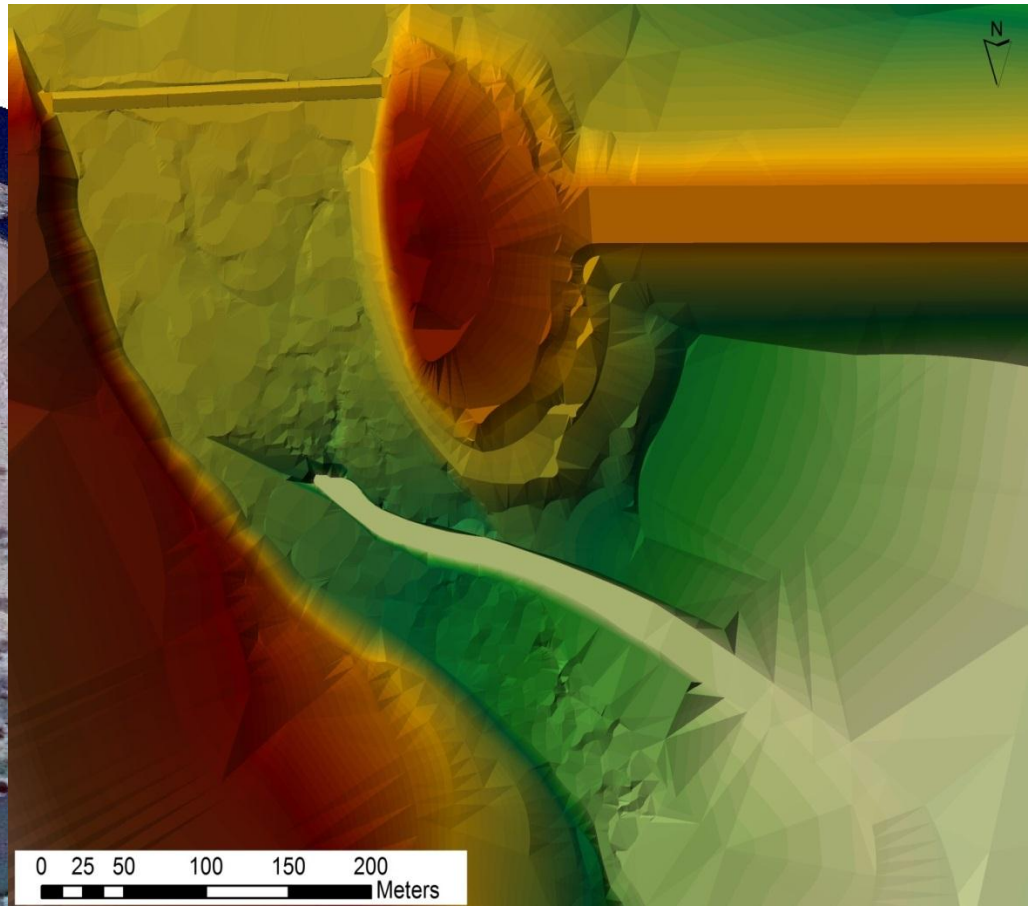


# Mokolo Dam





# Mokolo Dam



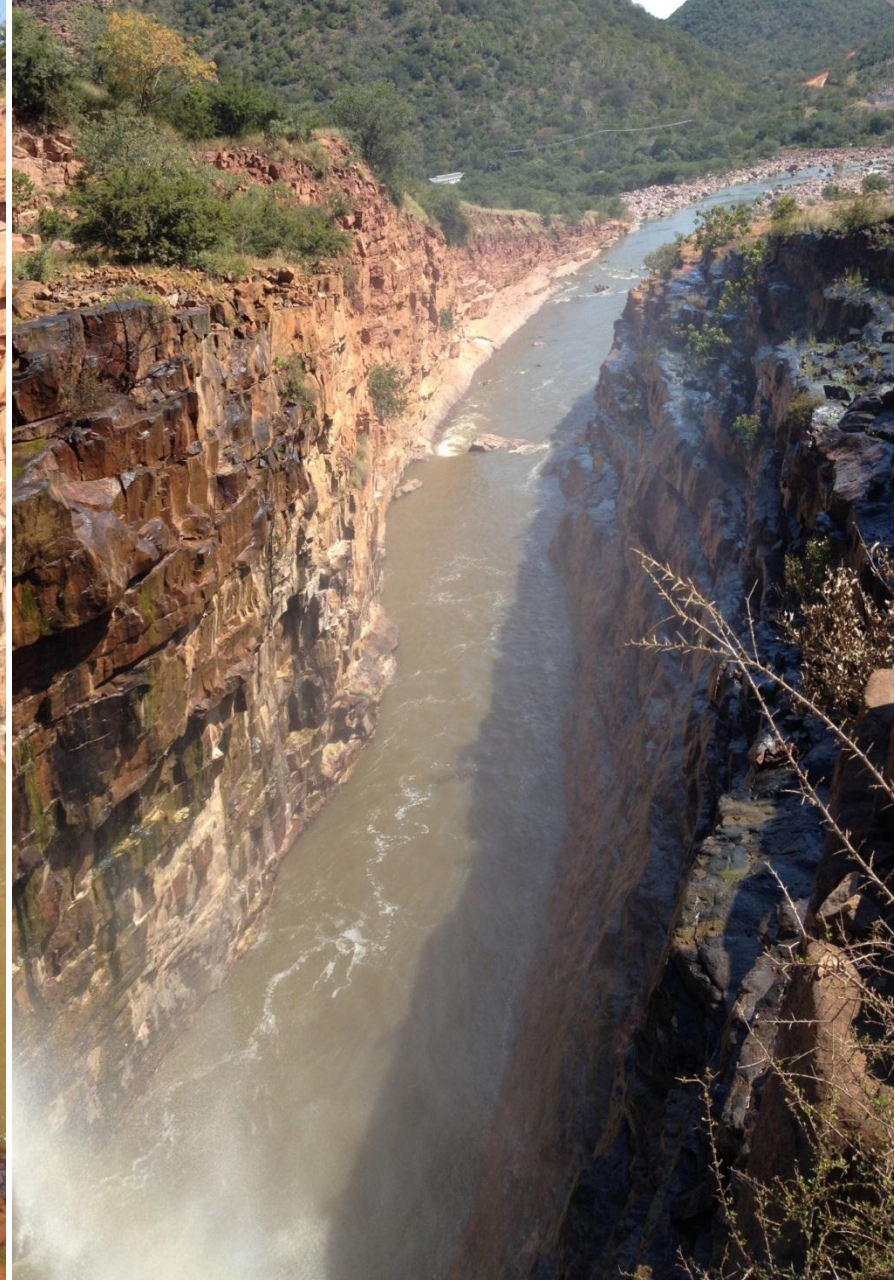




Flood of 12 March 2014  
~ 2m above 200m wide  
crest  
~920 m<sup>3</sup>/s









# Kammannasie





# Erosion Assessment

Max Depth <i>m</i>	General extent <i>m<sup>3</sup> per 100 m<sup>2</sup></i>	Class	Descriptor
<0.3	< 10	I	Negligible
0.3 to 1	10 to 30	II	Minor
1 to 2	30 to 100	III	Moderate
2 to 7	100 to 350	IV	Large
>7	>350	V	Extensive

Interpreted extent  
of erosion

Interpretation of  
erosion  
mechanism

Assessed same 'erosion points' as van Schalkwyk



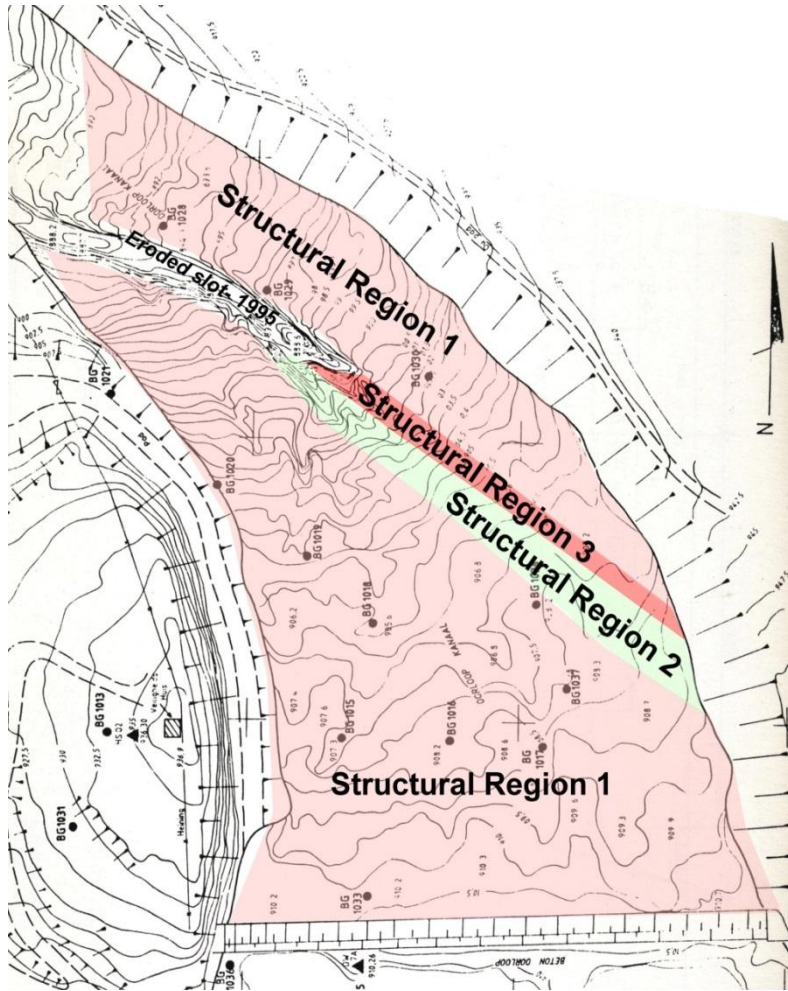
# Interpreted erosion

Table D.8 Examination areas, South African dams

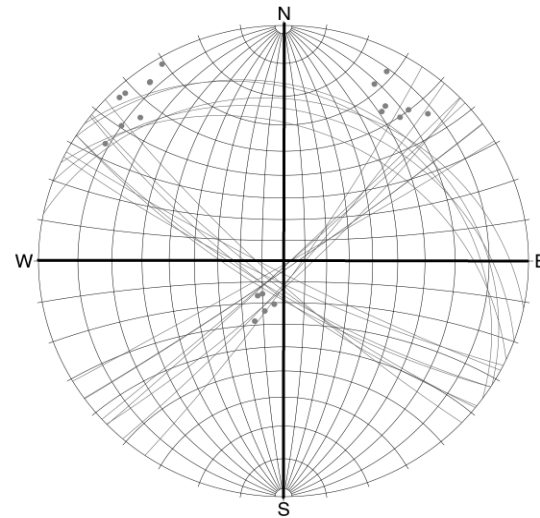
Dam No.	Exam. area	Chart ID	Location of Examination Area		Erosion period		Erosion class
			Ch. <sup>1.</sup>	Description	Start <sup>2.</sup>	End	
1	EA1	App1	25	near-level area upstream of bridge	C	2014	Negligible
	EA2	App2	60	cascade just downstream of bridge	C	2014	Minor
2	EA0	Flo1	40	stilling pool	C	2014	Moderate <sup>3.</sup>
	EA1	Flo2	40	stilling pool	C	2014	Moderate <sup>3.</sup>
3	EA1	Gar1	70	level area below gauge	C	Oct-85	Negligible
	EA2	Gar2	85	cascade (45 <sup>o</sup> ), remaining material	C	Oct-85	Minor
	EA3	Gar3	85	cascade (45 <sup>o</sup> ), eroded material	C	Oct-85	Moderate <sup>4.</sup>
	EA1	Gar4	70	level area below gauge	Oct-85	Nov-07	Negligible
	EA2	Gar5	85	cascade (45 <sup>o</sup> ), remaining material	Oct-85	Nov-07	Minor
4	EA1	Goe1	40	right side slot	C	2014	Minor
	EA2	Goe2	40	island	C	2014	Moderate
	EA3	Goe3	60	base of central slot around island	C	2014	Negligible
	EA4	Goe4	40	left side slot	C	2014	Moderate
	EA5	Goe5	125	original creek bed	C	2014	Moderate
5	EA1	Haa1	45	low flow channel (LHS)	C	1996	Large
	EA2	Haa2	90	high flow channel (RHS)	C	1996	Moderate
	EA1	Haa3	45	low flow channel (LHS)	2010	2014	Large
	EA2	Haa4	90	high flow channel (RHS)	2010	2014	Large
6	EA1	Har1	250	left slot, remaining material	1947	2014	Negligible
	EA2	Har2	250	left slot, eroded material	C	1947	Moderate



# Geological Assessment



Structural regions  
Geological  
mapping  
Rock-mass indices



# Interpreted rock mass indices

Table D.9 Interpreted rock mass indices - Q-system and Kirsten index, South African dams

Chart ID	RQD %	Q-rating				Kirsten index			
		$J_n$	$J_r$ <sup>1.</sup>	$J_a$ <sup>1.</sup>	$Q'$	$J_n$	$J_s$	$M_S$	K
App1	50	9	1.5	3 (4K)	2.78	2.73	0.6	50	206
App2	50	9	1.5	3 (4K)	2.78	2.73	0.6	50	206
Flo1	60	9	2.5	1	16.7	2.73	0.5	200	5495
Flo2	5	12	2	1.5	0.56	3.34	0.5	100	100
Gar1&4	30	3	1	2 (1K)	5.00	1.5	0.44	12	106
Gar2&5	30	3	1	2 (1K)	5.00	1.5	0.44	12	106
Gar3	10	3	1	4	0.83	1.5	0.44	4	2.9
Goe1	70	12	2	2	5.83	3.34	1	140	2934
Goe2	15	12	1	6	0.21	3.34	1	35	26
Goe3	70	12	2	2	5.83	3.34	1	140	2934
Goe4	15	12	1	6	0.21	3.34	1	35	26
Goe5	15	12	1	6	0.21	3.34	1	35	26
Haa1&3	20	12	1	3	0.56	3.39	0.48	12	11
Haa2&4	20	12	1	3	0.56	3.39	0.48	12	11
Har1	70	12	2.5	2	7.29	3.34	0.8	180	3772
Har2	40	12	1	4	0.83	3.34	0.8	15	36

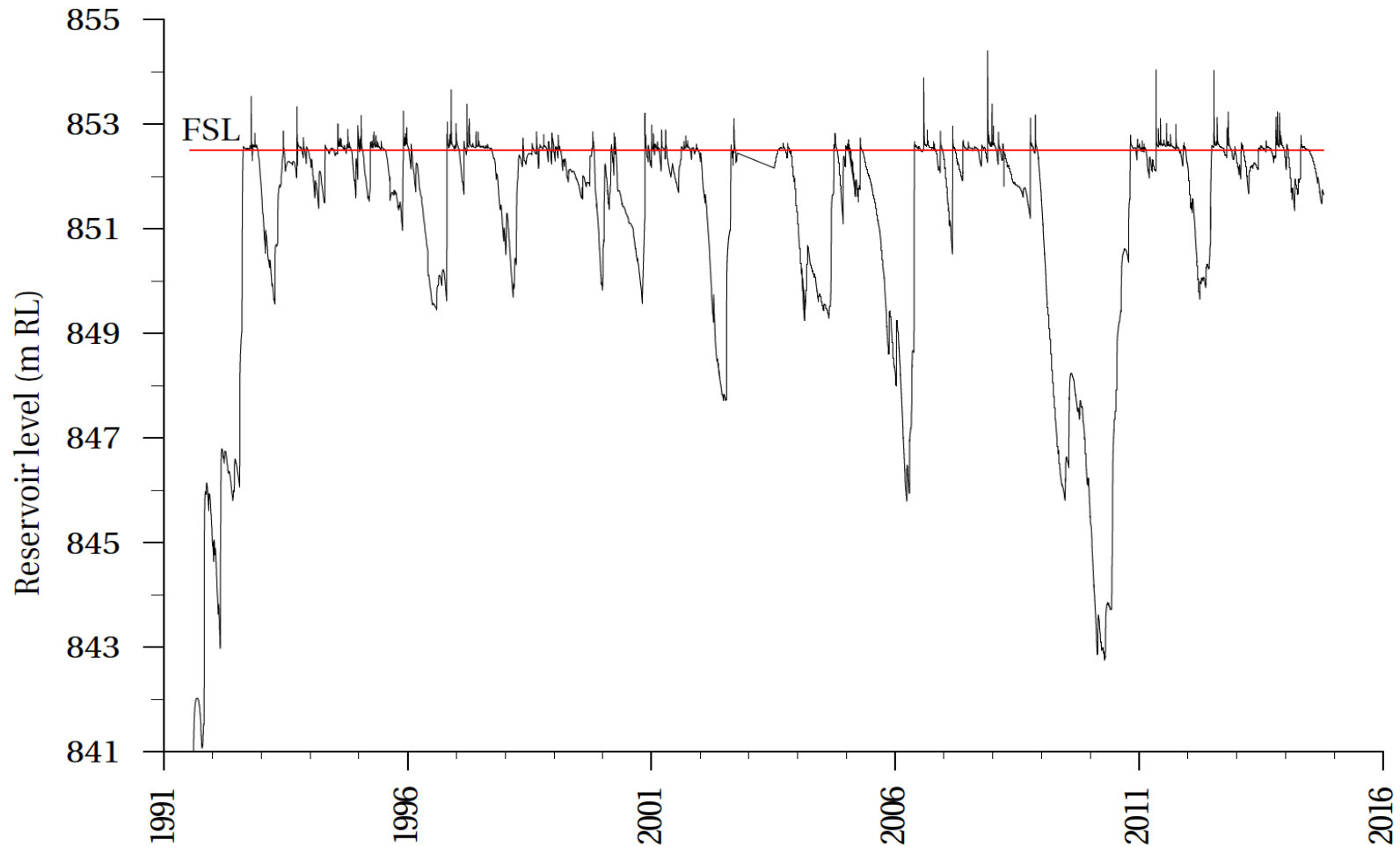


# Interpreted rock mass indices

Table D.10 Interpreted rock mass indices - GSI, South African dams

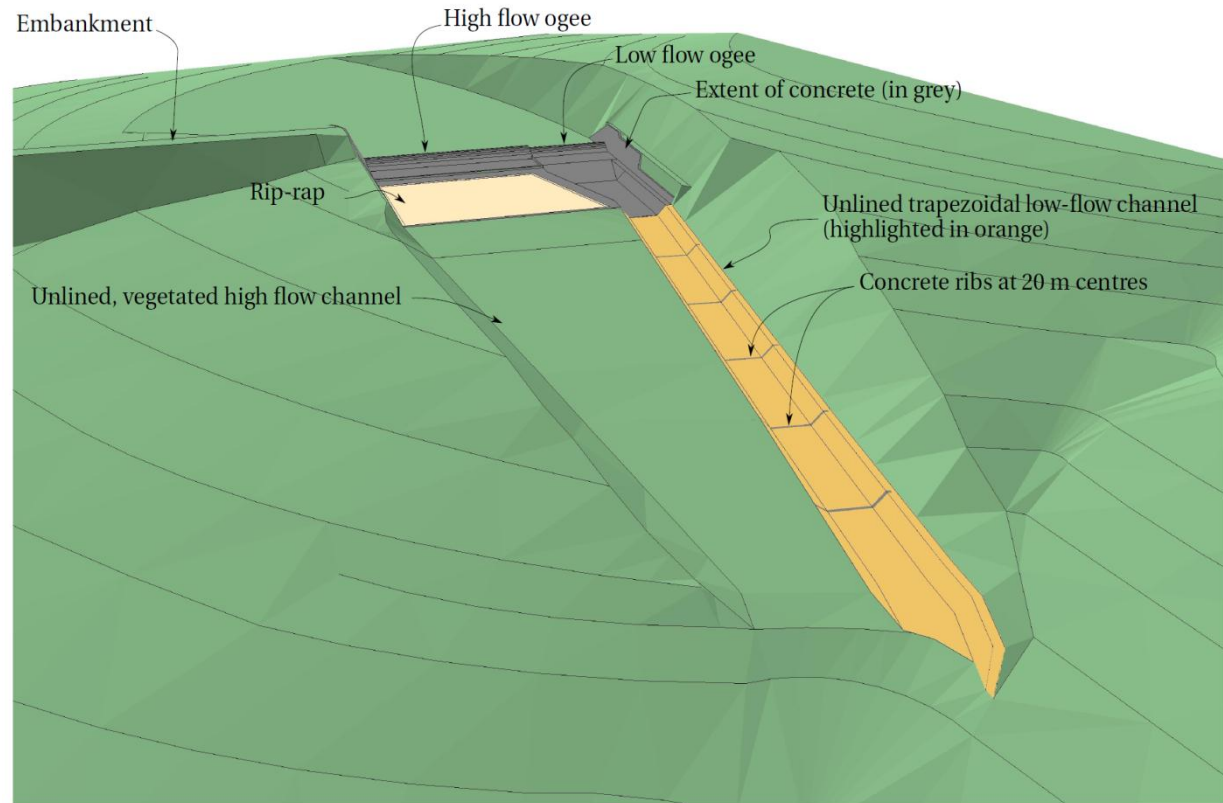
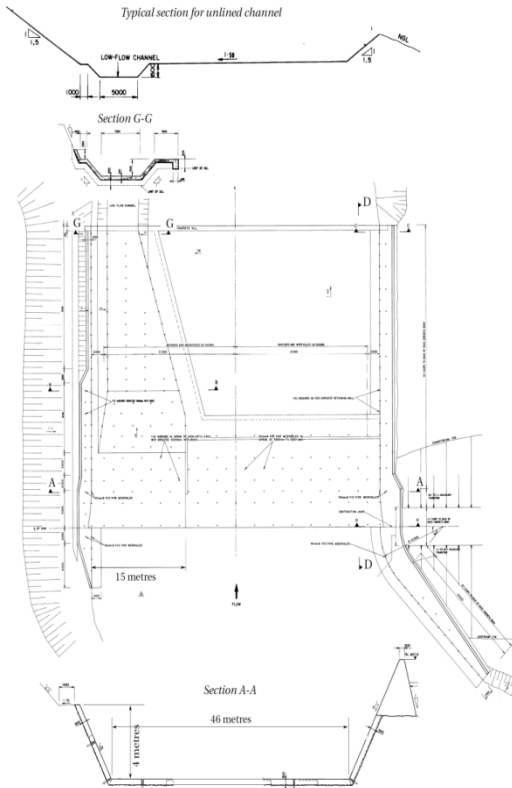
Chart ID	<i>RMR</i> <sub>76</sub> parameters				<i>GSI</i>		Erosion <i>GSI</i>	
	Strength rating	RQD rating	Discont. spacing	Discont. cond.	<i>GSI</i> <sub>RMR</sub>	<i>GSI</i> <sub>Chart</sub>	<i>E</i> <sub>doa</sub>	<i>eGSI</i>
App1	6	8	20	12	56	50	-5	45
App2	6	8	20	12	56	50	-8	43
Flo1	15	13	20	20	78	68	-25	43
Flo2	12	1	5	20	48	38	-25	13
Gar1&4	2	3	10	10	35	30	-5	25
Gar2&5	2	3	10	10	35	30	-8	23
Gar3	1	3	10	0	24	20	-18	3
Goe1	12	14	25	20	81	76	-8	69
Goe2	4	3	15	2	34	38	-8	31
Goe3	12	14	25	20	81	76	-8	69
Goe4	4	3	15	2	34	38	-8	31
Goe5	4	3	15	2	34	38	-8	31
Haa1&3	2	3	5	10	30	20	-15	5
Haa2&4	2	3	5	10	30	20	-15	5

# Hydraulic Assessment

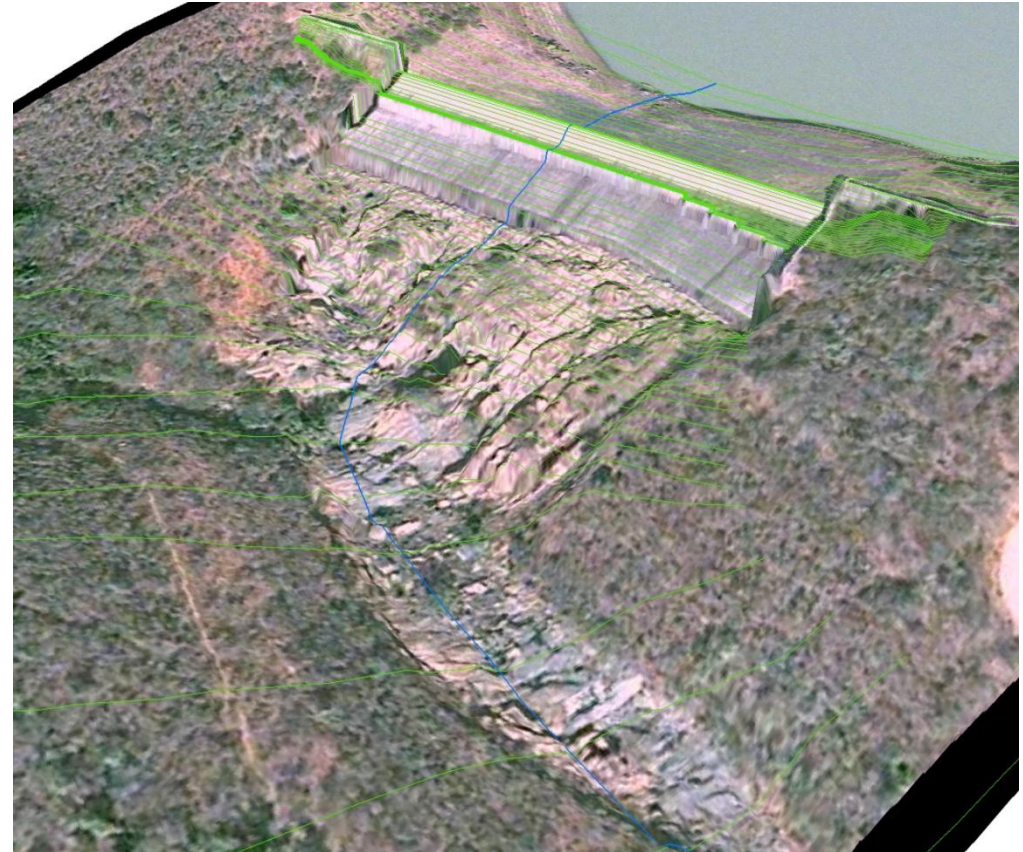
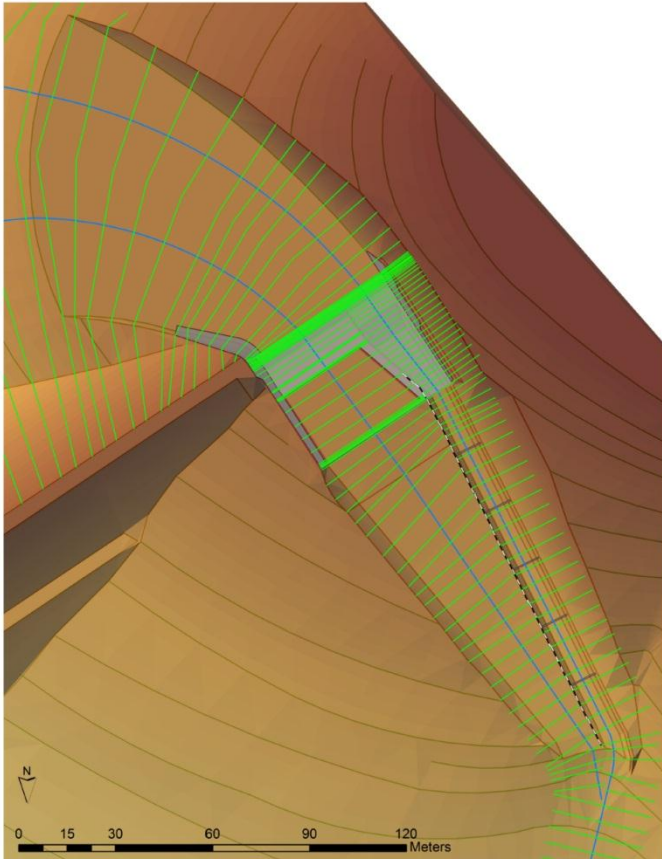




# Hydraulic Assessment

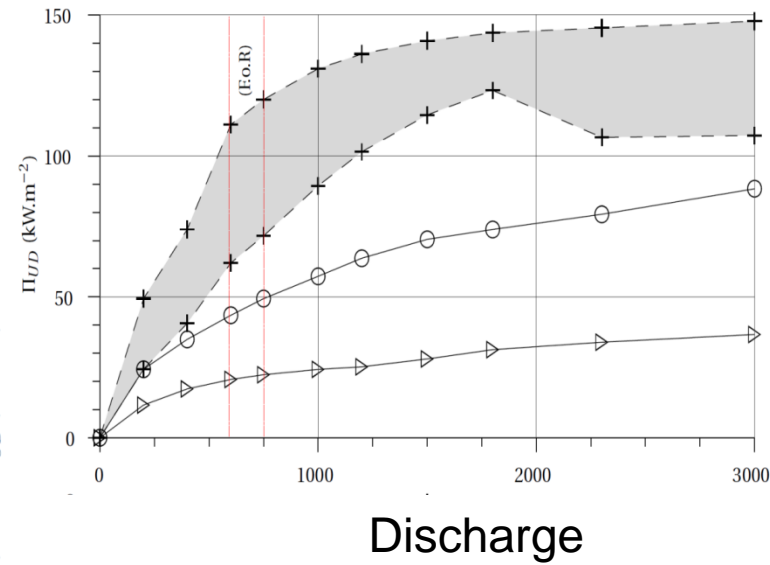
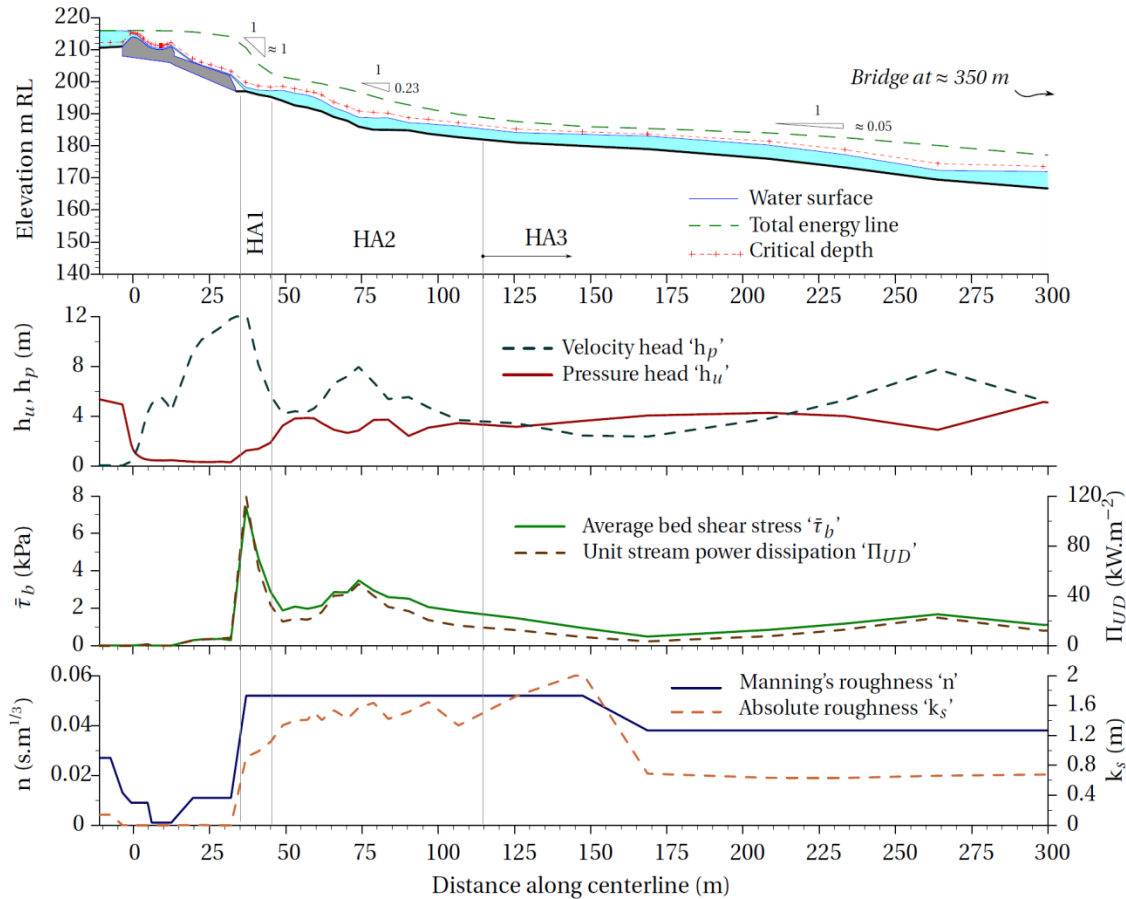


# Hydraulic Assessment





# Hydraulic Assessment



# Interpreted hydraulic indices

Table D.12 Hydraulic indices - South African dams

Chart ID	Hydraulic area	Peak Q $\text{m}^3.\text{s}^{-1}$	Analytical estimates			HEC-RAS Estimates					
			Peak q $\text{m}^2.\text{s}^{-1}$	$S_o$ $\tan\phi$	Peak $\Pi_{UD}$ $\text{kW.m}^2$	Peak q $\text{m}^2.\text{s}^{-1}$	$S_f$	Peak $\bar{u}$ $\text{m.s}^{-1}$	Peak $\bar{\tau}_b$ $\text{kPa}$	Peak $\Pi_{UD}$ $\text{kW.m}^2$	$E_U$ $\text{MJ.m}^2$
App1	HA1	250	-	-	-	15.0	0.018	7.1	0.3	2.6	-
App2	HA2	250	-	-	-	10.9	0.142	8.5	1.72	15	-
Flo1	HA1	2200	-	-	-	41	0.298	22.5	4	120	$3.30 \times 10^5$
Flo2	HA1	2200	-	-	-	41	0.298	22.5	4	120	$3.30 \times 10^5$
Gar1	HA1	44	-	-	-	1.9	0.054	5.2	0.17	1	$3.50 \times 10^3$
Gar2	HA2	44	-	-	-	2.1	0.680	12.6	1.1	14	$1.60 \times 10^4$
Gar3	HA3	44	-	-	-	2	0.459	10.0	0.7	9	$1.50 \times 10^4$
Gar4	HA1	127	-	-	-	4.3	0.031	6.8	0.18	1.3	$5.50 \times 10^4$
Gar5	HA2	127	-	-	-	5.1	0.390	15.1	1.3	20	$2.40 \times 10^5$
Goe1	HA1	750	-	-	-	9.3	0.986	15.6	7.5	90	$1.45 \times 10^6$
Goe2	HA1	750	-	-	-	5	1.835	15.6	7.5	90	$1.45 \times 10^6$
Goe3	HA2	750	-	-	-	21.9	0.233	12.5	3.6	50	$1.10 \times 10^6$
Goe4	HA1	750	-	-	-	9.3	0.986	15.6	7.5	90	$1.45 \times 10^6$
Goe5	HA3	750	-	-	-	15	0.152	12.3	1.68	22	$5.00 \times 10^5$
Haal	HA1LF	55	-	-	-	7.9	0.046	7.1	0.46	3.6	$9.00 \times 10^3$
Haa2	HA1HF	55	-	-	-	1.44	0.020	2.6	0.11	0.3	$1.00 \times 10^3$



# Summary of interpreted indices

Over 30 dams

Australia (19)

South Africa (11)

USA (2)

~120 datapoints of erosion in fractured rock environments (vs. ~20 in previous publications)

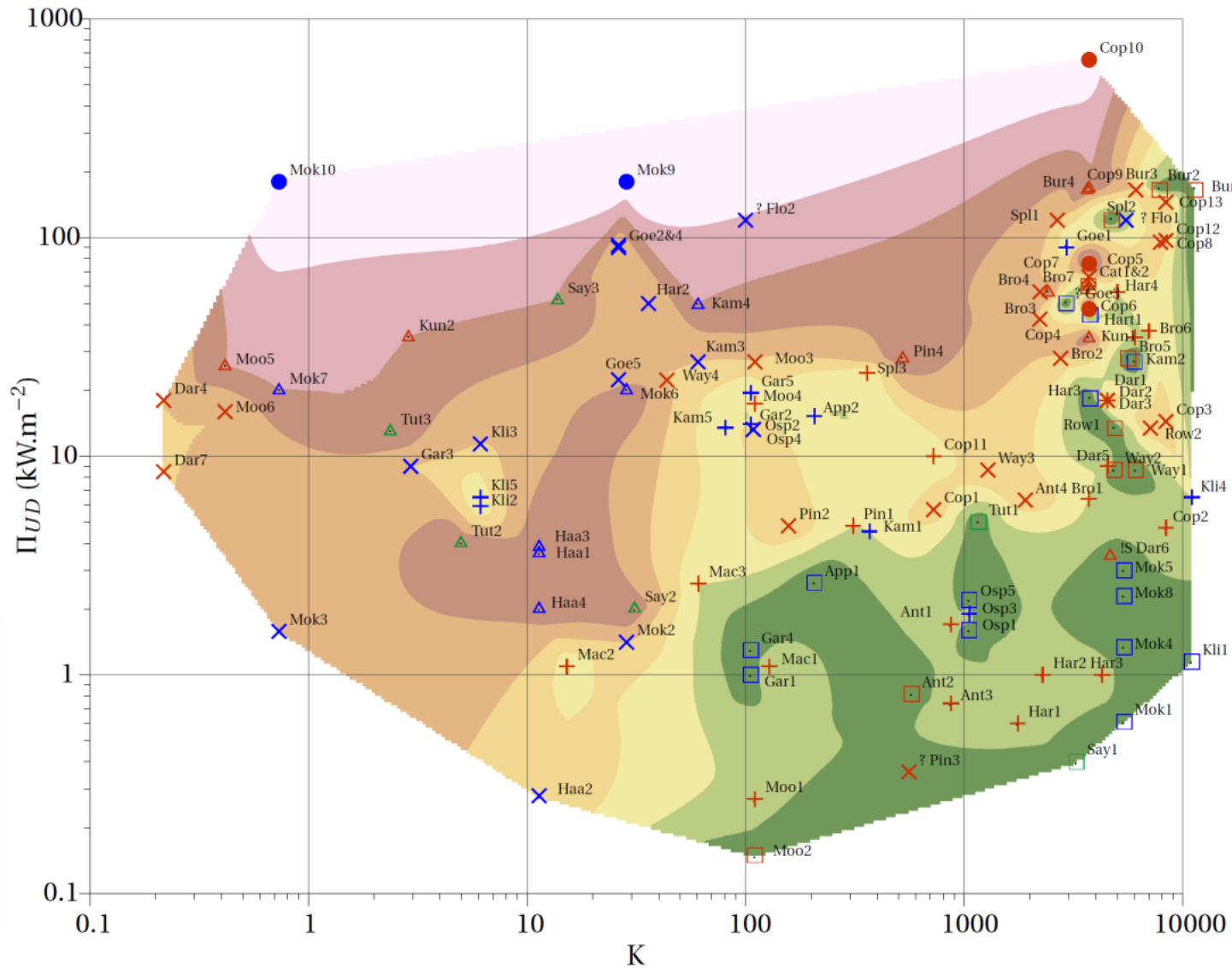
Country	Dam Name	Inspection		Reporting		Exam. areas
		Date	Personnel <sup>1</sup>	Hydraulics	Geology <sup>2</sup>	
Australia	Anthony	18-Apr-13	SEP; RF	Appendix B.1	Ref. 1	4
	Brogo	4-Dec-14	RF	Appendix B.2	Ref. 1	7
	Burdekin Falls	2-Dec-08	RF	Appendix B.3	Ref. 1	4
	Catagunya	17-Apr-13	SEP; RF	Appendix B.4	Ref. 1	3
	Copeton	15-May-13	SEP; KD	Appendix B.5	Ref. 1	13
	Dartmouth	16-Dec-13	SEP; KD; RF	Appendix B.6	Ref. 1	7
	Harding	26-Sep-13	SEP; KD	Appendix B.7	Ref. 1	4
	Junction Reefs	15-Jan-14	SEP; PJNP	-	-	-
	Kununurra	24-Sep-13	SEP; KD	Appendix B.8	Ref. 1	2
	Mackenzie	18-Apr-13	SEP; RF	Appendix B.9	-	-
	Mackintosh	19-Apr-13	SEP; RF	Basic only	Ref. 1	3
	Moochalabra	23-Sep-13	SEP; KD	Appendix B.10	Ref. 1	6
	Murchison	19-Apr-13	SEP; RF	-	-	-
	Ord River	24-Sep-13	SEP; KD	-	-	-
	Pindari	15-May-13	SEP; KD	Appendix B.11	Ref. 1	4
	Rowallan	17-Apr-13	SEP; RF	Basic only	Ref. 1	2
	Split Rock	16-May-13	SEP; KD	Appendix B.12	Ref. 1	3
	Wayatina	17-Apr-13	SEP; RF	Appendix B.13	Ref. 1	4
	Wyangala	11-Jun-13	SEP	-	-	-
South Africa	Applethwaite	17-May-14	SEP; PJNP	Appendix B.14	Ref. 2	2
	Floriskraal	14-May-14	SEP; PJNP	Appendix B.15	Ref. 2	2
	Garden Route	12-May-14	SEP; PJNP	Appendix B.16	Ref. 2	5
	Goedertrouw	9-May-14	SEP; PJNP	Appendix B.17	Ref. 2	5
	Haarlem	11-May-14	SEP; PJNP	Appendix B.18	Ref. 2	4
	Hartbeespoort	4-May-14	SEP; PJNP	Appendix B.19	Ref. 2	3
	Kammanassie	12-May-14	SEP; PJNP	Appendix B.20	Ref. 2	5
	Klipfontein	8-May-14	SEP; PJNP	Appendix B.21	Ref. 2	5
	Koos	13-May-14	SEP; PJNP	-	Ref. 2	-
	Raubenheimer					
	Mokolo	5-May-14	SEP; PJNP	Appendix B.22	Ref. 2	10
Osplaas	14-May-14	SEP; PJNP	Appendix B.23	Ref. 2	5	
USA	Saylorville	-	-	Basic only	Ref. 3	3
	Tuttle Creek	-	-	Appendix B.24	Ref. 3	3

# Kirsten Index

## Legend

- Negligible erosion
- + Minor erosion
- × Moderate erosion
- △ Large erosion
- Extensive erosion

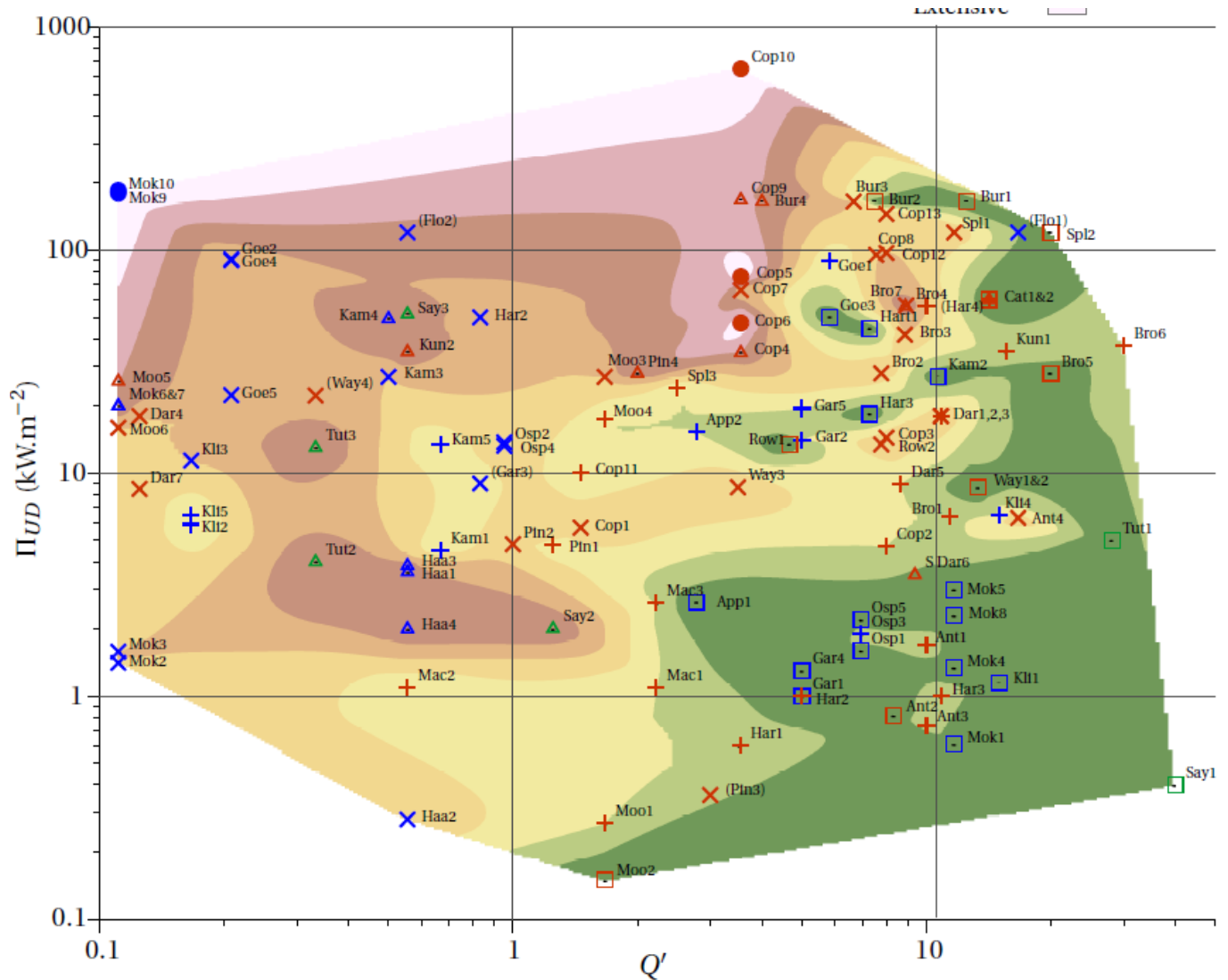
Erosion Level	Color	Index
Negligible	Dark Green	I
Minor	Light Green	II
Moderate	Yellow	III
Large	Orange	IV
Extensive	Pink	V





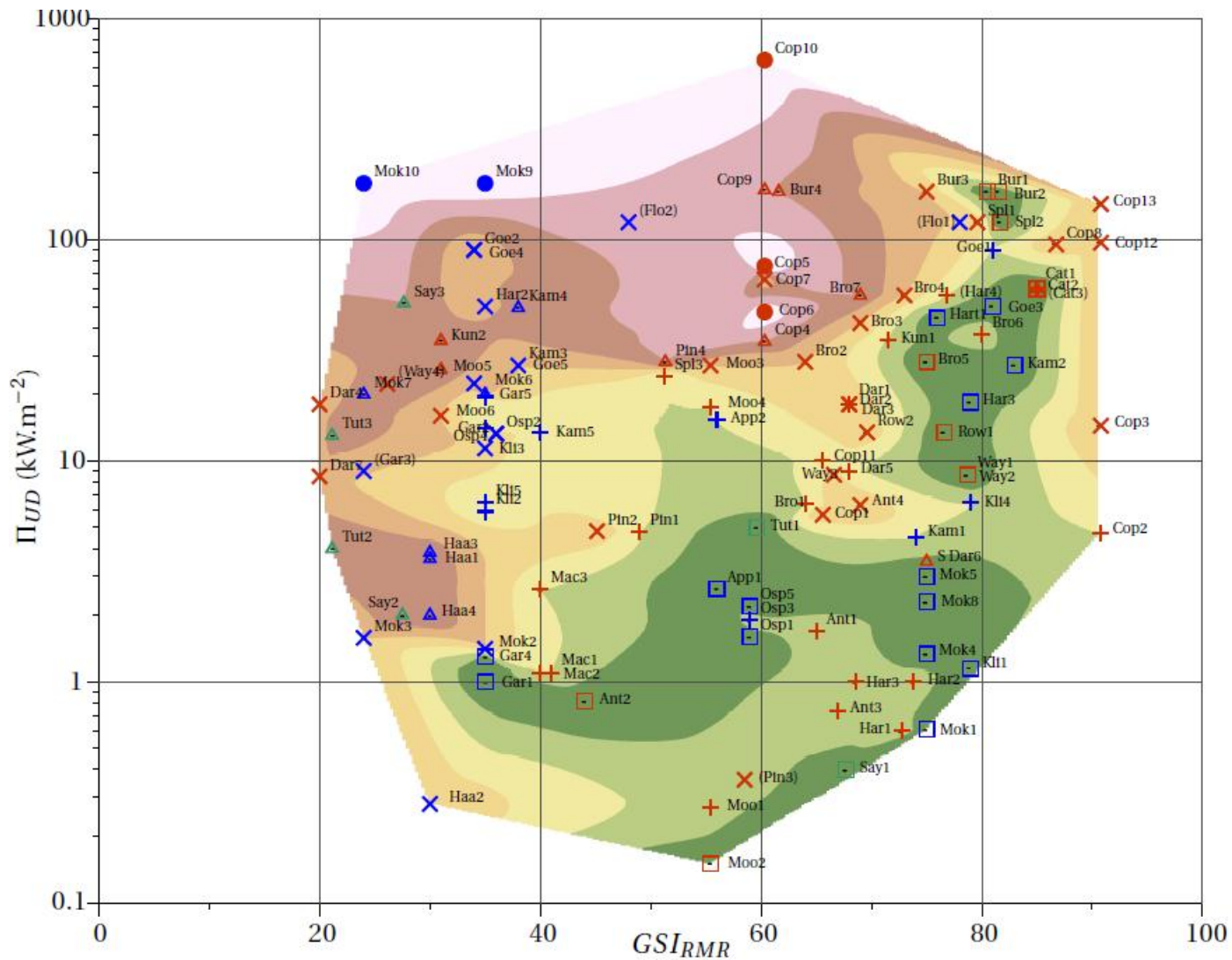


# Q-system

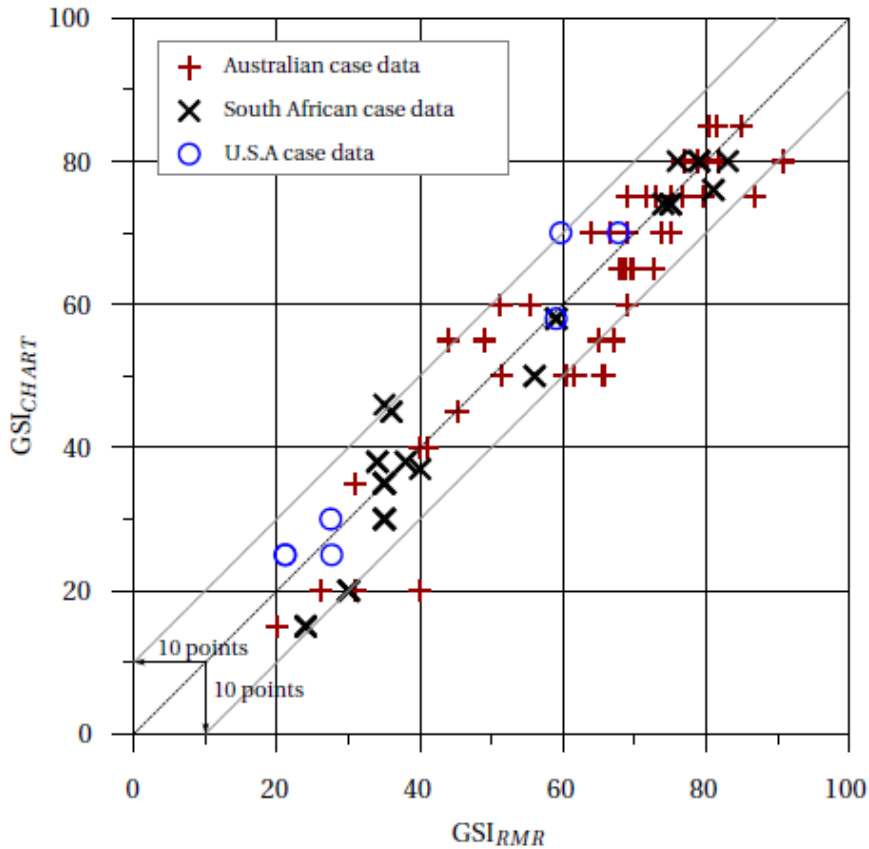




# GSI



# GSI chart



## GEOLOGICAL STRENGTH INDEX FOR JOINTED ROCKS (Hoek and Marinos, 2000)

From the lithology, structure and surface conditions of the discontinuities, estimate the average value of GSI. Do not try to be too precise. Quoting a range from 33 to 37 is more realistic than stating that GSI = 35. Note that the table does not apply to structurally controlled failures. Where weak planar structural planes are present in an unfavourable orientation with respect to the excavation face, these will dominate the rock mass behaviour. The shear strength of surfaces in rocks that are prone to deterioration as a result of changes in moisture content will be reduced if water is present. When working with rocks in the fair to very poor categories, a shift to the right may be made for wet conditions. Water pressure is dealt with by effective stress analysis.

STRUCTURE	SURFACE CONDITIONS				
	VERY GOOD Very rough, fresh unweathered surfaces	GOOD Rough, slightly weathered, iron stained surfaces	FAIR Smooth, moderately weathered and altered surfaces	POOR Slickensided, highly weathered surfaces with compact coatings or fillings or angular fragments	VERY POOR Slickensided, highly weathered surfaces with soft clay coatings or fillings
INTACT OR MASSIVE - intact rock specimens or massive in situ rock with few widely spaced discontinuities	90			N/A	N/A
BLOCKY - well interlocked undisturbed rock mass consisting of cubical blocks formed by three intersecting discontinuity sets	80	70			
VERY BLOCKY - interlocked, partially disturbed mass with multi-faceted angular blocks formed by 4 or more joint sets		60	50		
BLOCKY/DISTURBED/SEAMY - folded with angular blocks formed by many intersecting discontinuity sets. Persistence of bedding planes or schistosity			40	30	
DISINTEGRATED - poorly interlocked, heavily broken rock mass with mixture of angular and rounded rock pieces				20	
LAMINATED/SHEARED - Lack of blockiness due to close spacing of weak schistosity or shear planes	N/A	N/A			10





# A new index: 'eGSI'

eGSI – adjustment of GSI to vulnerability of erosion from unfavourable orientation of defects

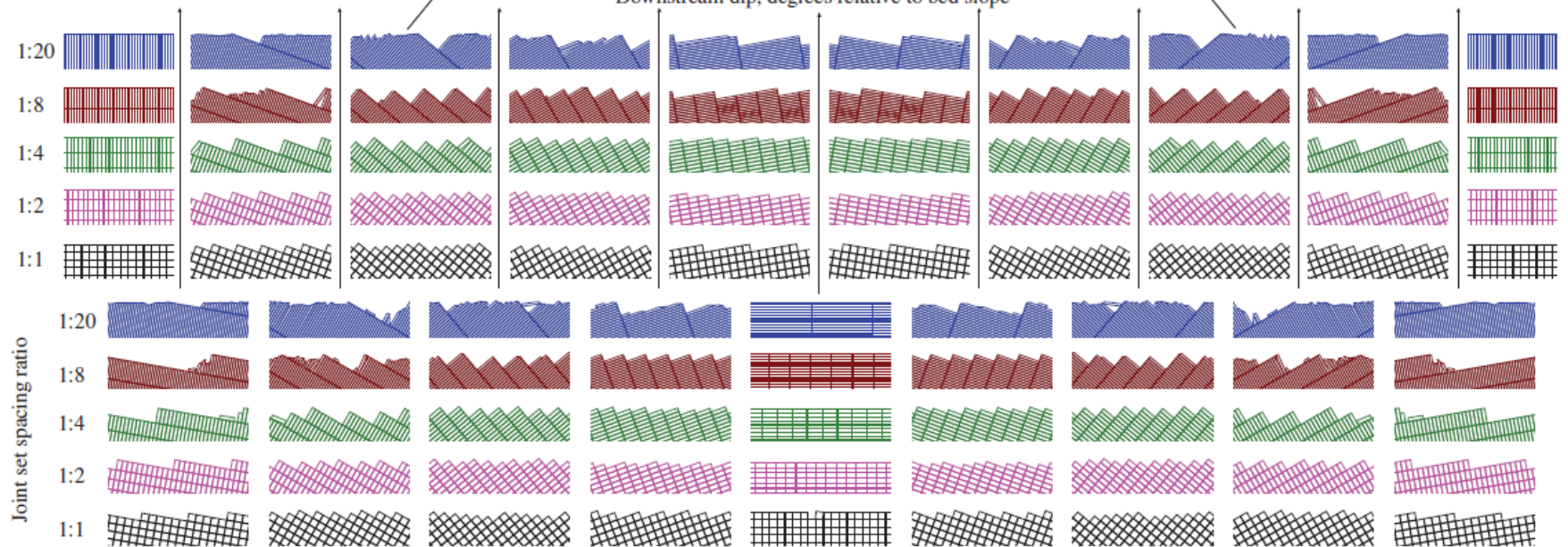
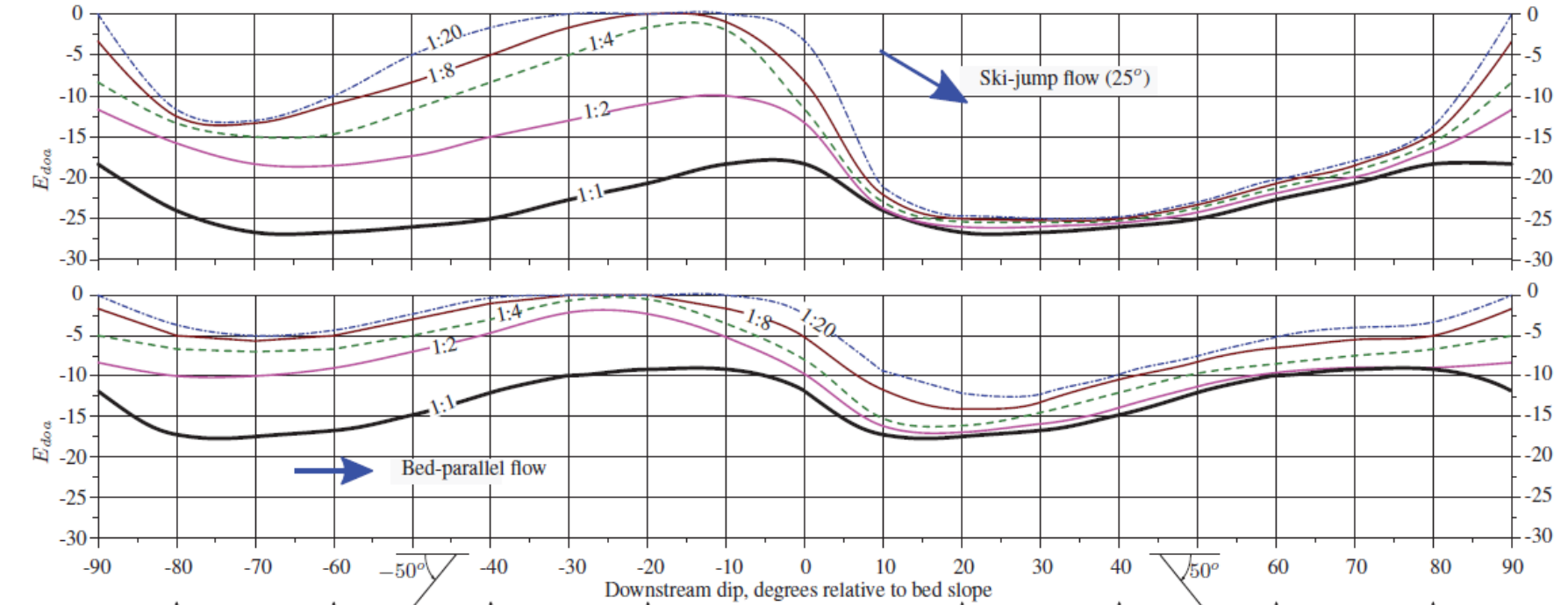
$$eGSI = \max \begin{cases} GSI + E_{doa} \\ 0 \end{cases}$$

where:  $eGSI$  is an index of erodibility

$E_{doa}$  is a discontinuity orientation adjustment for erodibility

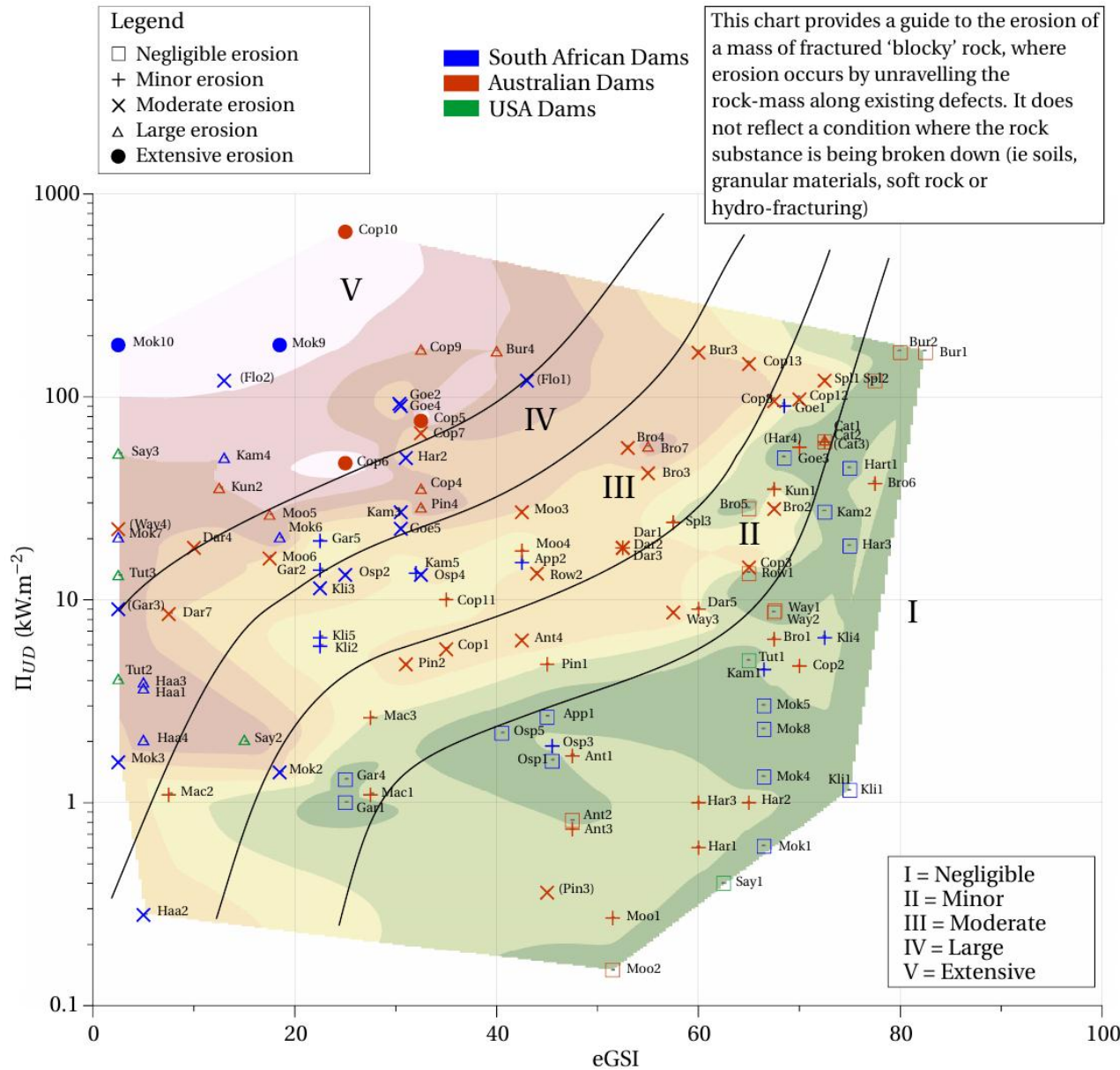


# Defect orientation



# The 'eGSI' method ...

- Simpler
- Larger data set for rock
- Can trace case studies
- Gradation, not a 'threshold'
- GSI is current and credible
- No RQD
- No Mass Strength
- Appropriate inference of accuracy
- Reliable even when used by non-geologists

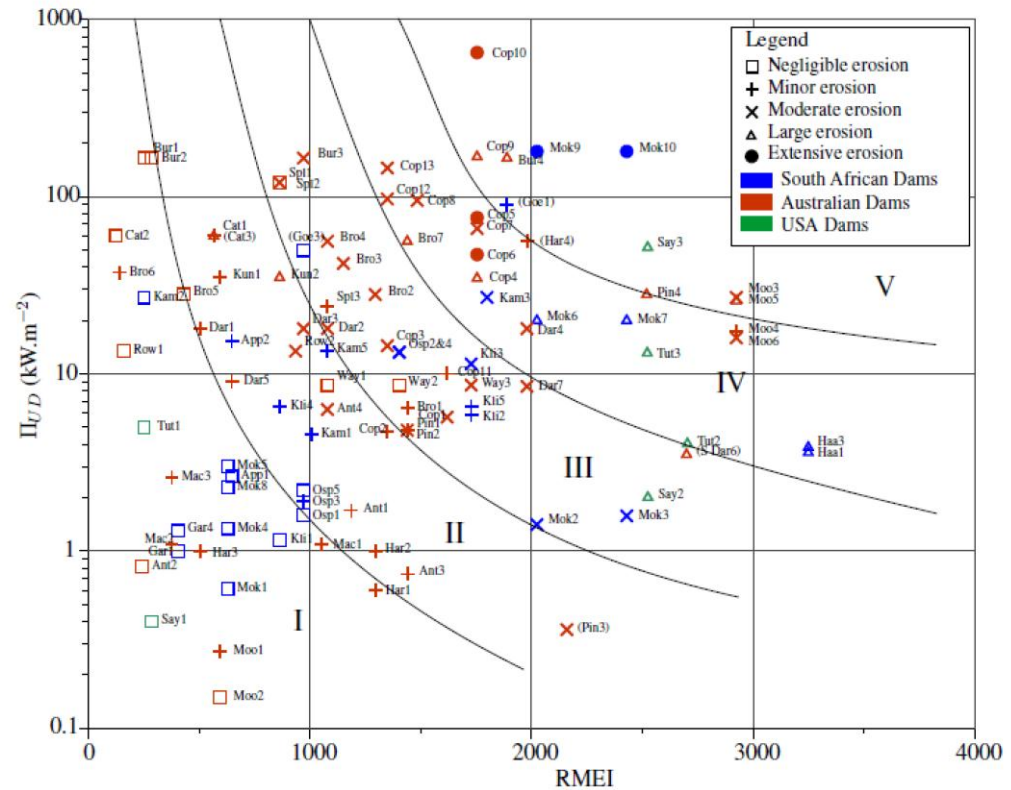




# Rock Mass Erodibility Index "RMEI"

Table 3.3 Estimation of Rock Mass Erosion Index (RMEI)

Erosion vulnerability parameter	RF <sup>1</sup>	Likelihood Factor (LF)				
		Very Unlikely 1	Unlikely 2	Likely 3	Highly Likely 4	Almost Certain 5
P1: Kinematically viable mechanism for detachment <sup>2</sup>	3	Rock with three defects, basal defect sub-parallel to spillway floor, and no day lighting basal release from surface, or;  Massive rock with effectively only two defect sets and no basal release surface.	Rock with three or more defects, with: basal defect sub-parallel to spillway floor, Joint 2 protruding from surface, or;  basal defect inclined upstream or downstream at > 30 degrees relative to spillway floor.	Rock with three or more defects, with: persistent basal defect dip 10 to 30 degrees upstream relative to the spillway floor, or;  Persistent basal defect dip 10 to 30 degrees downstream relative to the spillway floor.	Rock with three or more defects, with: persistent basal defect dip ≤ 10 degrees upstream relative to the spillway floor, or;  persistent basal defect dip ≤ 10 degrees downstream relative to the spillway floor.	Persistent basal defect sub-parallel to the spillway floor, day lighting upstream or downstream, or;  persistent shear and / or closely jointed rock which erodes readily forming a release surface into the shear.
P2: Nature of the potentially eroding surface	3	Smooth water or glacier worn, with no protrusions of joint 2, no opening of defects	Bedding surface with protrusions of joint 2 < 1mm, and little or no opening of defects	Relatively small protrusions and defect openings (eg pre-split, or ripped and bulldozed)	Irregular surface following defects, little opening of defects (eg. blasted rock).	Irregular surface following defects, extensive defect opening (eg. heavily blasted rock)
P3: Nature of the defects <sup>3</sup>	2	Very rough surfaces, eg JRC ≥ 12	Rough surfaces, e.g. JRC 8-10	Slightly rough surfaces e.g. JRC 4-8	Smooth surfaces e.g. JRC < 4	Smooth or slickensided surfaces
		No separation	Aperture < 1mm	Aperture 1-2mm	Aperture 2 to 5mm	Aperture > 5mm
		UCS > 50MPa	UCS 20MPa to 50 MPa	UCS 5MPa to 20MPa	UCS 1MPa to 5MPa	UCS < 1MPa, or Soft gouge > 5mm thick
P4: Spacing of basal defect <sup>4</sup>	1	>3m	1m to 3m	0.3m to 1m	0.1m to 0.3m	<0.1m
P5: Block shape <sup>5</sup>	1	≤0.5	0.5 to 1	1 to 2	2 to 5	>5



Notes: 1. 'Relative Importance factor'

2. Defects include joints, bedding surfaces, shears, and foliation partings.

3. Select class which best fits the data taking into account the kinematically viable mechanism and which defects control the displacement of the block of rock from the spillway. Use Table 3.4 to assist in making this assessment but use judgement to make the assessment.

4. Joint 1 is basal defect of a block or region (bedding or joint).

5. Block shape = Joint 2 spacing / Joint 1 spacing;

Joint 2 is sub-vertical defect normal to the flow in the spillway.

# Summary of rock mass index approaches

1. All rock mass indices are approximate representations of rock masses
2. Stream power dissipation is only an approximation of hydraulic loading
3. The method does not represent the mechanics of the problem
4. It is useful for 'first-pass' comparison to other case studies



# Beyond rock mass indices ...

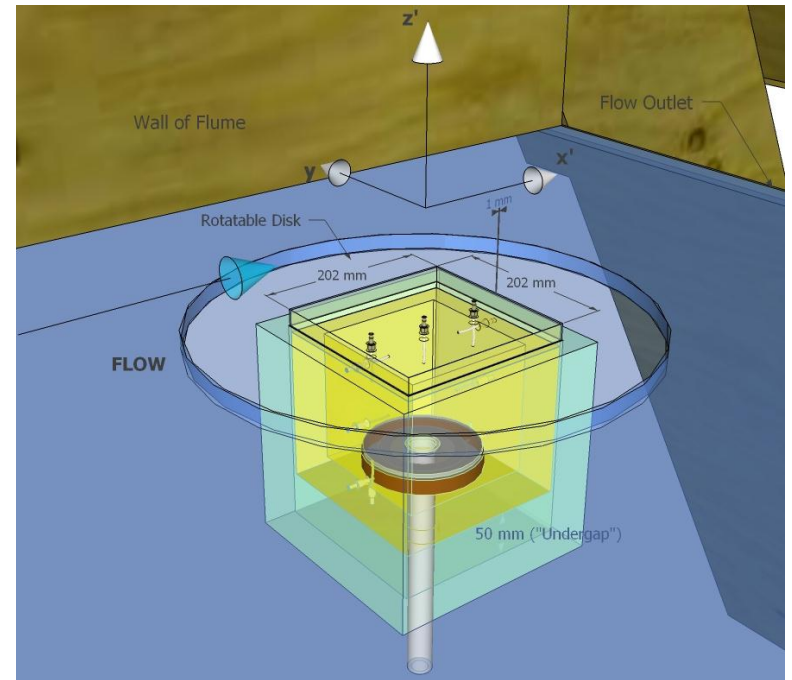
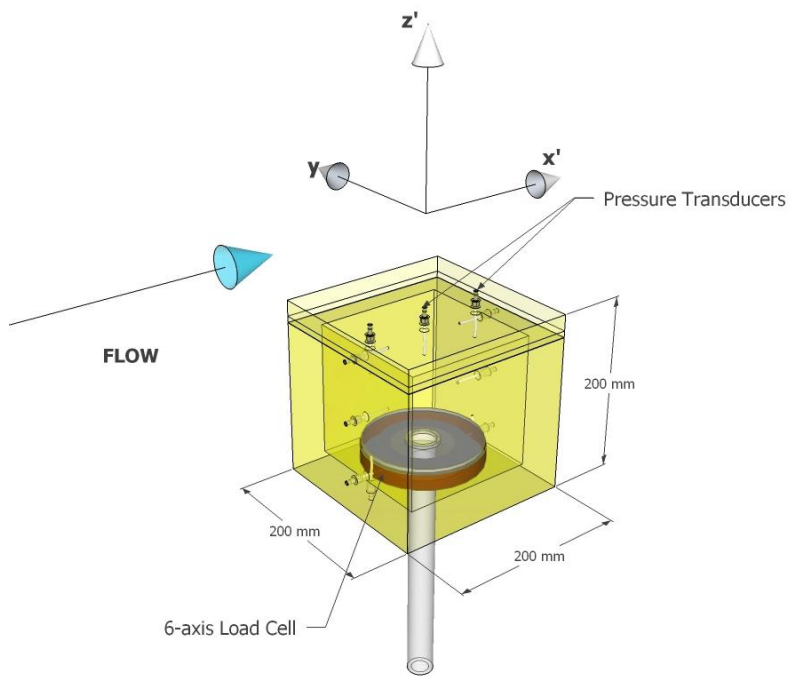


# Flume





# 'The block'

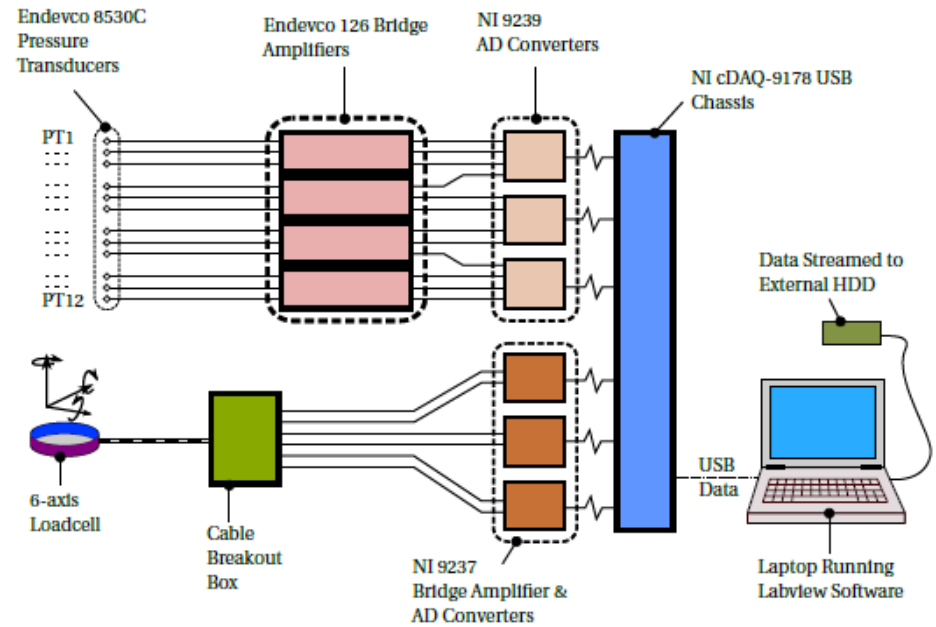
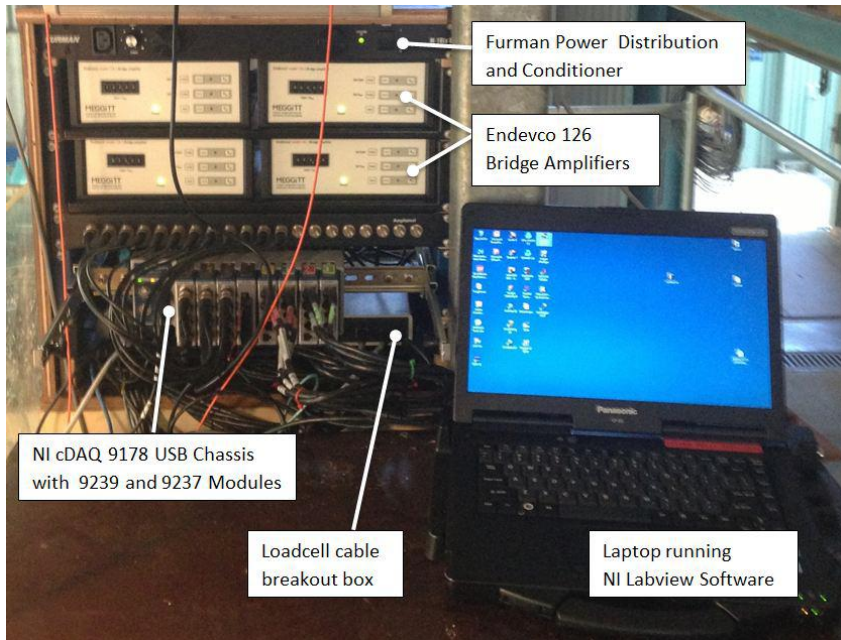




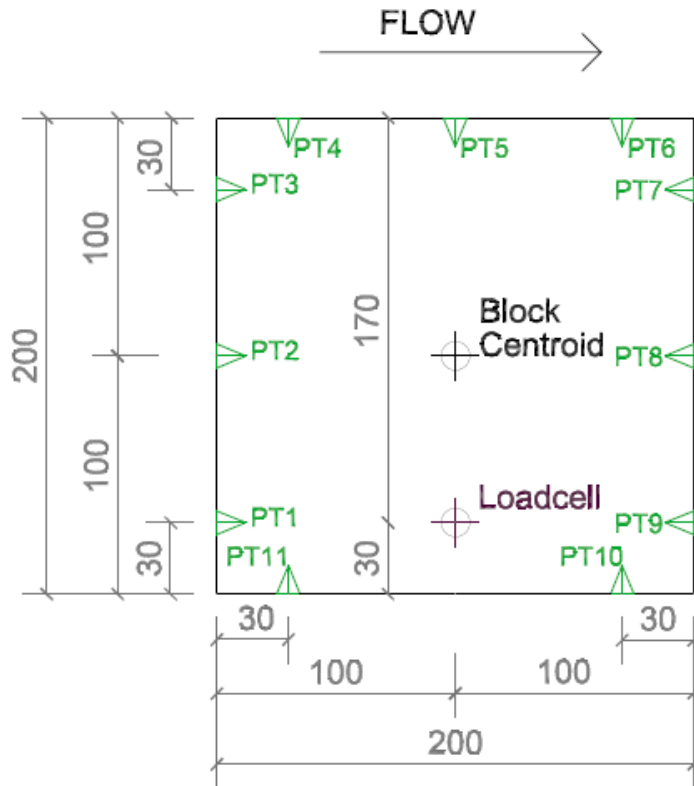
# Instruments



# Instruments



# Instruments

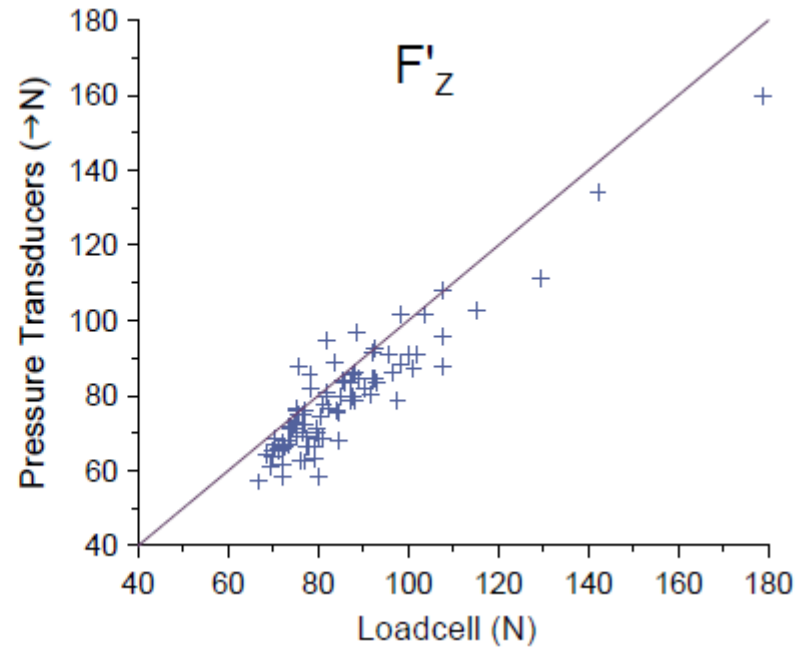
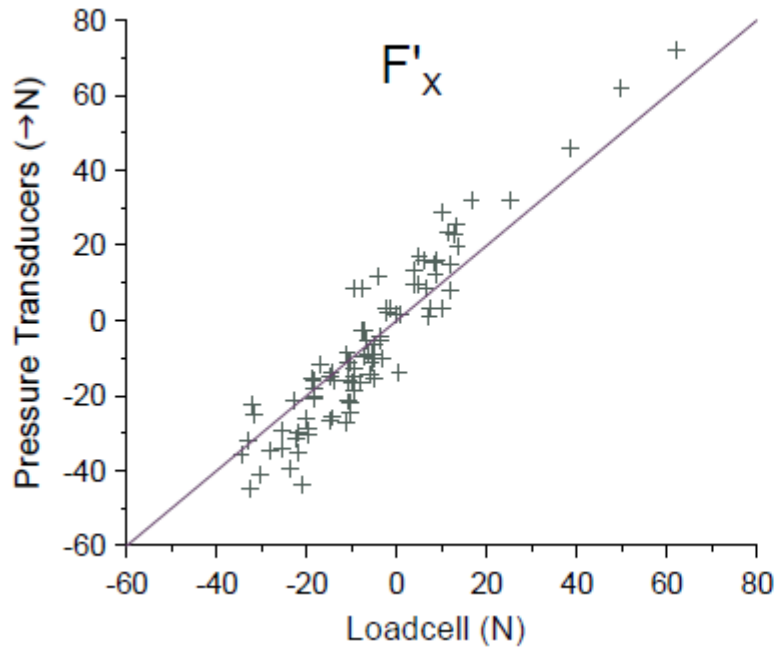


Pressure Transducer	Action Length (mm)	Width (mm)	Action Area (mm <sup>2</sup> )	Lever Arm (mm)
PT1	60	200	12000	-70
PT2	80	200	16000	0
PT3	60	200	12000	70
PT4	60	200	12000	-70
PT5	80	200	16000	0
PT6	60	200	12000	70
PT7	60	200	12000	-70
PT8	80	200	16000	0
PT9	60	200	12000	70
PT10	100	200	19018*	-52*
PT11	100	200	19018*	52*

\* These values include consideration of the area of the pole



# Instruments



# Base Tests



# Base Tests

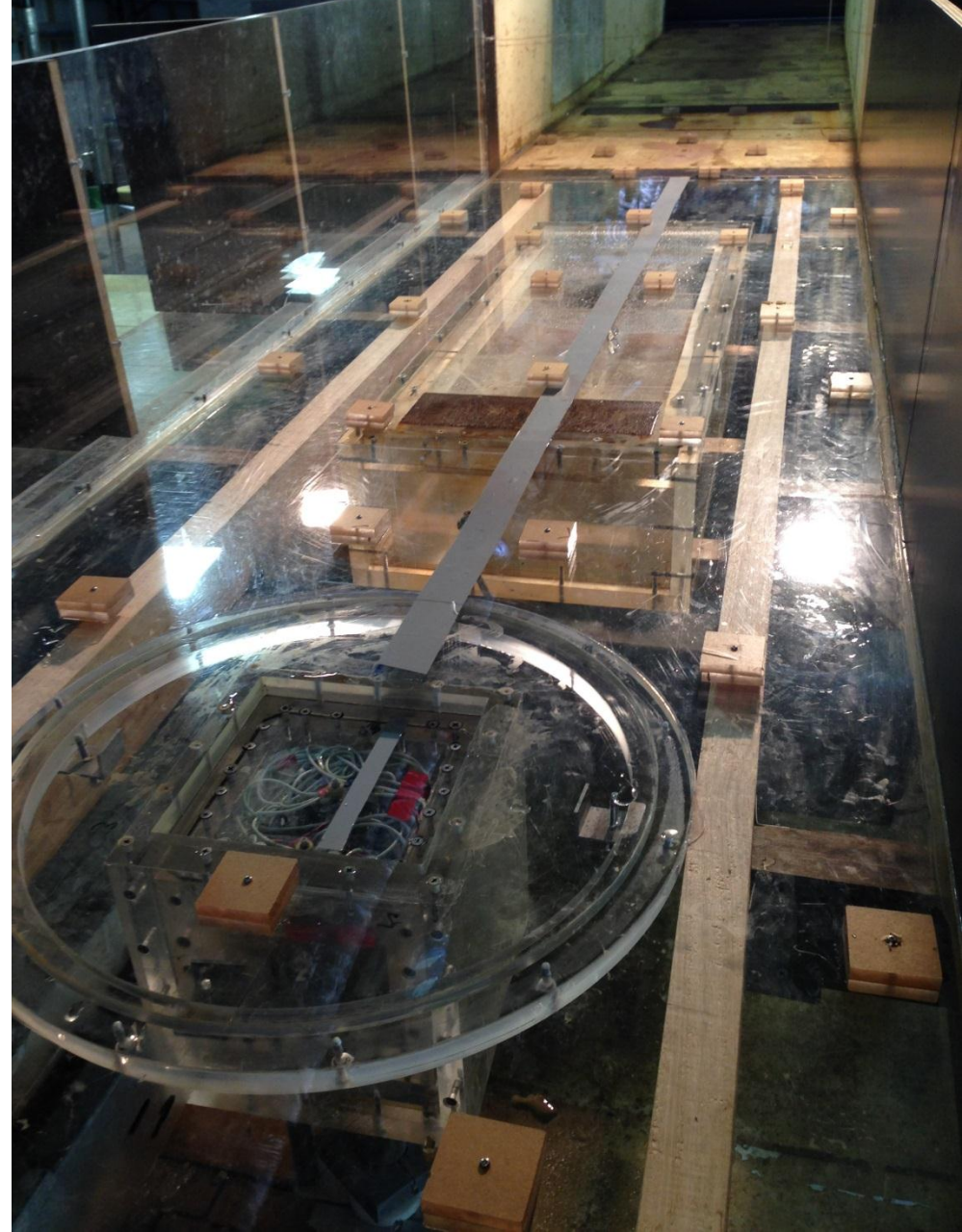




# Base Tests, with varying protrusion



# Varied roughness



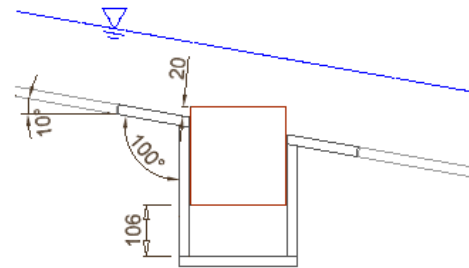
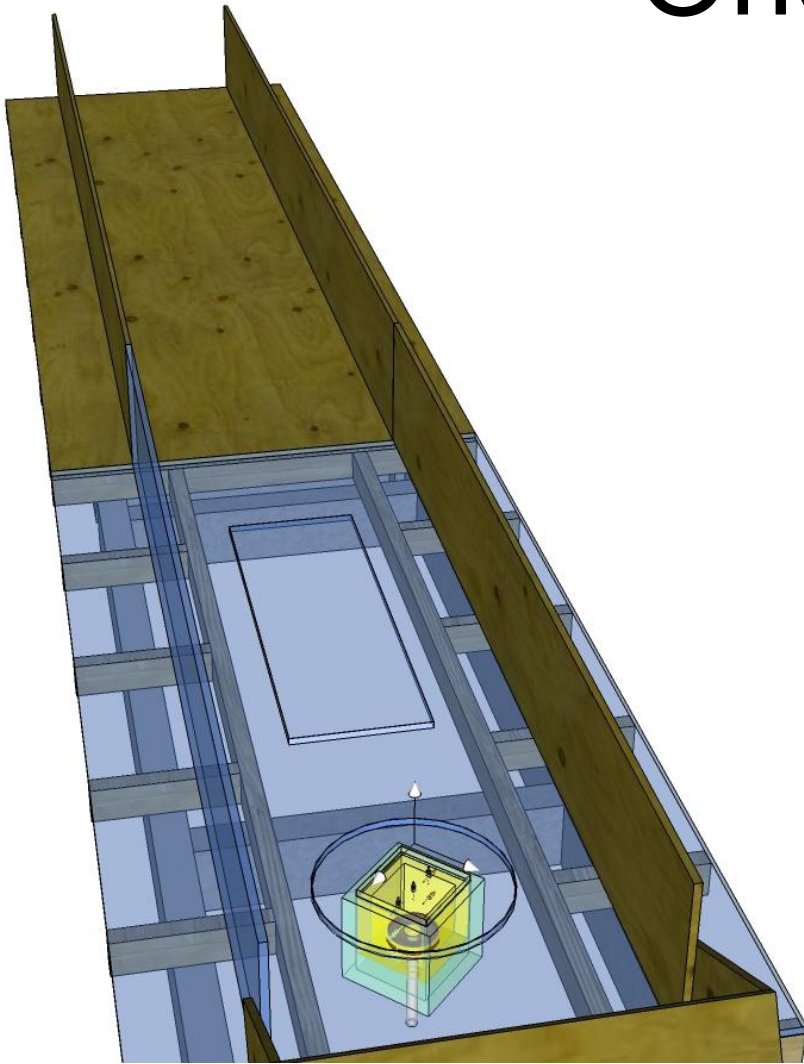


# Varied roughness

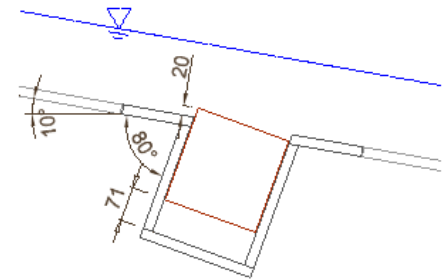




# Orientation

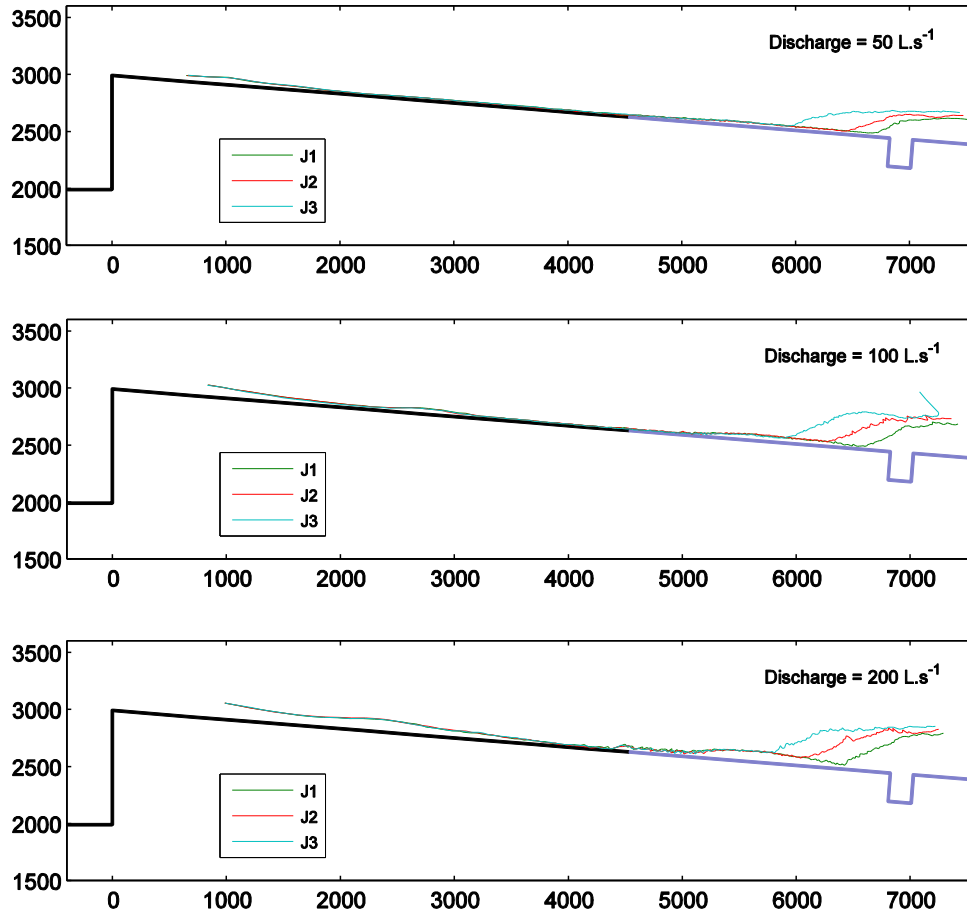


Test V1 at 10 degrees slope



Test V2 at 10 degrees slope

# Tests with Hydraulic Jumps



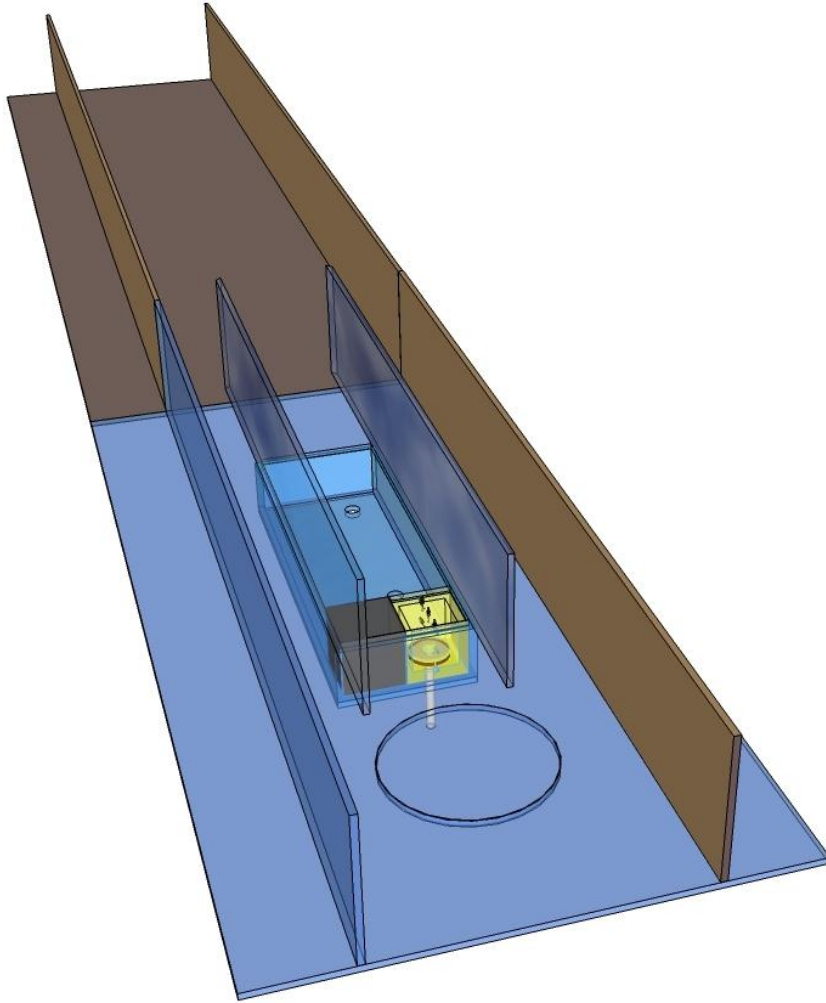
# Plunging (ski-jump)



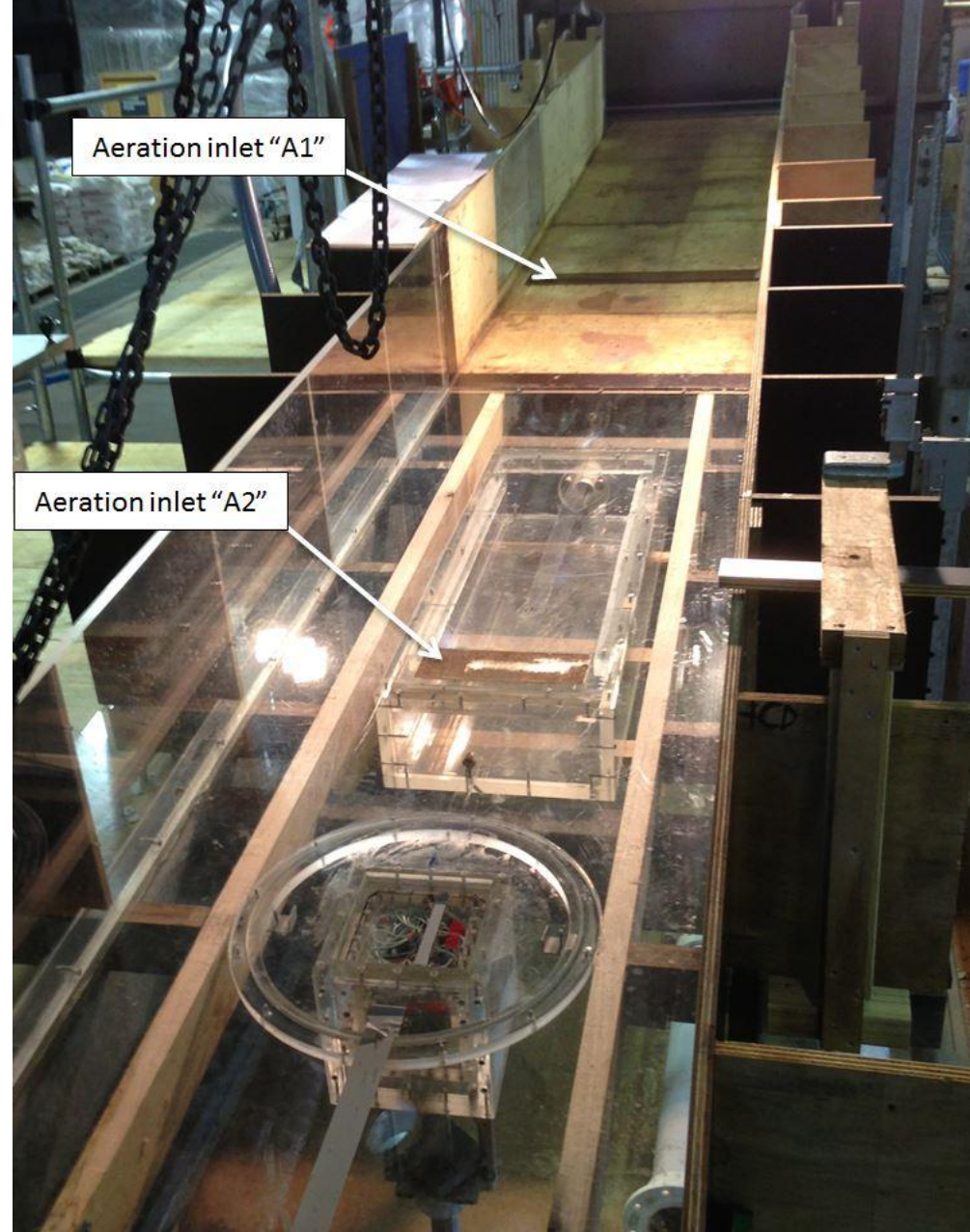
Top =  $u$   
Mid =  $0.97u$   
Bot =  $0.93u$



# Headcutting and Scour Holes

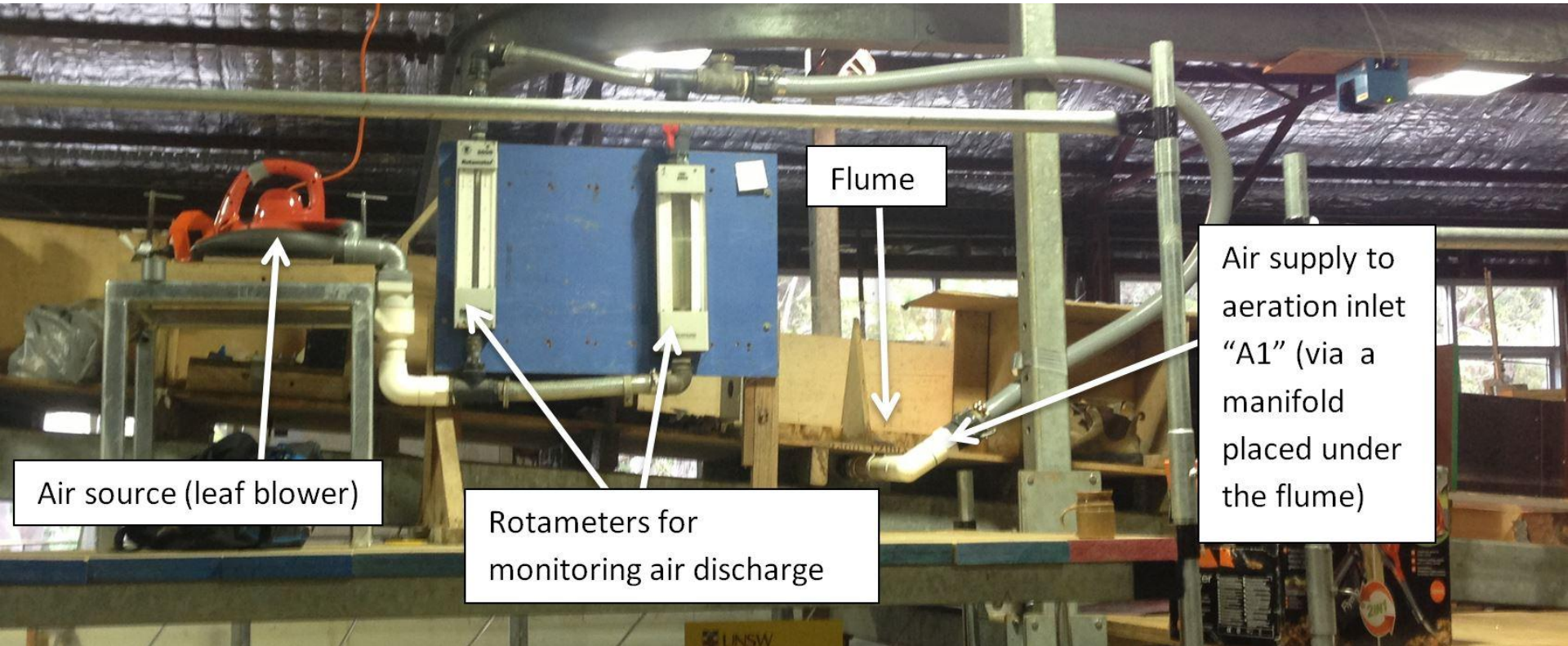


# Tests with Aeration



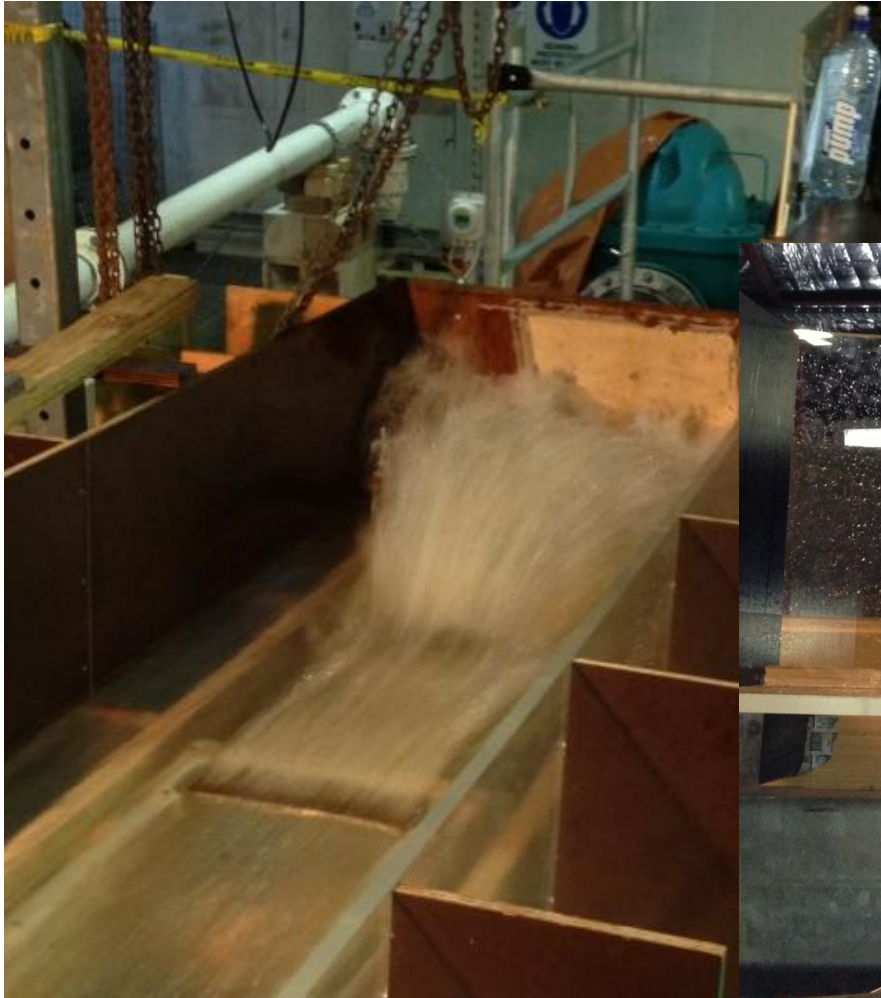


# Tests with Aeration





# Tests with Aeration

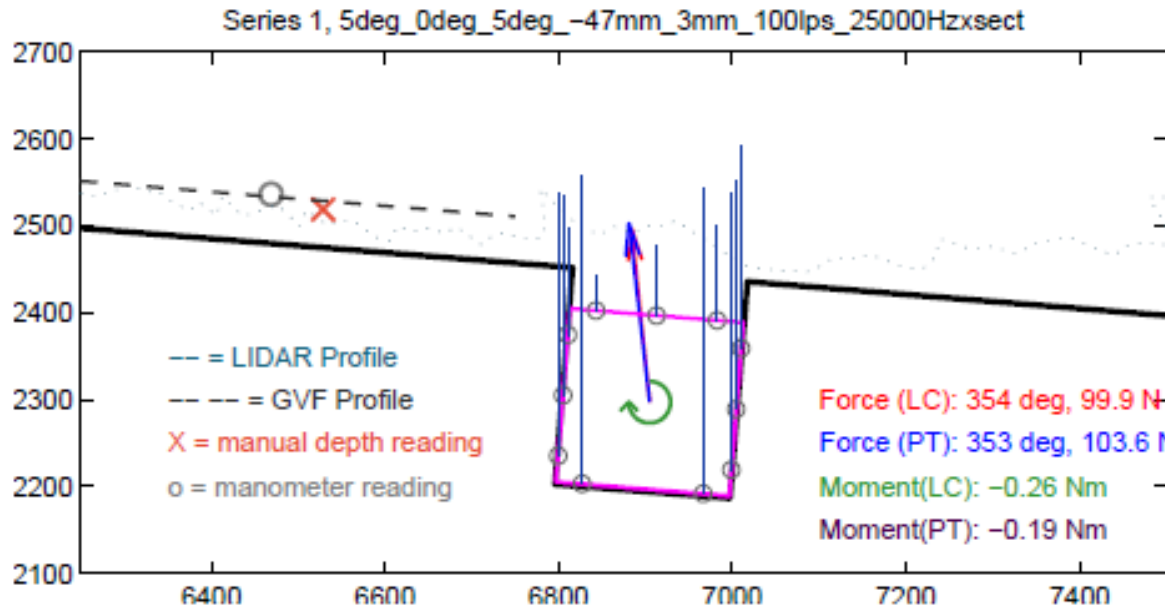


# Tests with Aeration





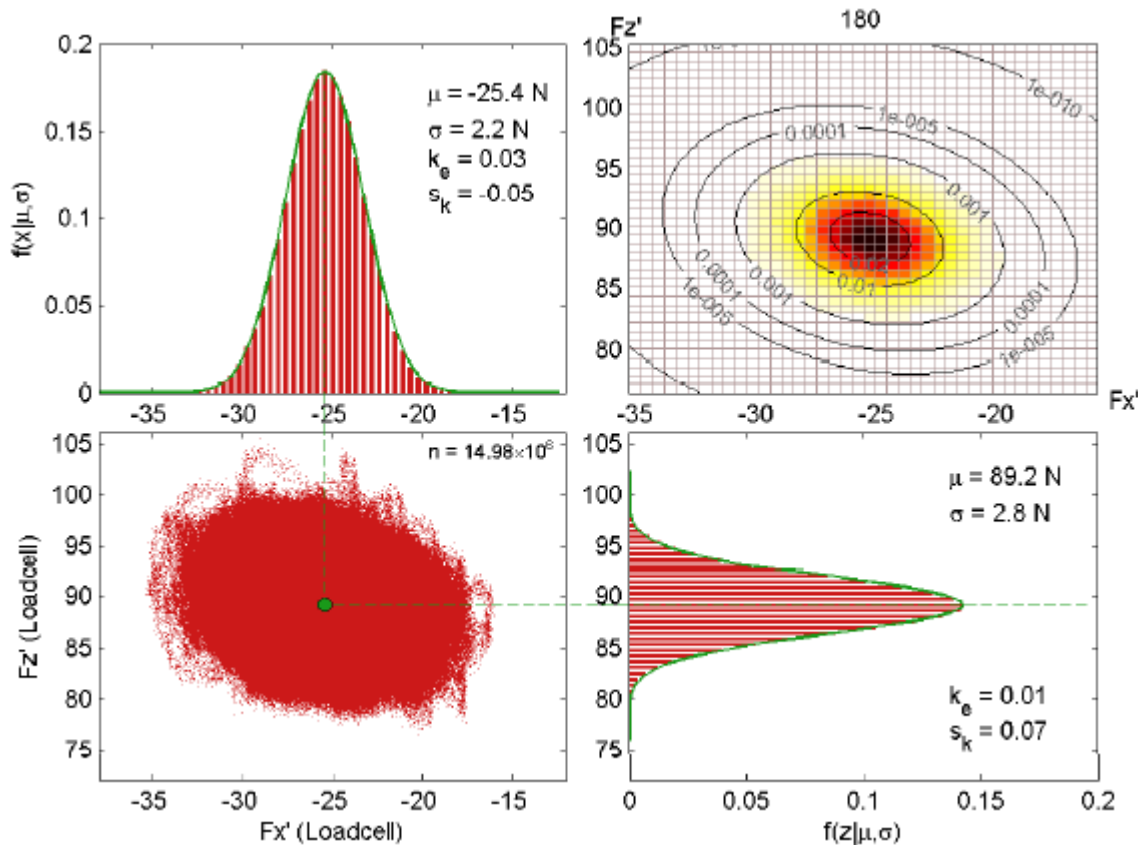
# Analysis Statics



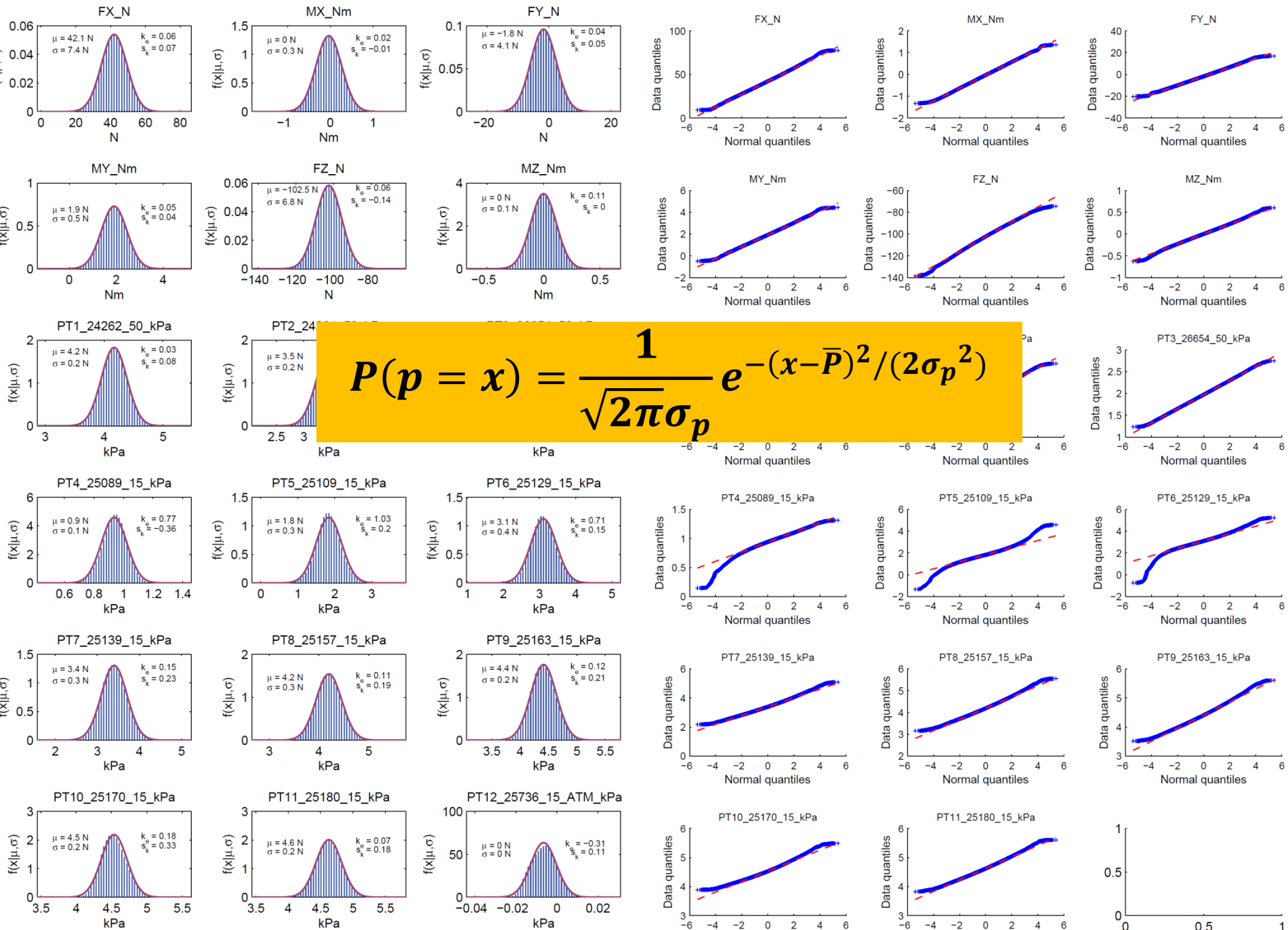
[LINK](#)



# Analysis Dynamics



[LINK](#)



# What to do with it?

- Drag force equations
  - Traditional “ $C_D$ ” values
  - A new drag equation
- Bed shear stress
- Slope stability
- Rip rap design equations
- Rock masses



# Rock-mass stability

Closed analytical solution not appropriate

- Complex and unhelpful
- Rock masses cant be generalised

“Don’t try to re-invent rock mechanics”

“give us pressures ... we will roll our own vectors”

# Dimensionless coefficients

## Mean Pressure

Pressure (Pa) recorded in laboratory by transducer  
(ie **total** pressure at that point)

$$\bar{C}_P = \frac{(\bar{P} / (\rho g) - H_P)}{\bar{u}^2 / 2g}$$

Pressure head, assuming a hydrostatic profile

Inferred velocity head at transducer

Mean velocity head of the flow

$$\bar{P} = \bar{C}_P \rho \frac{\bar{u}^2}{2} + H_P \rho g$$

Re-arrange to give design equation

# Dimensionless coefficients

## Fluctuating Pressure

Standard deviation of pressure (Pa) recorded by transducer over 10 minute test

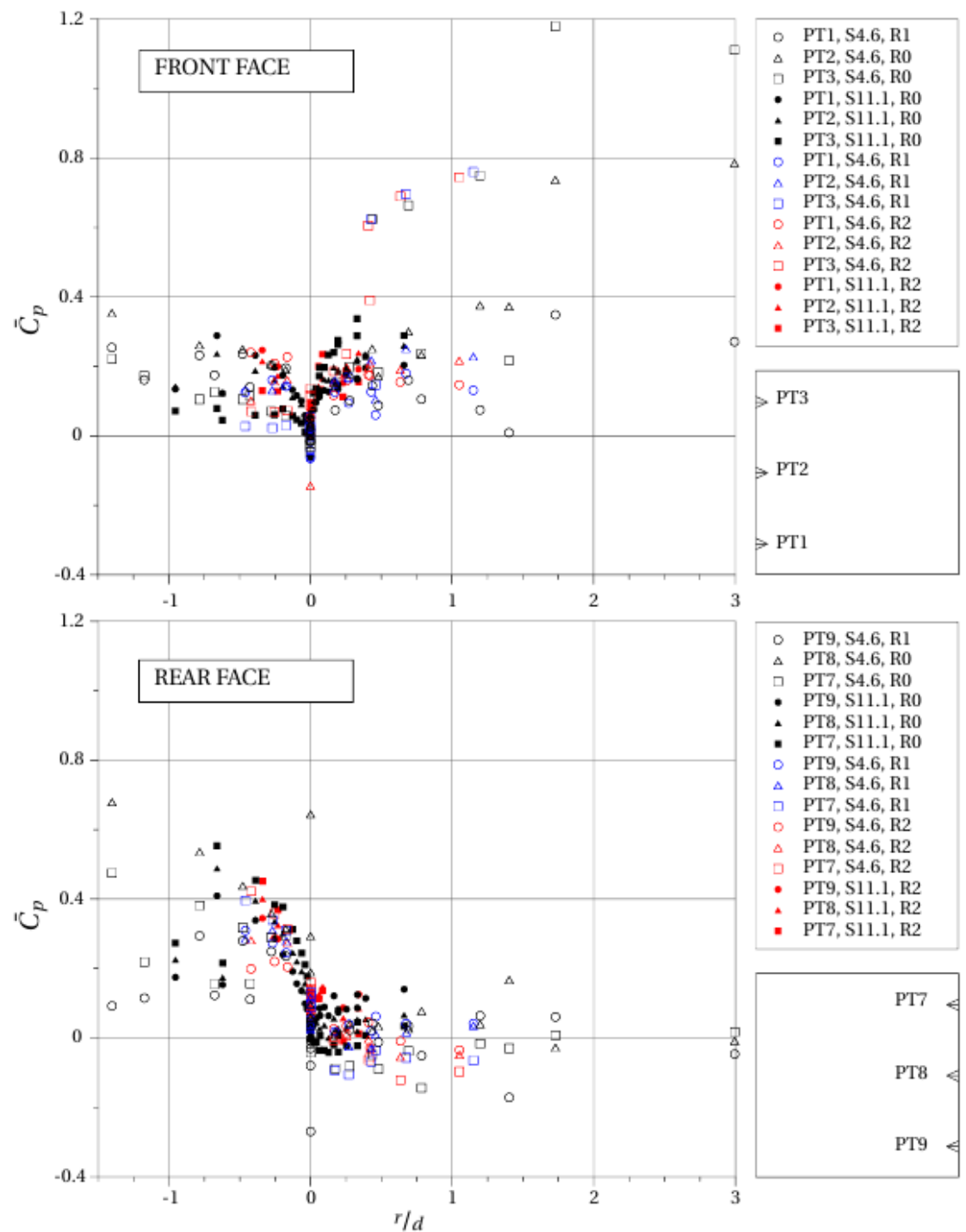
$$C_{P,\sigma} = \frac{\sigma_P / \rho g}{\bar{u}^2 / 2g} = \frac{2\sigma_P}{\rho \bar{u}^2}$$

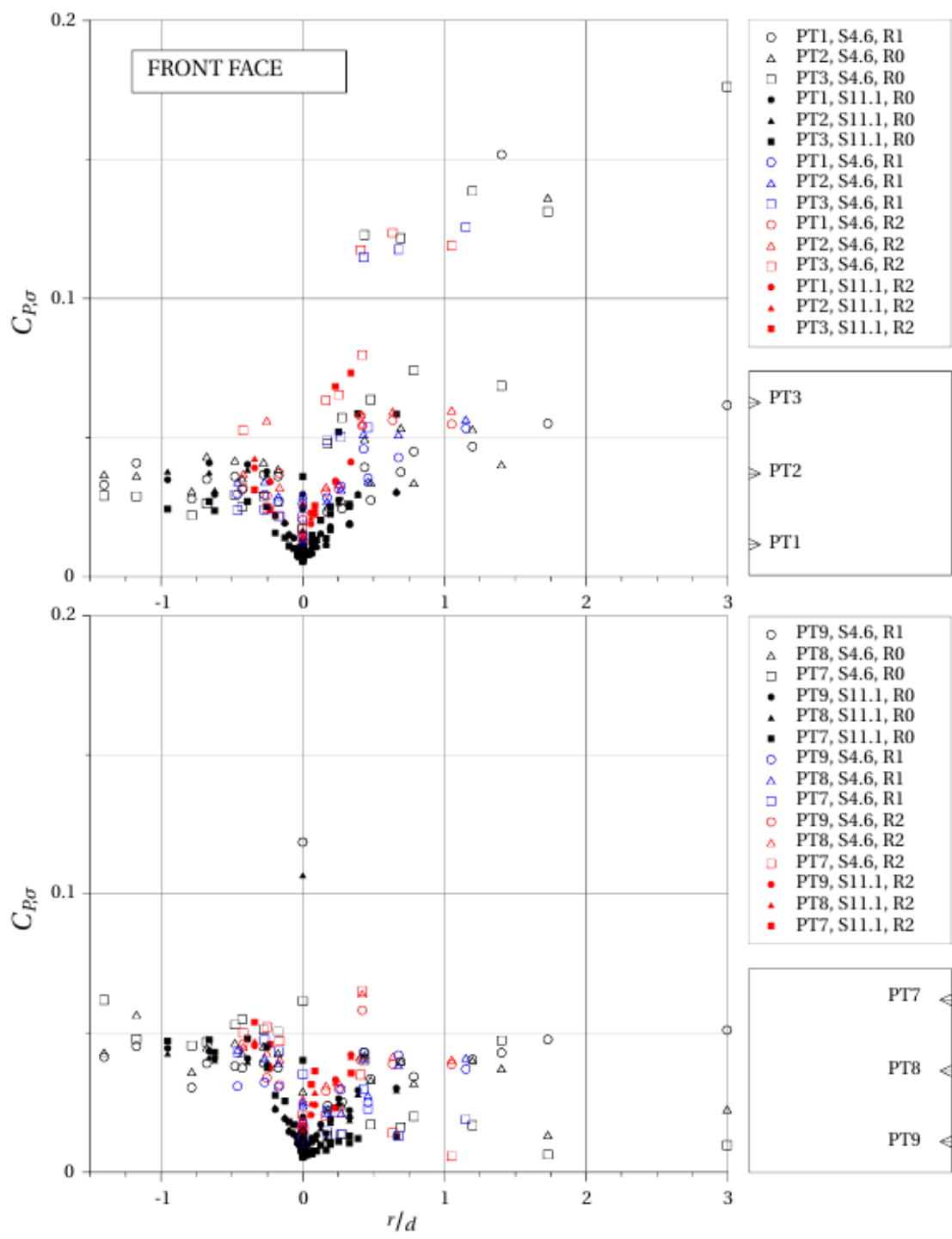
Velocity head

$$\sigma_P = C_{P,\sigma} \rho \frac{\bar{u}^2}{2}$$

Re-arrange to give design equation

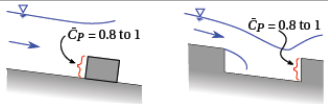
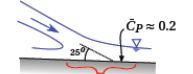
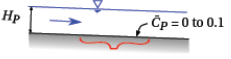
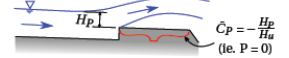
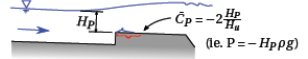
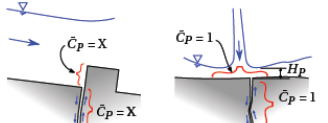
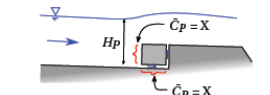
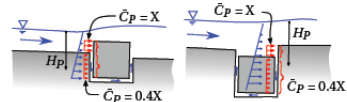
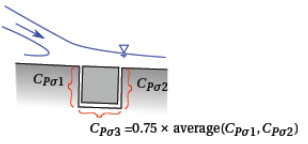
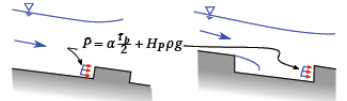
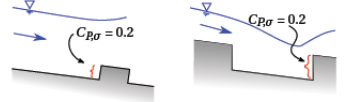
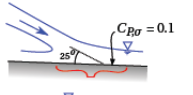
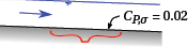




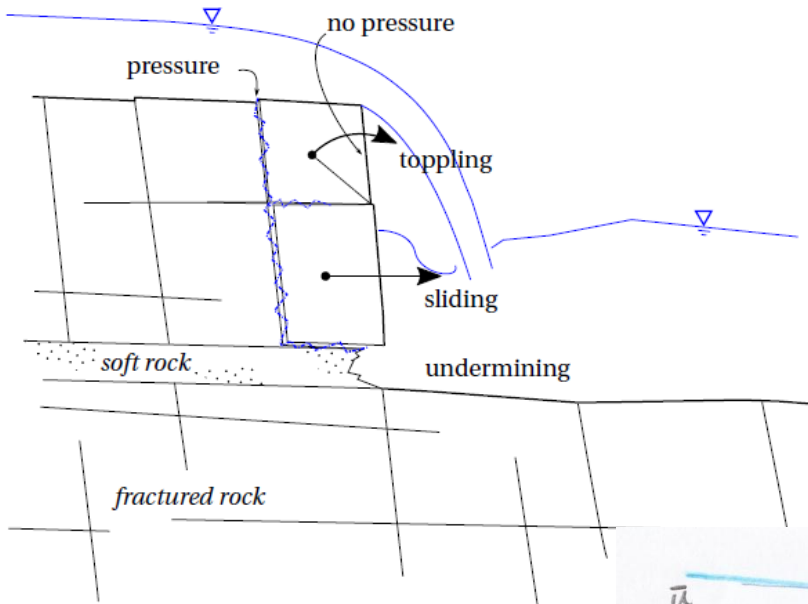


# Design coefficients

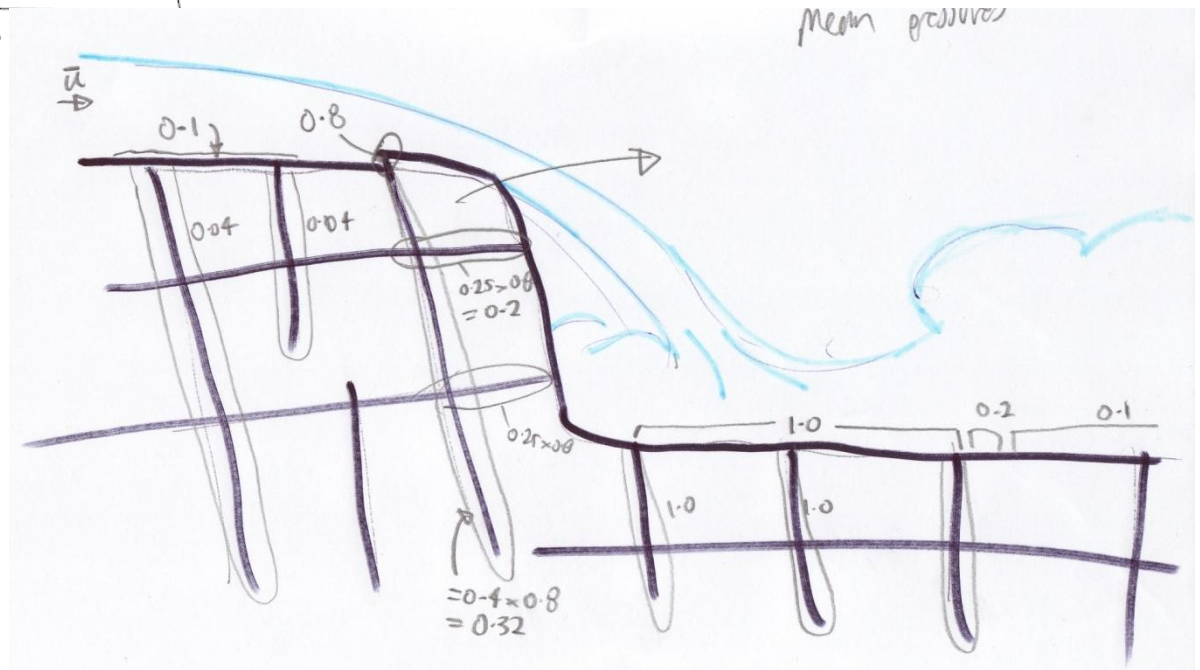
Table 6.3 Design pressure coefficients

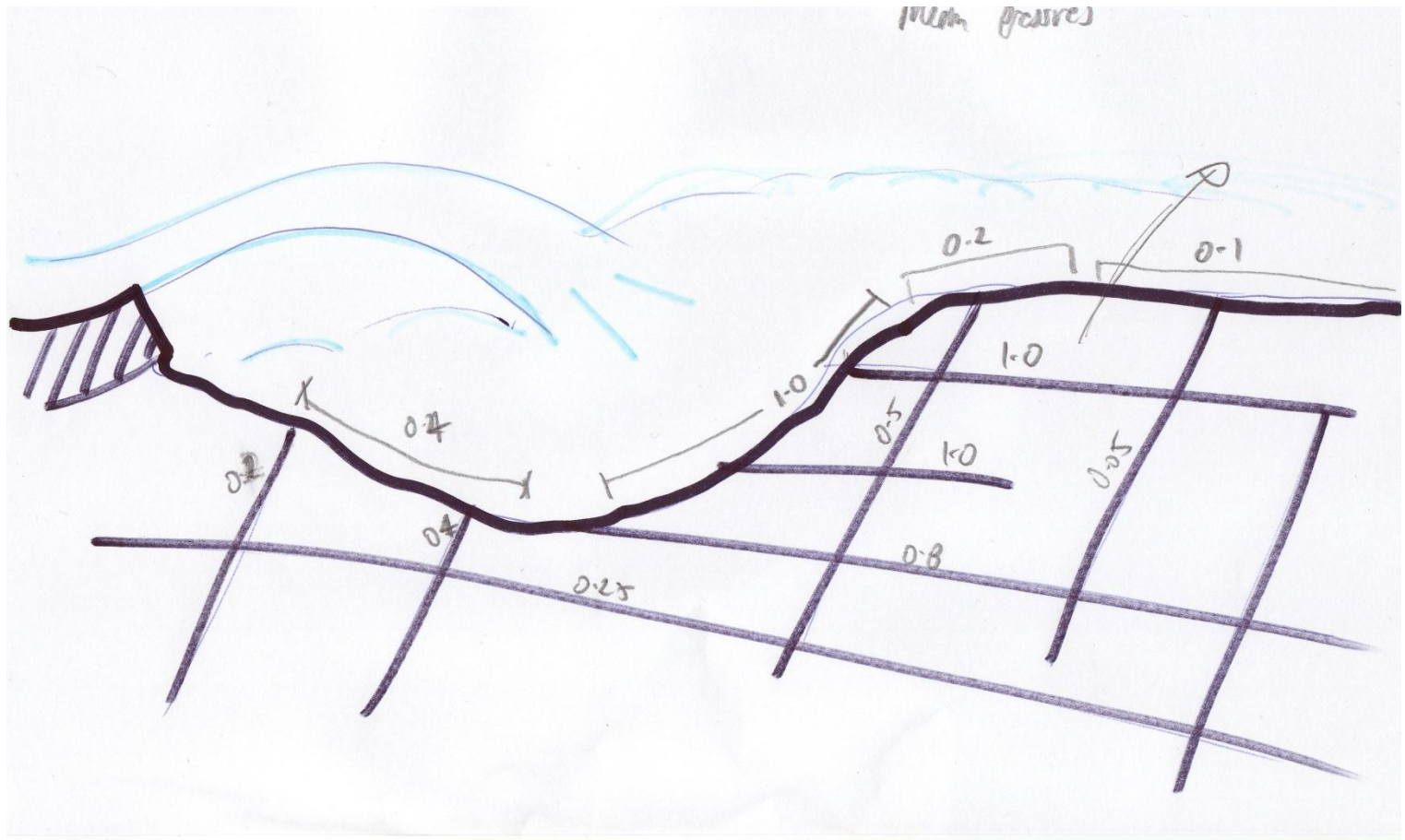
Hydraulic Action	Design Equation	Design coefficient	Basis	Examples
$\bar{P}$ (kPa)	$= \bar{C}_p \rho \frac{u^2}{2} + H_p \rho g$	$\bar{C}_p = 0.8$ to 1 for surfaces protruding perpendicular to bed-parallel flow or jet impingement.	Figure 6.35 (PT3); Figure 6.37 (PT1, PT2, PT3 for scour hole cases)	
		$\bar{C}_p = 0.2$ for surfaces 25° to flow direction or jet impingement.	Figure 6.38 (PT4, PT5, PT6 for r/d=0)	
		$\bar{C}_p = 0$ to 0.1 for surfaces parallel (streamlined) to flow, no detachment.	Figure 6.36 (PT4, PT5, PT6 for r/d=0)	
		$\bar{C}_p = -\frac{H_p}{H_u}$ (ie $\bar{P} = 0$ ) where flow fully detached from surface.	Section 6.3.2	
		$\bar{C}_p = -2\frac{H_p}{H_u}$ where flow detachment imminent.	Section 6.3.2; Figure 6.36 (PT4); Figure 6.40 (PT4)	
		Daylighting, single-ended defects adopt the surface $\bar{C}_p$ value.	not tested	
		Daylighting, thoughflowing, defects with dip parallel to impinging flow adopt the surface $\bar{C}_p$ value.	Figure 6.38 (PT10, PT11 for scour hole cases)	
$\bar{P}$ (kPa)	$= \alpha \frac{u^2}{2} + H_p \rho g$	Daylighting, thoughflowing, defects with dip perpendicular to impinging flow adopt 0.4 × surface $\bar{C}_p$ value.	Figure 6.35 (PT1, PT2 for 0 <math>\alpha</math>/d <math>< 1.5</math>; PT8, PT9 for -1 <math>< r/d < 0</math>)	
		Buried (ie - non-daylighting) defects adopt 0.75 × average of connected defect $\bar{C}_p$ values.	Figure 6.37 (PT7, PT8, PT9 for scour hole cases), Figure 6.26 ( $F_z$ increase as two joints exposed due to rotation), Figure 6.36 (PT10 and PT11)	
		for surfaces protruding perpendicular to bed-parallel flow (preferable for small protrusions).	$\alpha$ from Equation A.1.69. See Section 6.4.5	
$\sigma_p$ (kPa)	$= C_{p\sigma} \rho \frac{u^2}{2}$	$C_{p\sigma} = 0.2$ for surfaces protruding perpendicular to bed-parallel flow or jet impingement.#1.	Figure 6.41 (PT3); Figure 6.43 (PT1, PT2, PT3)	
		$C_{p\sigma} = 0.1$ for surfaces 25° to flow direction or jet impingement.#1.	Figure 6.44 (PT4, PT5, PT6)	
		$C_{p\sigma} = 0.02$ for surfaces parallel (streamlined) to flow, no detachment.#1.	Figure 6.42 (PT4, PT5, PT6 for r/d=0)	



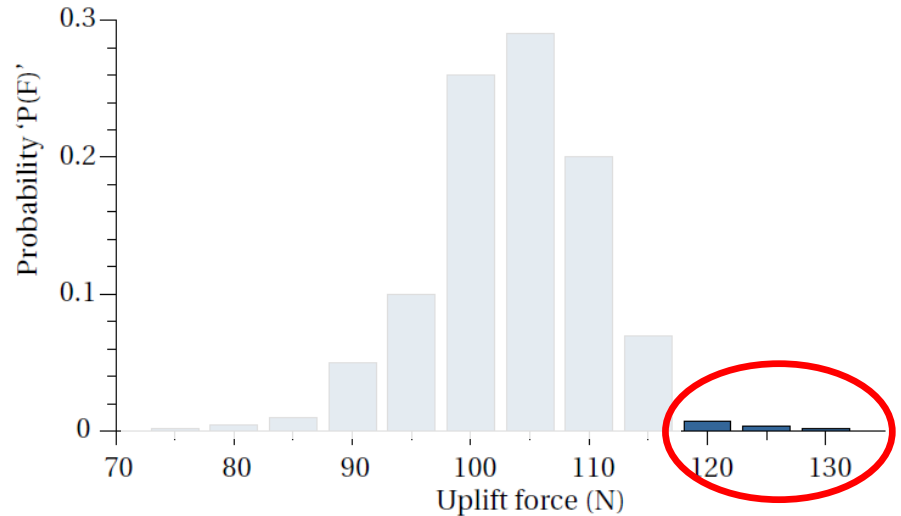
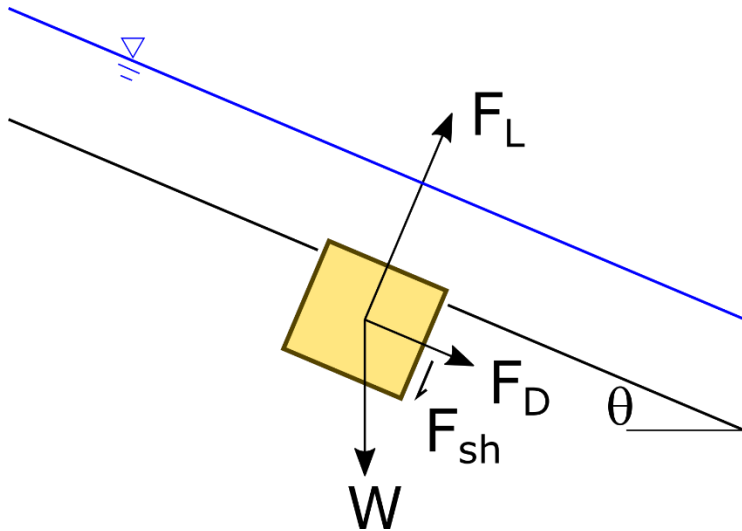


**Practitioners need to perceive the problem and develop own force vectors ...**





# Analytical block removal



Consider the case of lifting of the block.  
For the purpose of illustration, assume:  
 $F_L$  = hydraulic uplift force, fluctuating as per histogram shown  
 $F_D$  = drag force = 30 N  
 $F_{sh}$  = rock shear force, =  $F_D \tan \phi = 17$  N  
 $W$  = mass of block = 10kg (98 N)

For movement, require:

$$F_L > F_{sh} + W \cos \theta$$

$$F_L > 115 \text{ N}$$



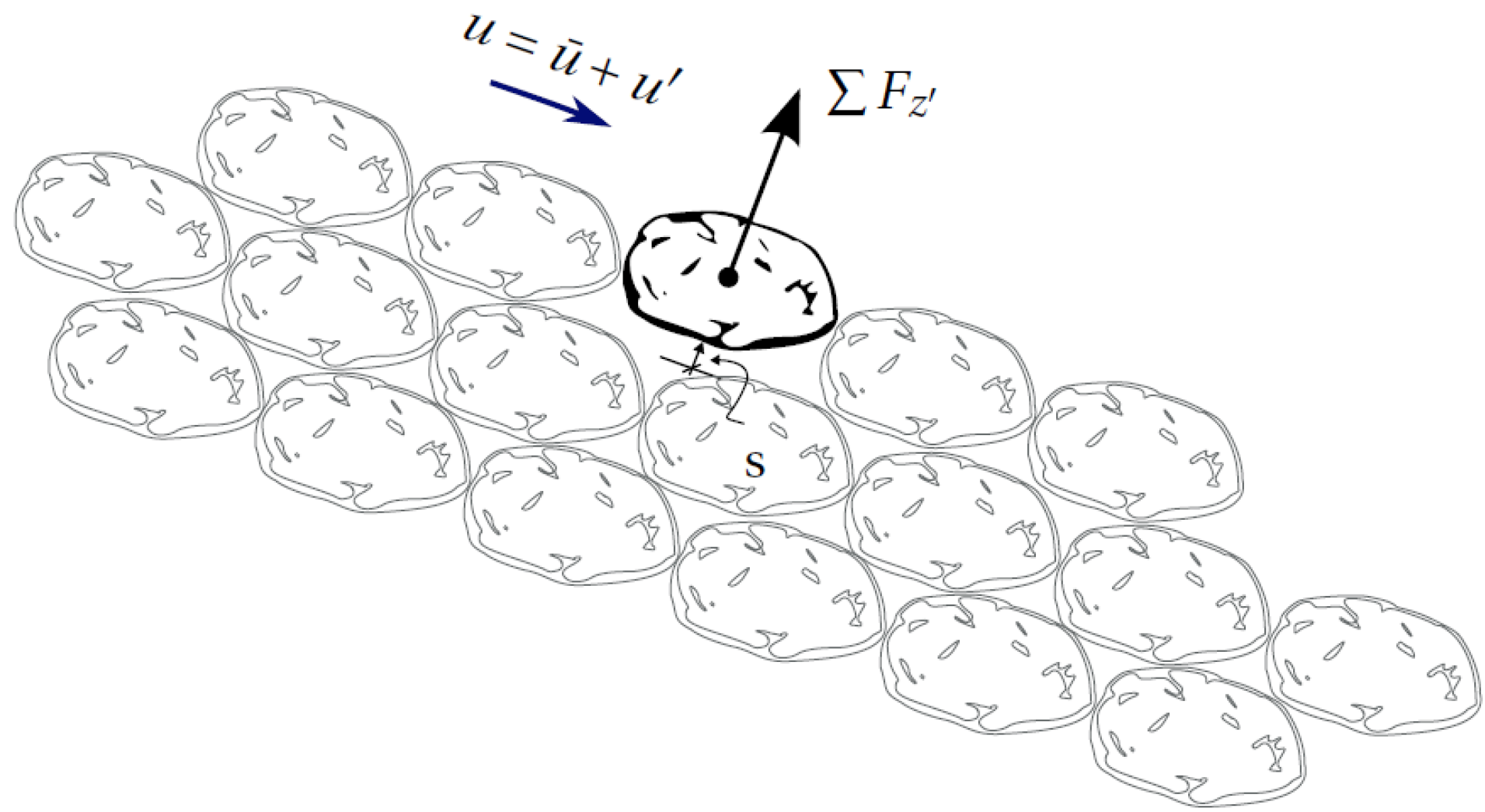
Lift Force (N)	P(F)	Force Duration (secs)	Net applied force $F_L - W$ (N)		Restraining force $F_D \tan \phi$ available applied (N)		$F_{net}$ (N)	Displacement Case 1 Case 2 up/down up only (mm) (mm)	
75	0.002	= 0.002 × 60 = 0.12	-23.1	17.3	-17.3	-5.8	-4.2	0.0	
80	0.005	= 0.005 × 60 = 0.3	-18.1	17.3	-17.3	-0.8	-3.5	0.0	
85	0.01	= 0.01 × 60 = 0.6	-13.1	17.3	-13.1	0.0	0.0	0.0	
90	0.05	= 0.05 × 60 = 3	-8.1	17.3	-8.1	0.0	0.0	0.0	
95	0.1	= 0.1 × 60 = 6	-3.1	17.3	-3.1	0.0	0.0	0.0	
100	0.26	= 0.26 × 60 = 15.6	1.9	17.3	1.9	0.0	0.0	0.0	
105	0.29	= 0.29 × 60 = 17.4	6.9	17.3	6.9	0.0	0.0	0.0	
110	0.2	= 0.2 × 60 = 12	11.9	17.3	11.9	0.0	0.0	0.0	
115	0.07	= 0.07 × 60 = 4.2	16.9	17.3	16.9	0.0	0.0	0.0	
120	0.007	= 0.007 × 60 = 0.42	21.9	17.3	17.3	4.6	40.4	40.4	
125	0.004	= 0.004 × 60 = 0.24	26.9	17.3	17.3	9.6	27.6	27.6	
130	0.002	= 0.002 × 60 = 0.12	31.9	17.3	17.3	14.6	10.5	10.5	
Total	1	= 1.0 × 60 = 60					70.8	78.5	

Displacement

$$s_{rock} = \frac{F_{net}}{V_s \cdot \rho_s} \frac{t^2}{2}$$

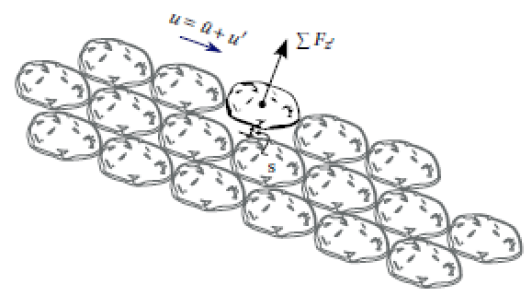
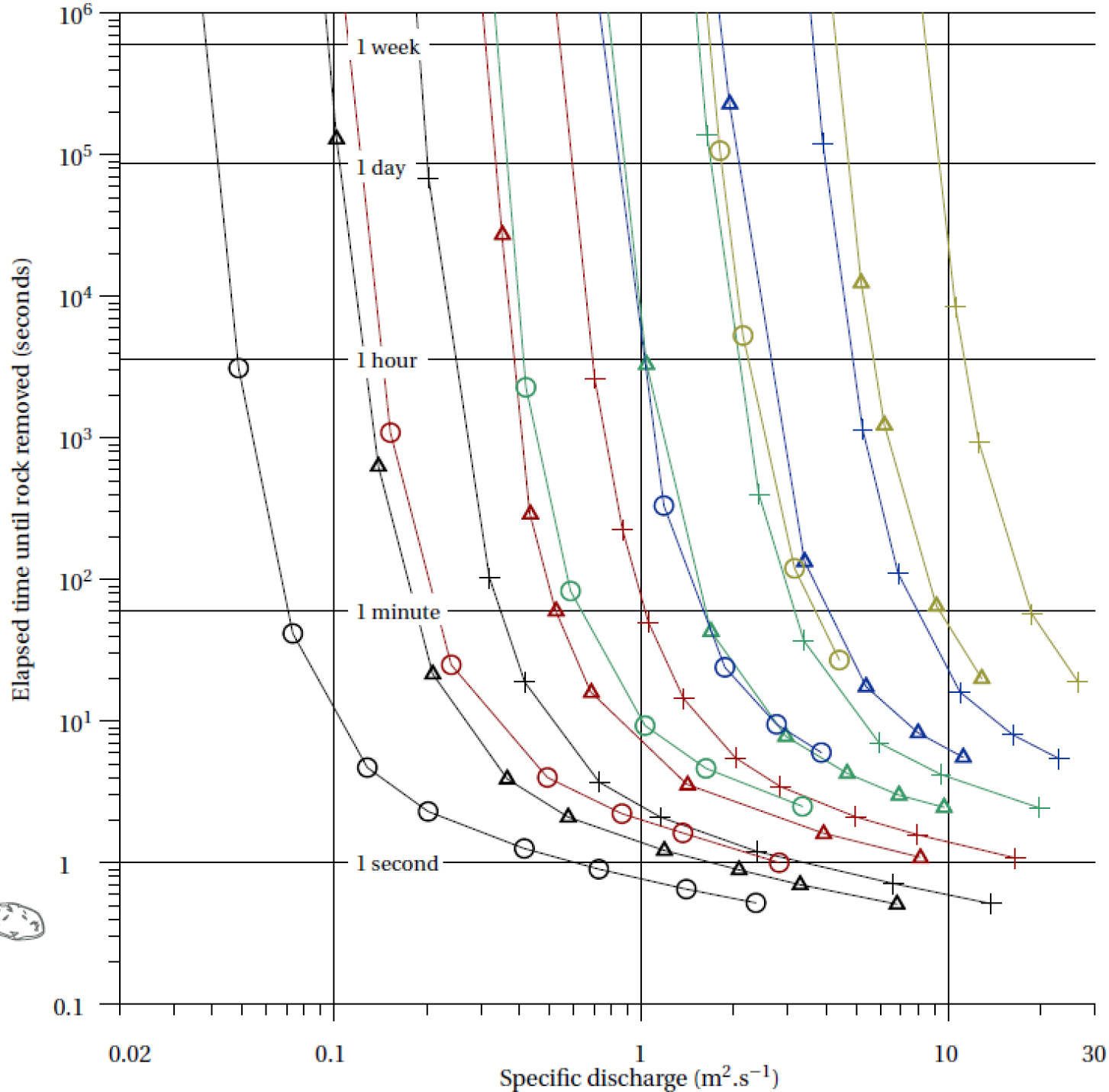
Time

Displacement after 60 seconds

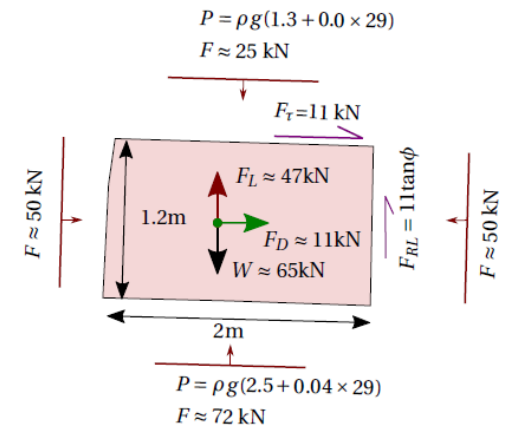
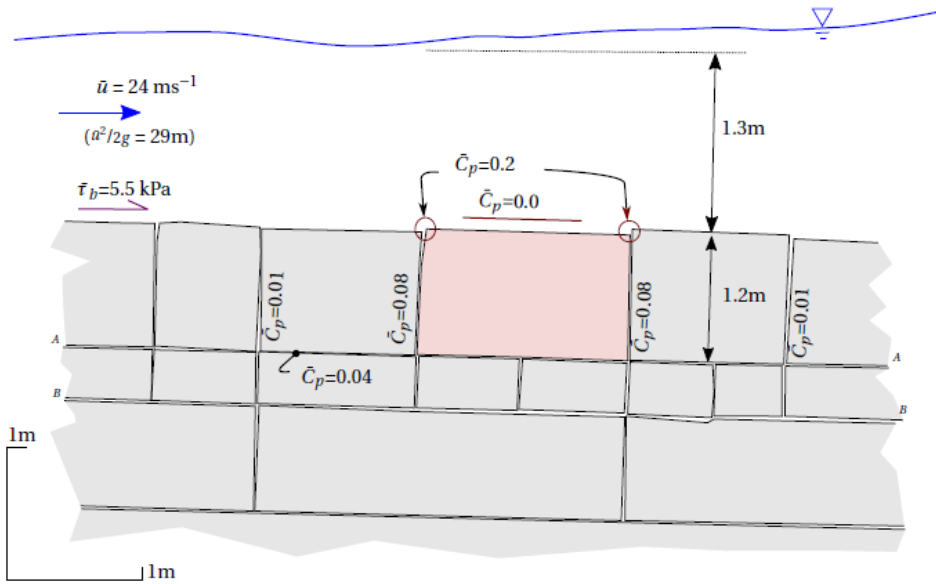
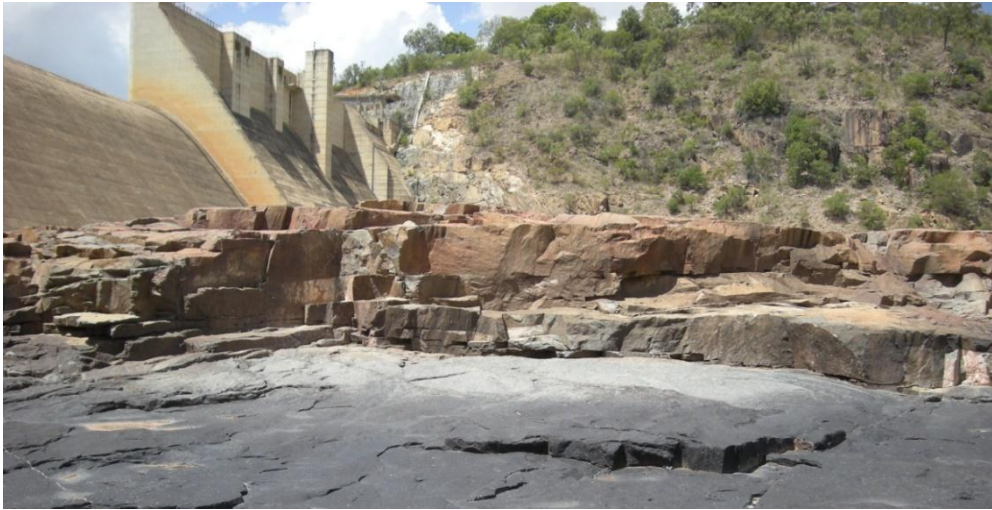


Black - 0.1m diameter rocks  
 Red - 0.2m diameter rocks  
 Green - 0.4m diameter rocks  
 Blue - 0.7m diameter rocks  
 Gold - 1.2m diameter rocks

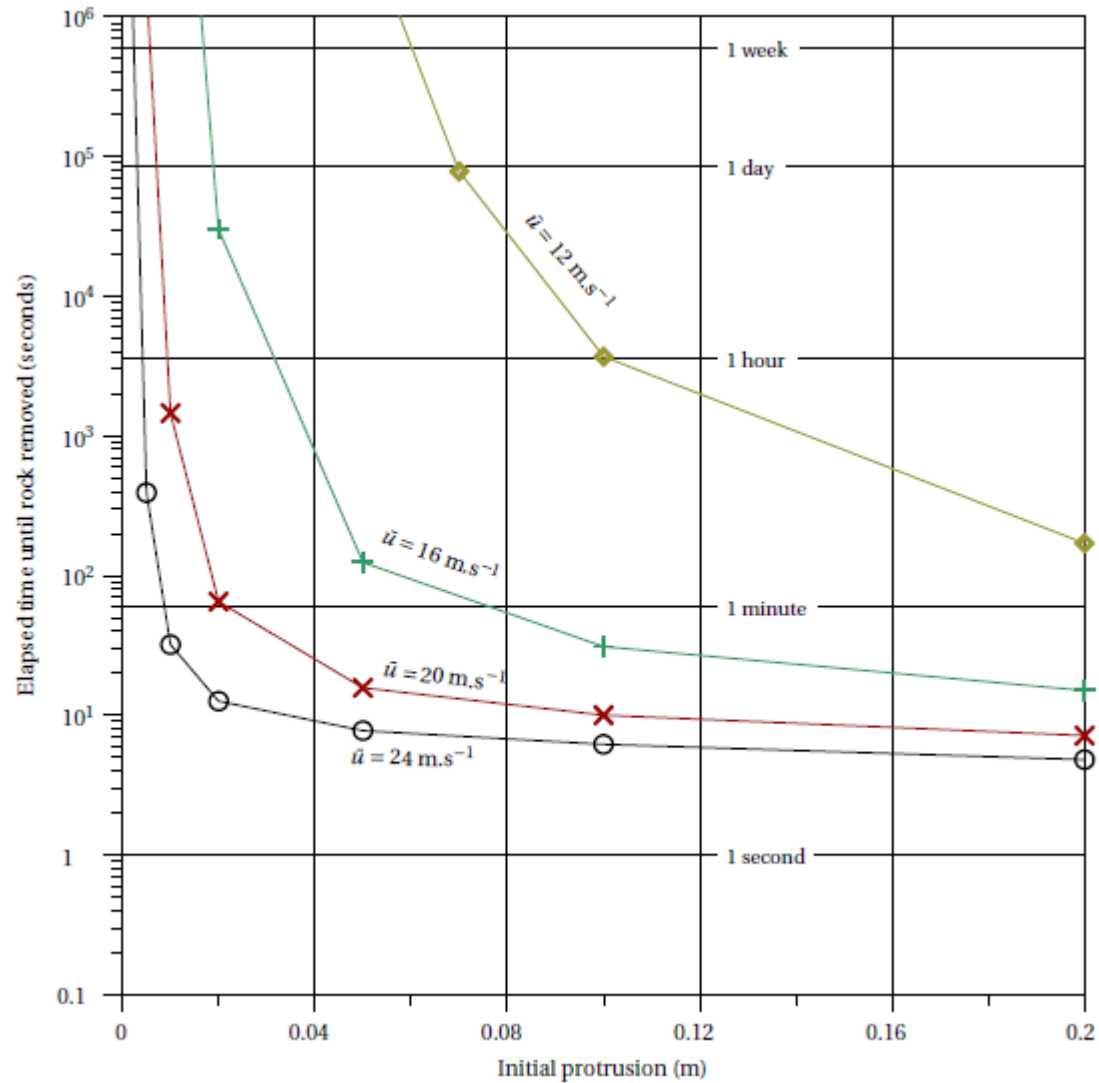
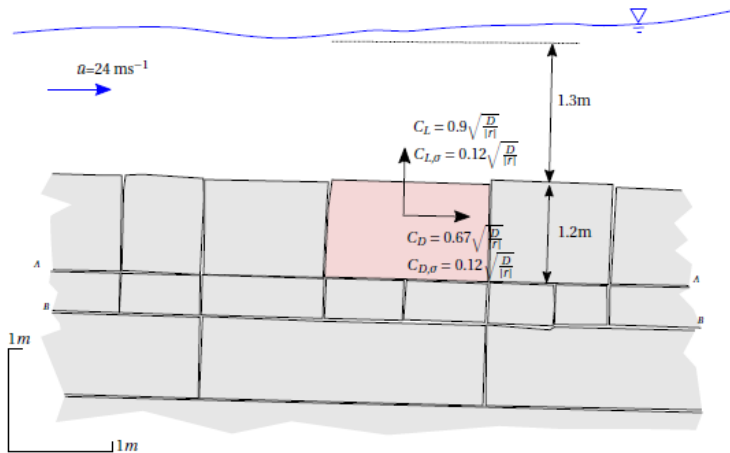
$\dagger$   $1^\circ$  slope  
 $\triangle$   $2.5^\circ$  slope  
 $\circ$   $10^\circ$  slope

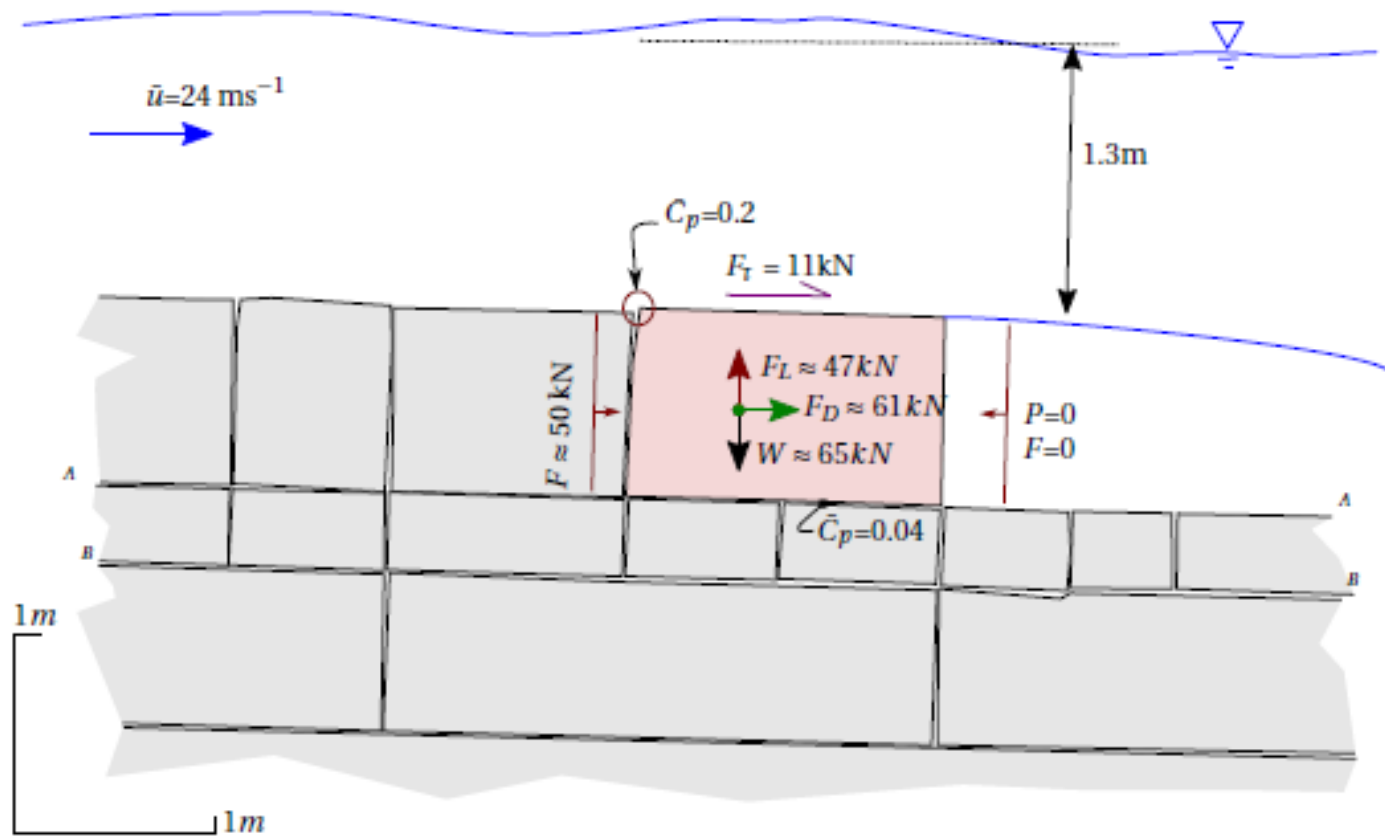




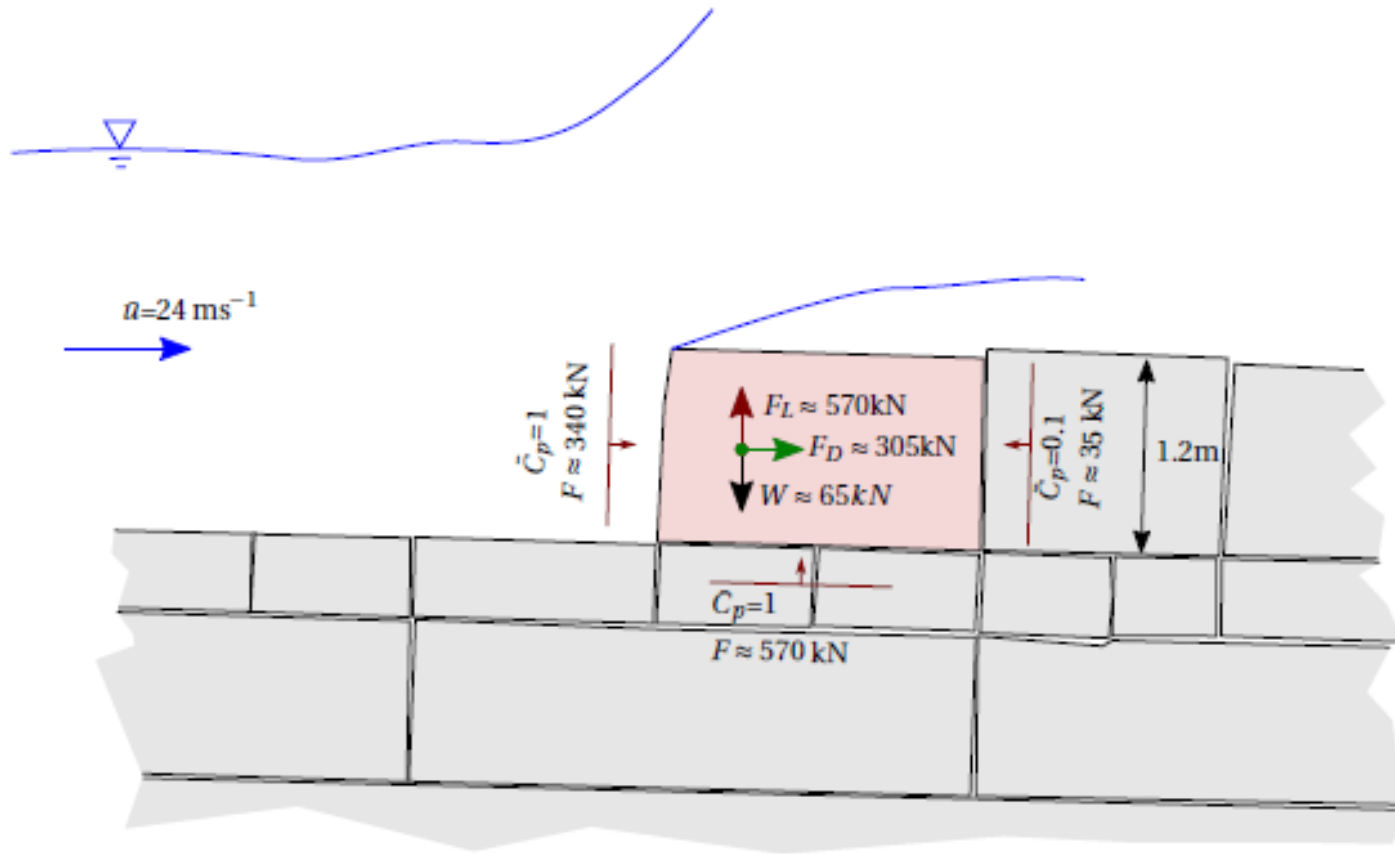


# Fluctuating removal of a block



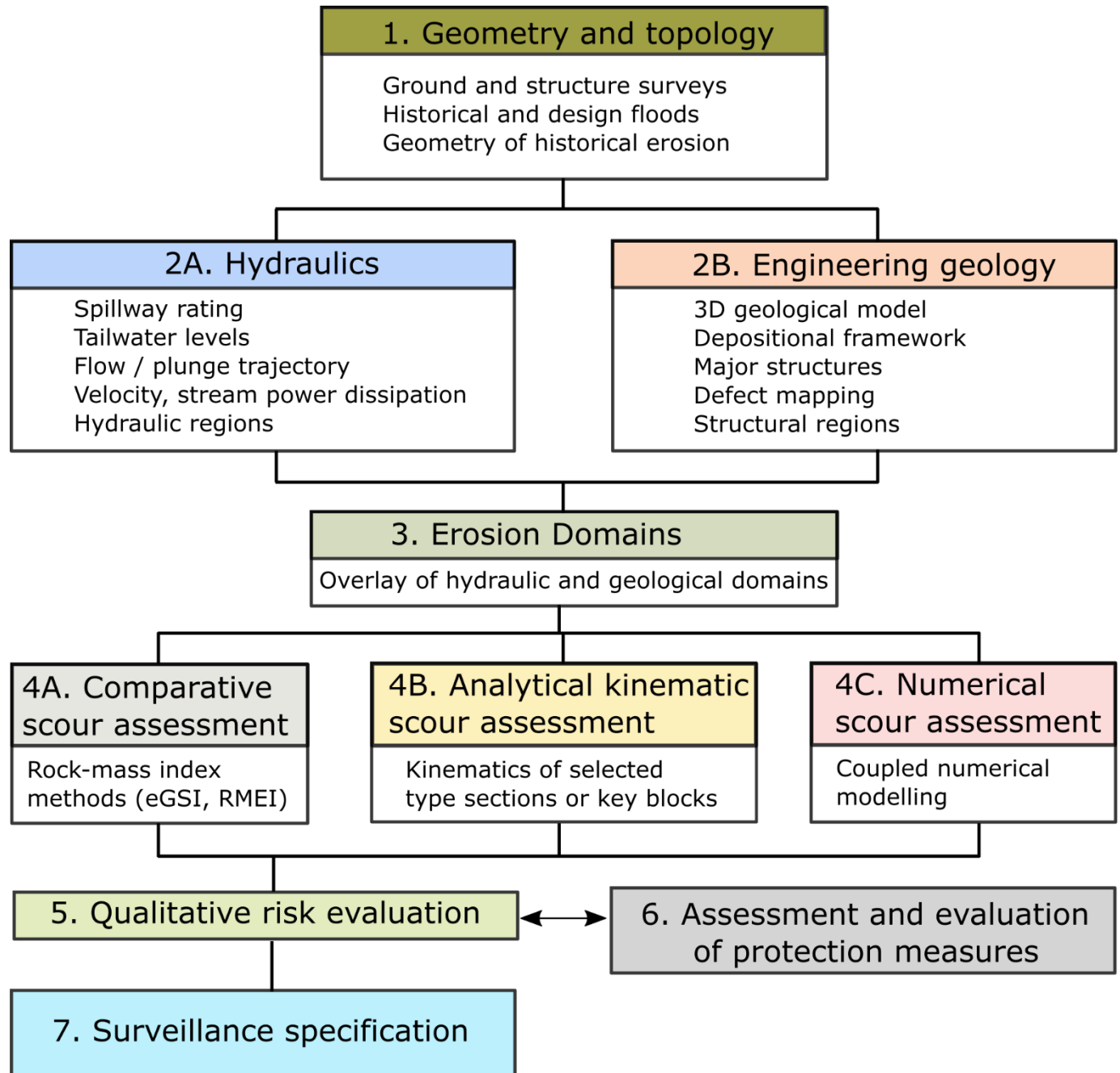






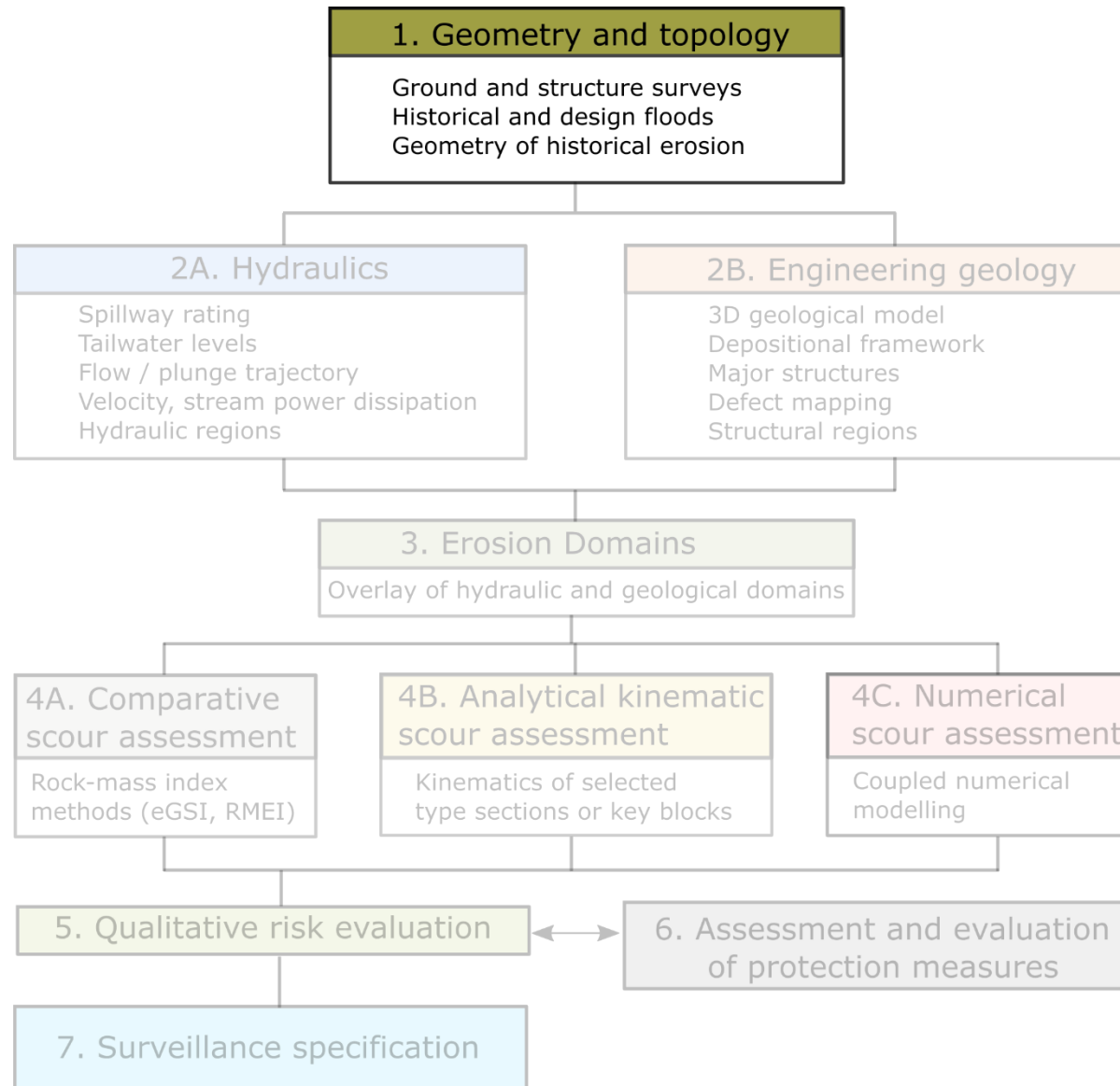
# State of the art: Assessment of spillway erosion

# State of the art





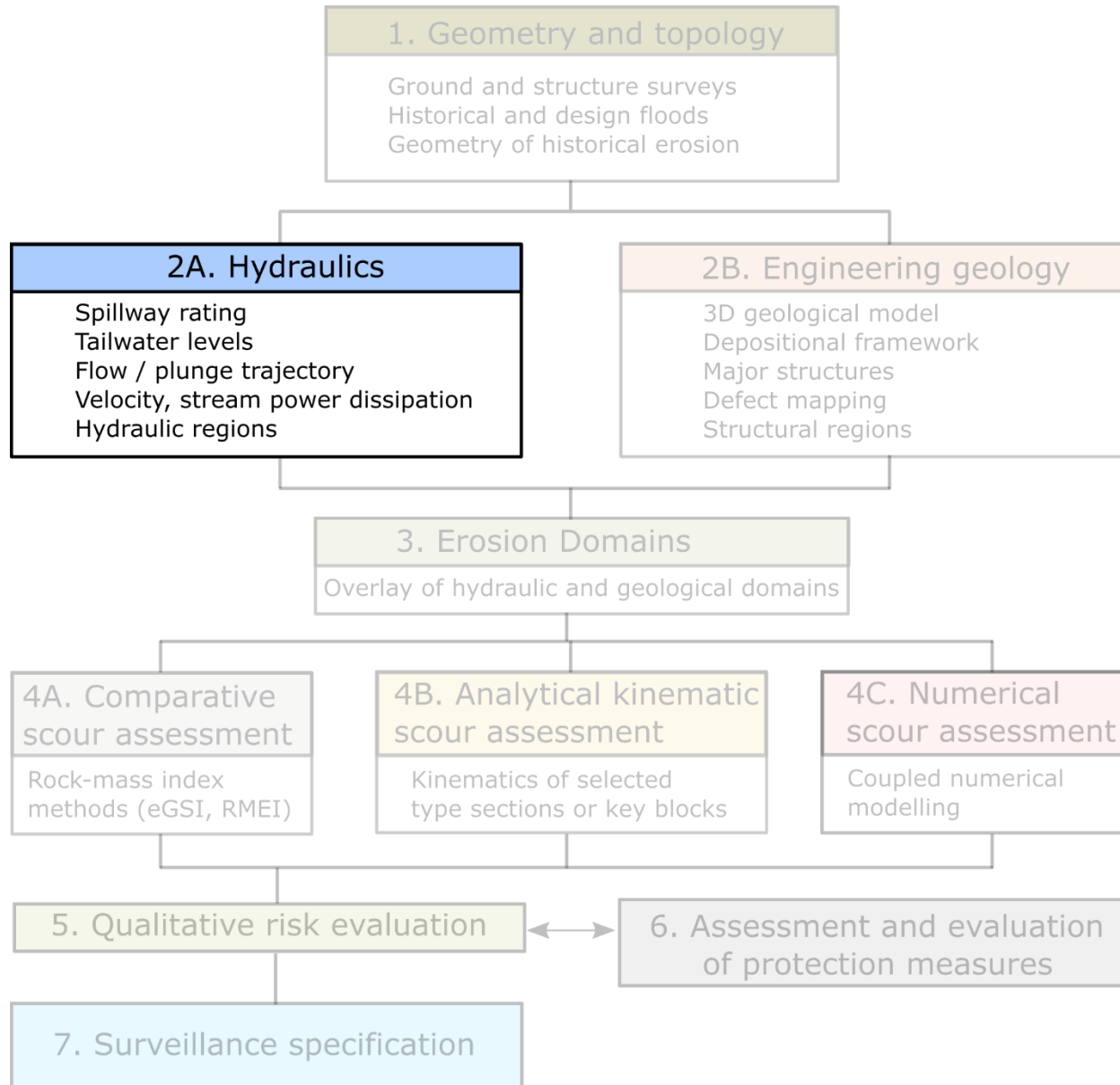
# 1. Geometry and topology



# 1. Geometry and topology

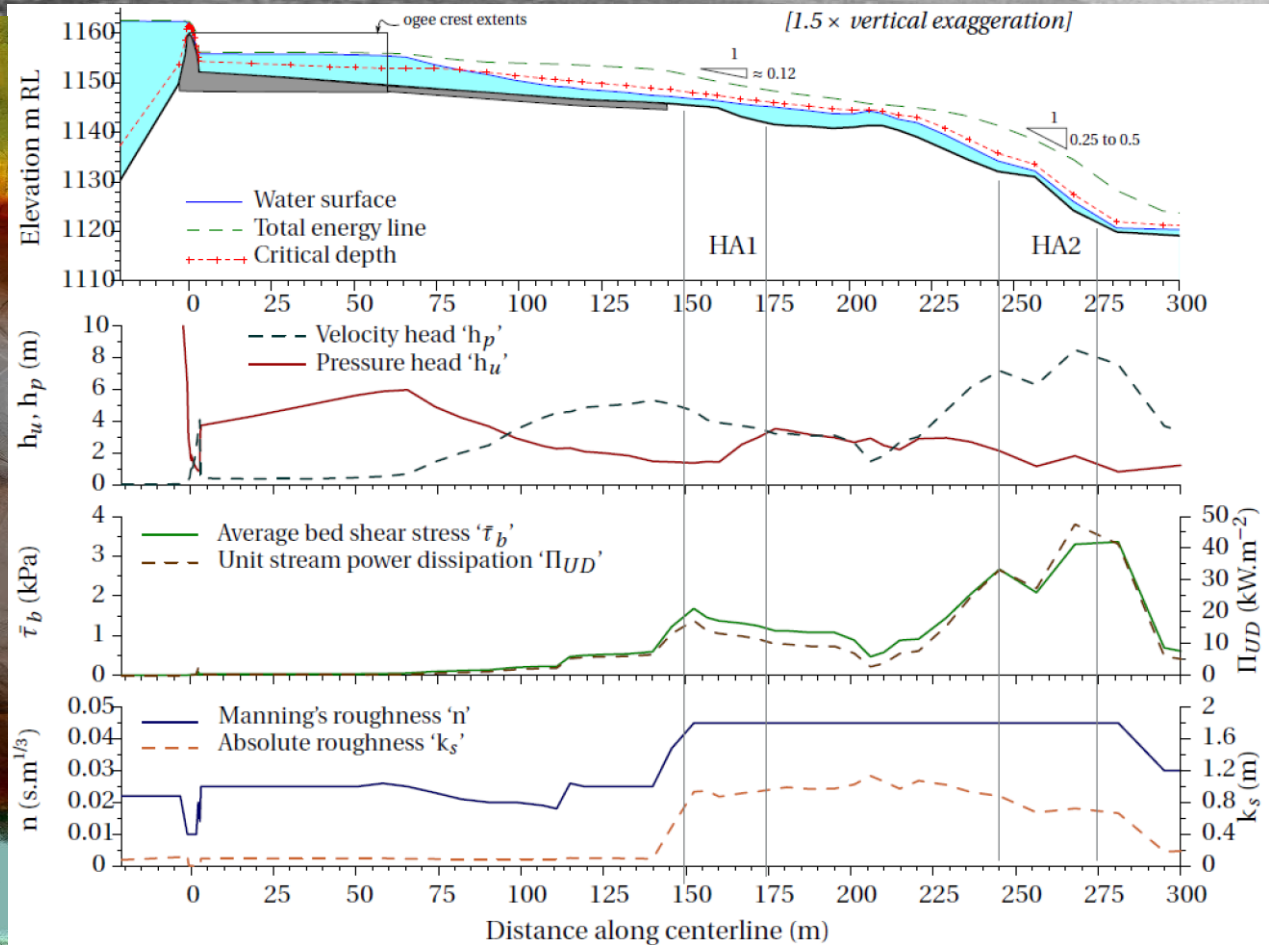
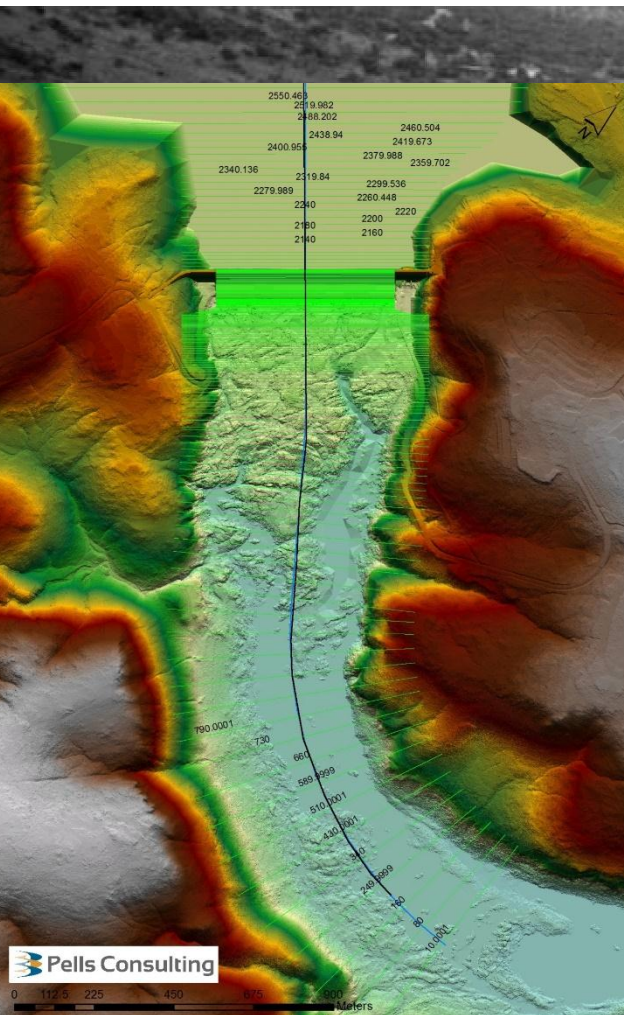


# 2A. Hydraulics

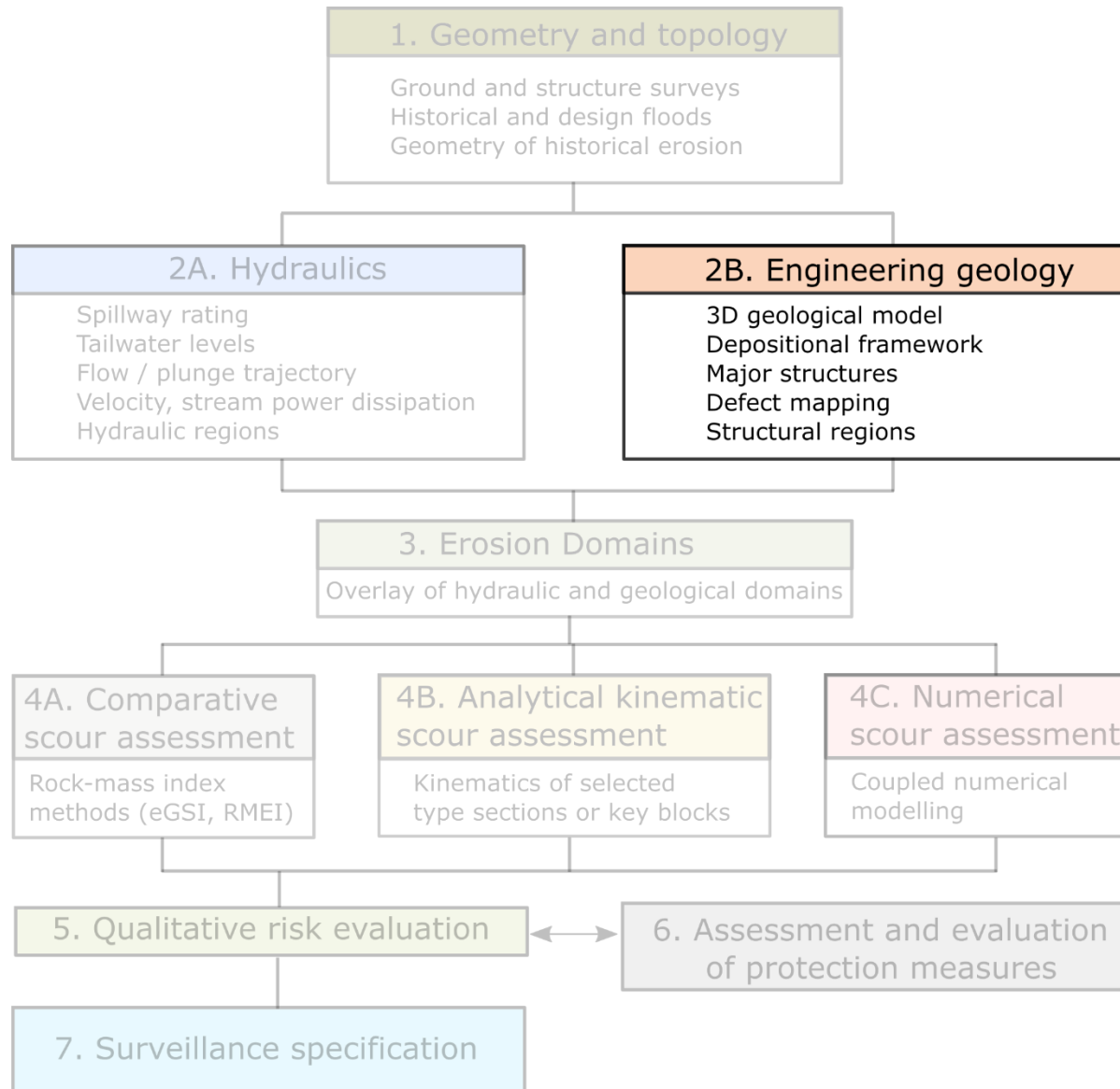




# 2A. Hydraulics

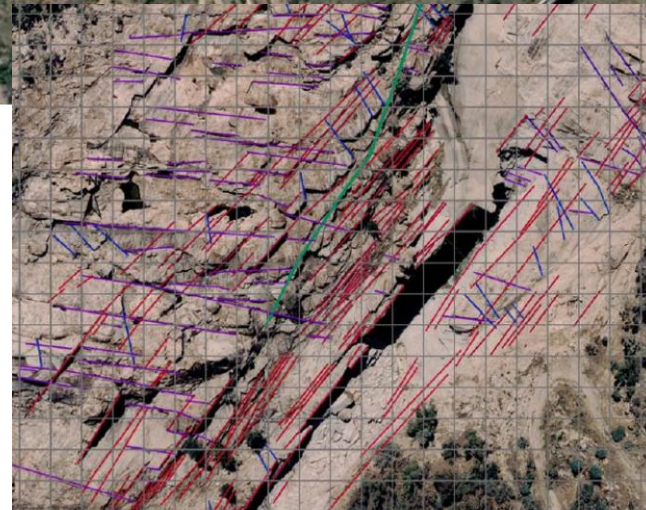
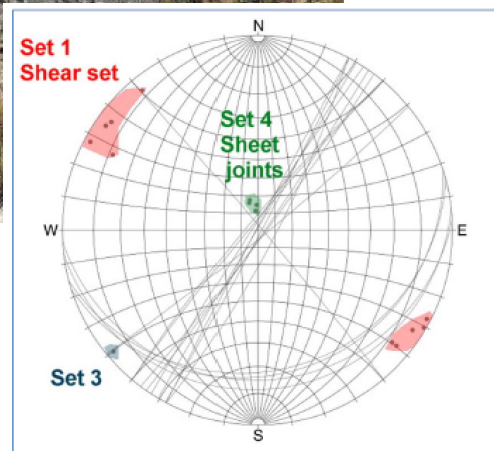
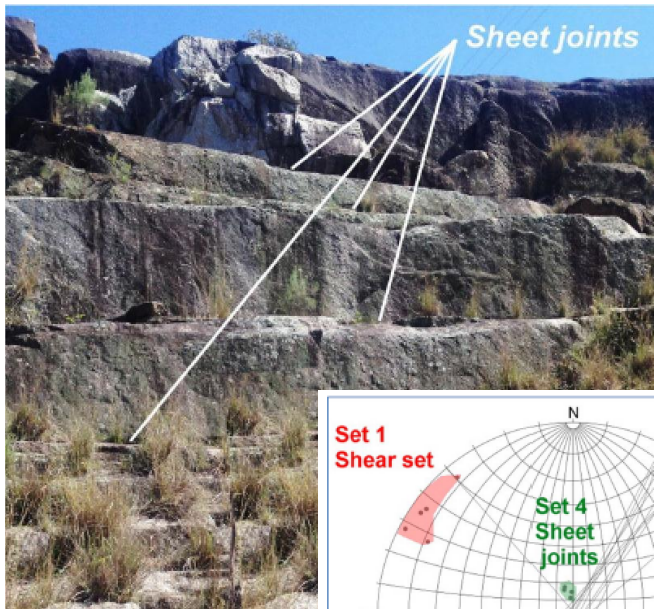


# 2B. Engineering geology



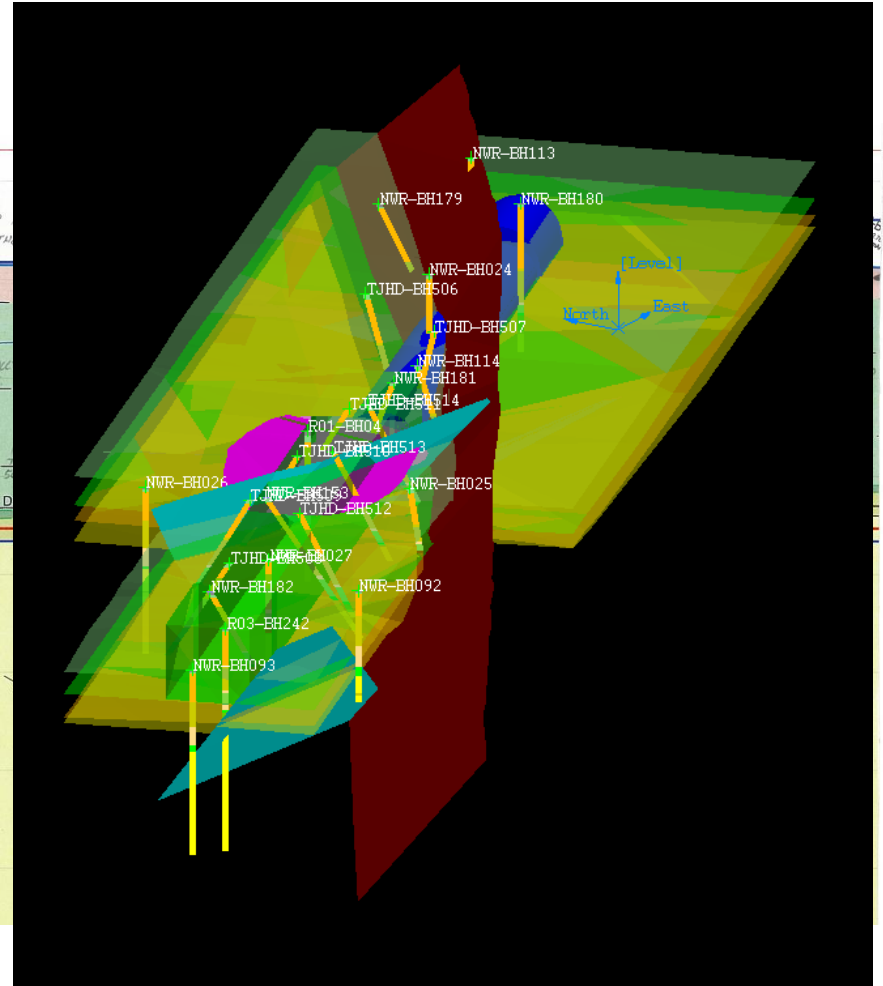
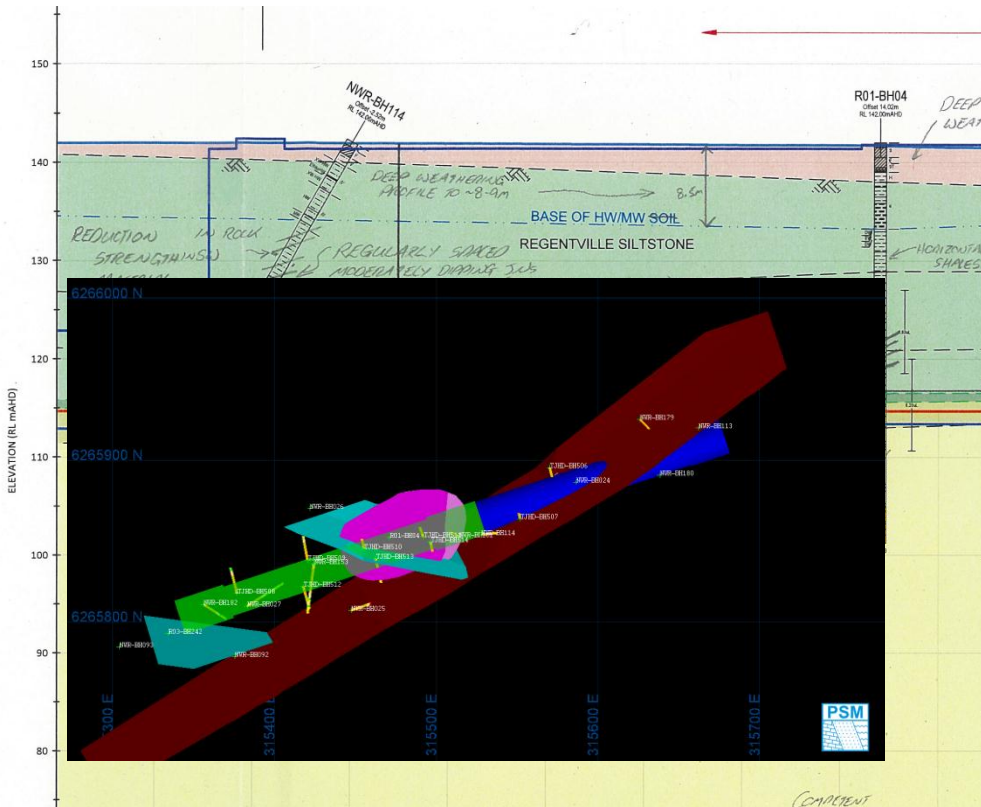


# 2B. Engineering geology

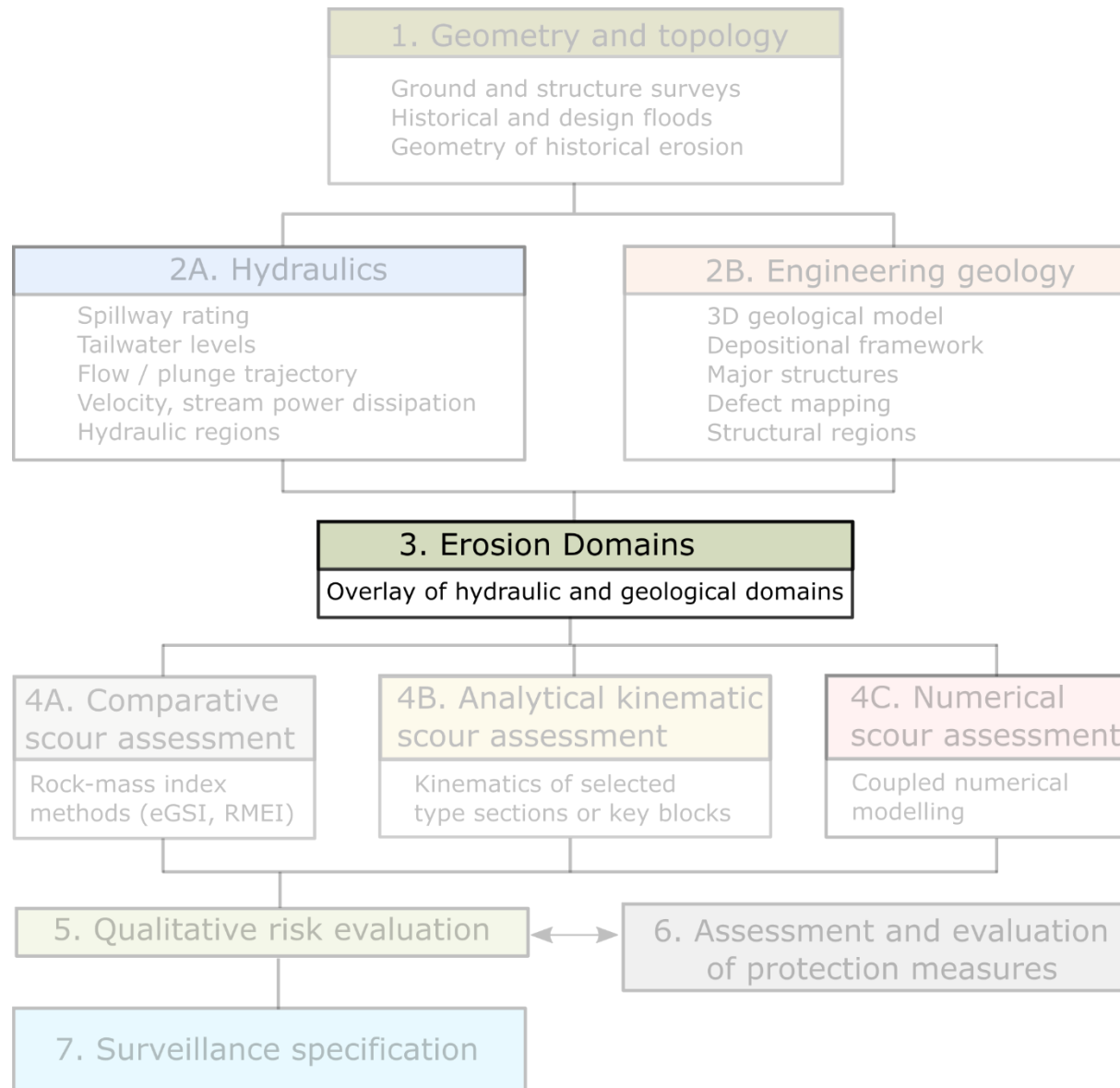




# 2B. Engineering geology



# 3. Erosion domains

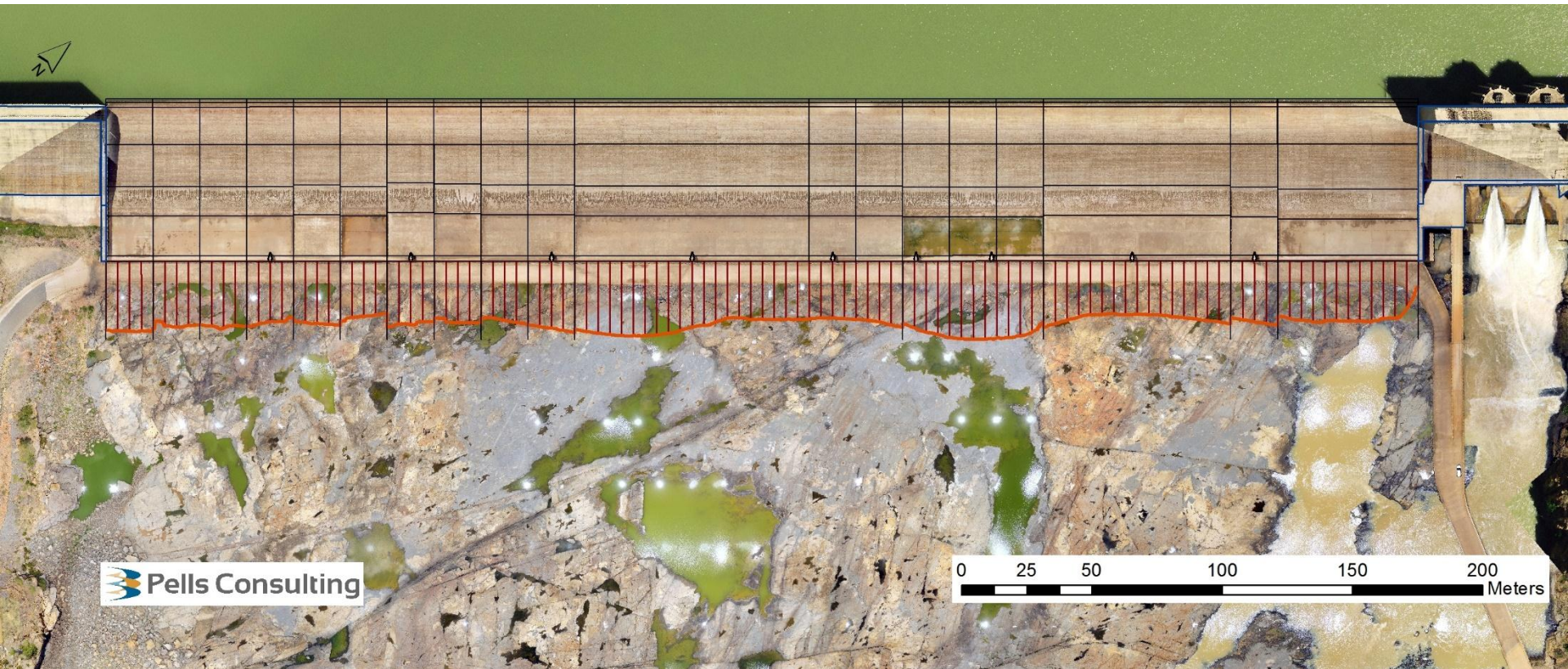


# 3. Erosion domains

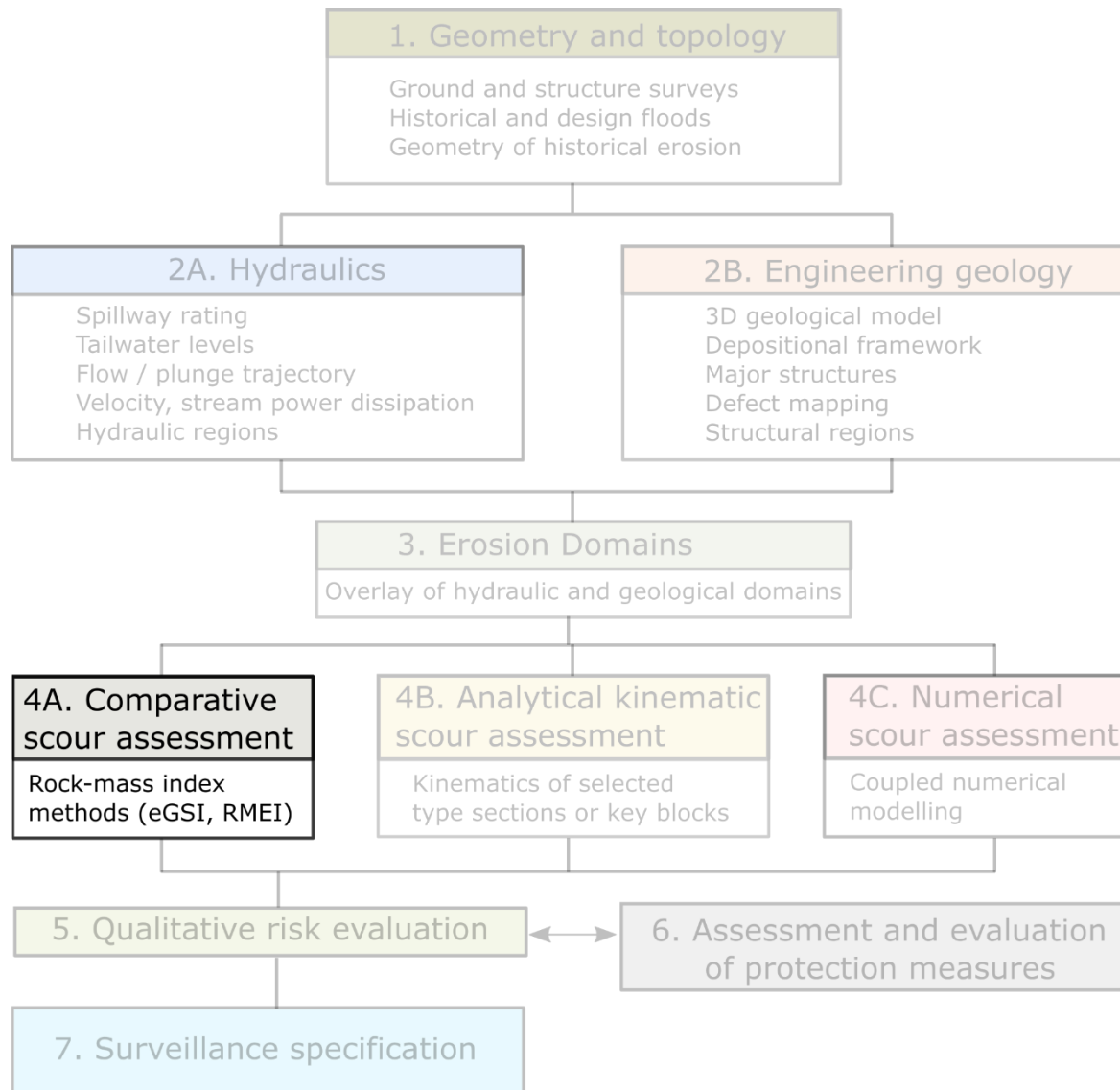




# 3. Erosion domains



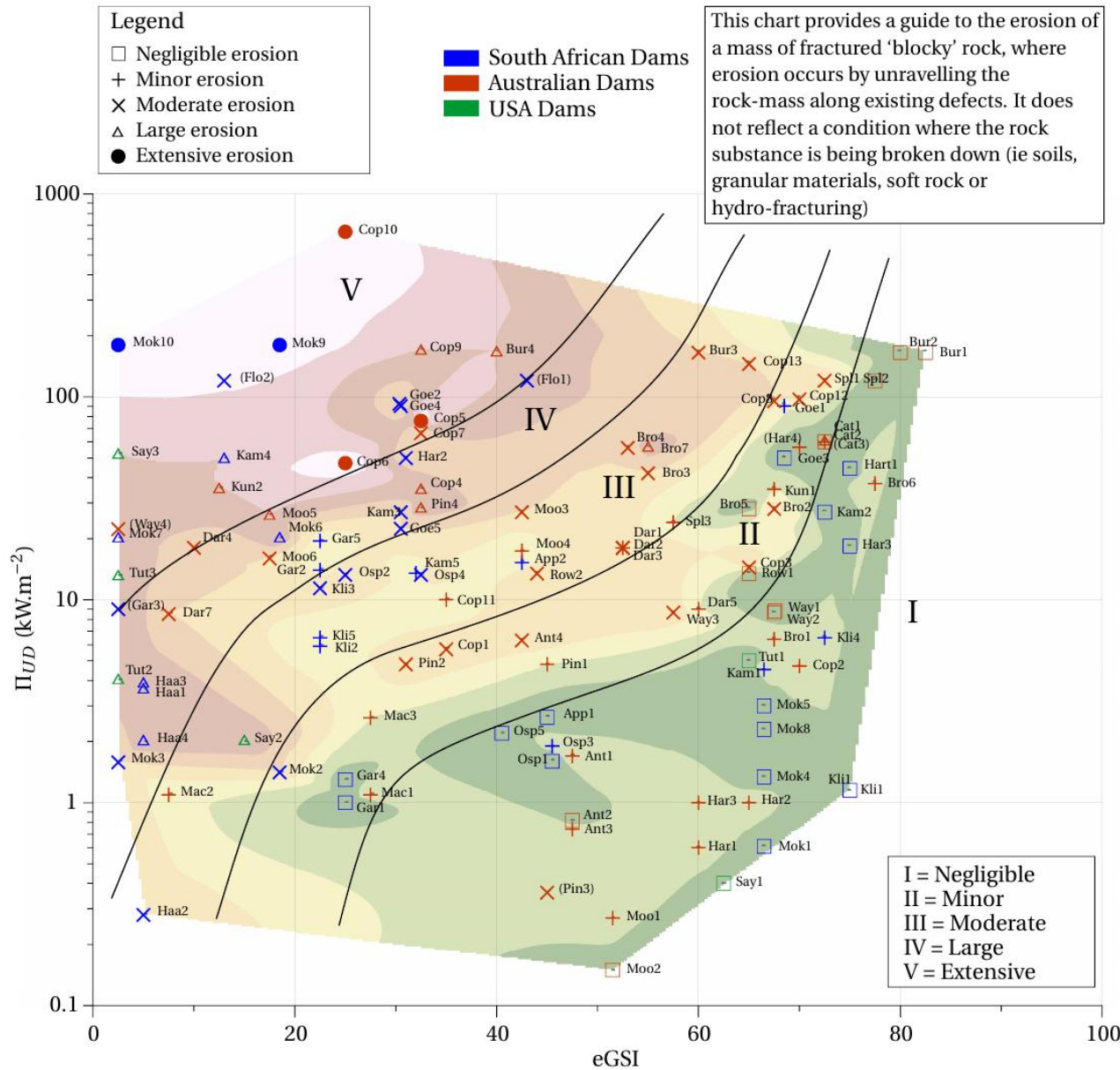
# 4A. Comparative scour assessment





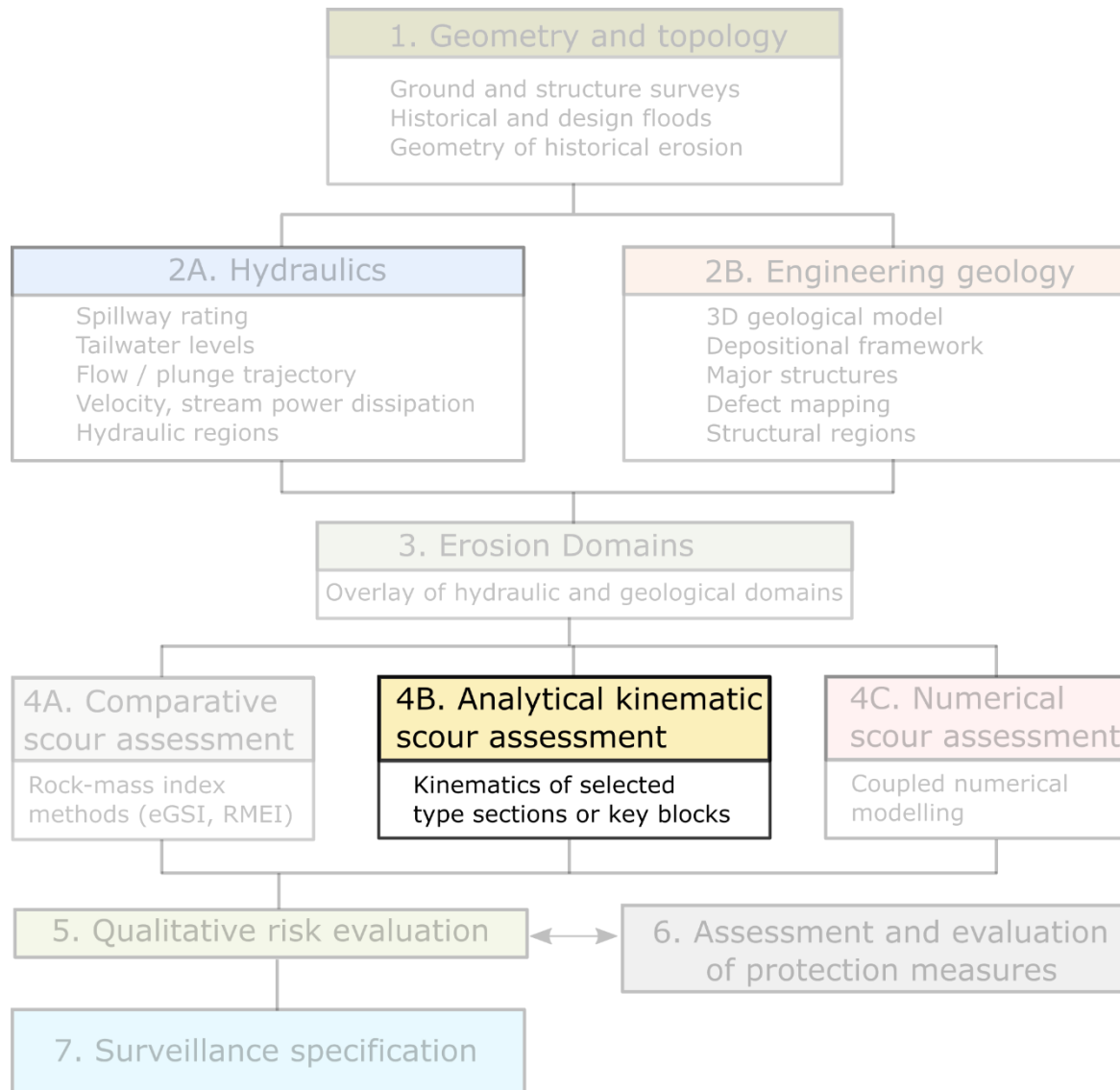
# The 'eGSI' method ...

- Simpler
- Larger data set for rock
- Can trace case studies
- Gradation, not a 'threshold'
- GSI is current and credible
- No RQD
- No Mass Strength
- Appropriate inference of accuracy
- Reliable even when used by non-geologists

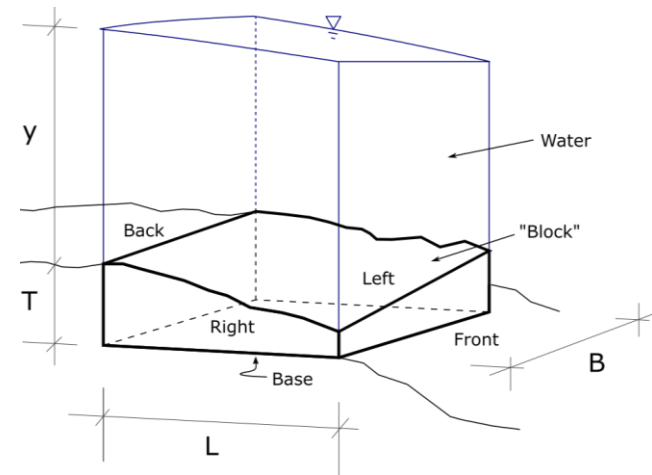
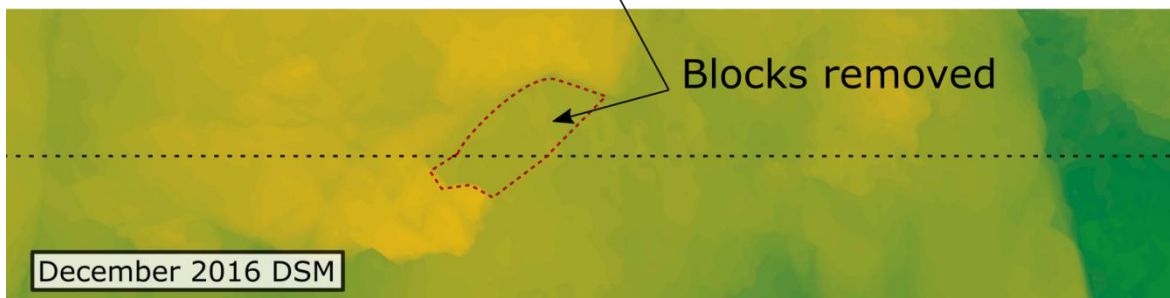
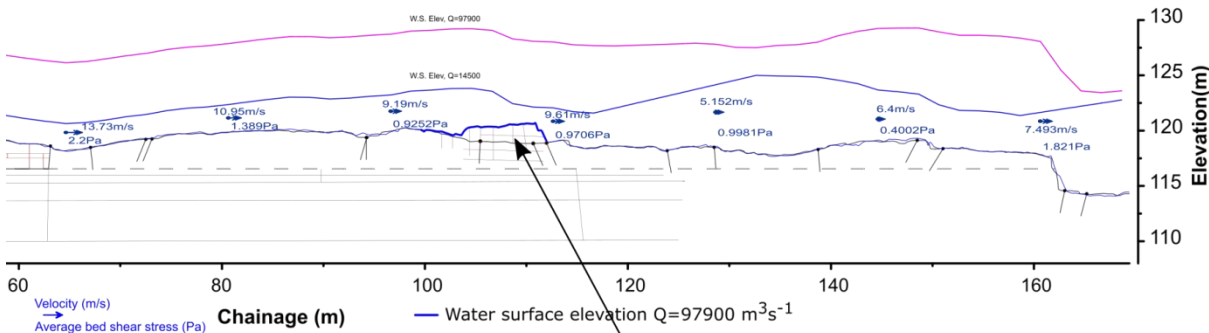
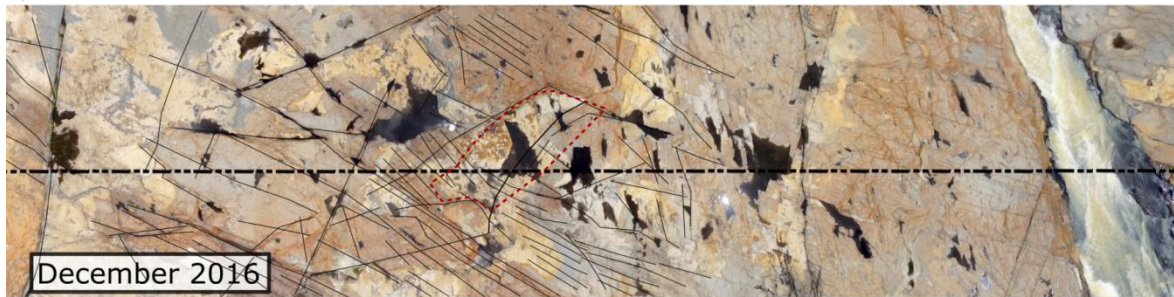
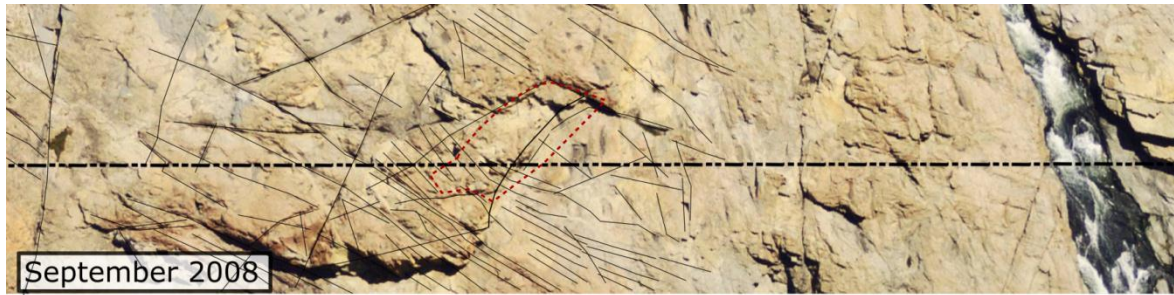




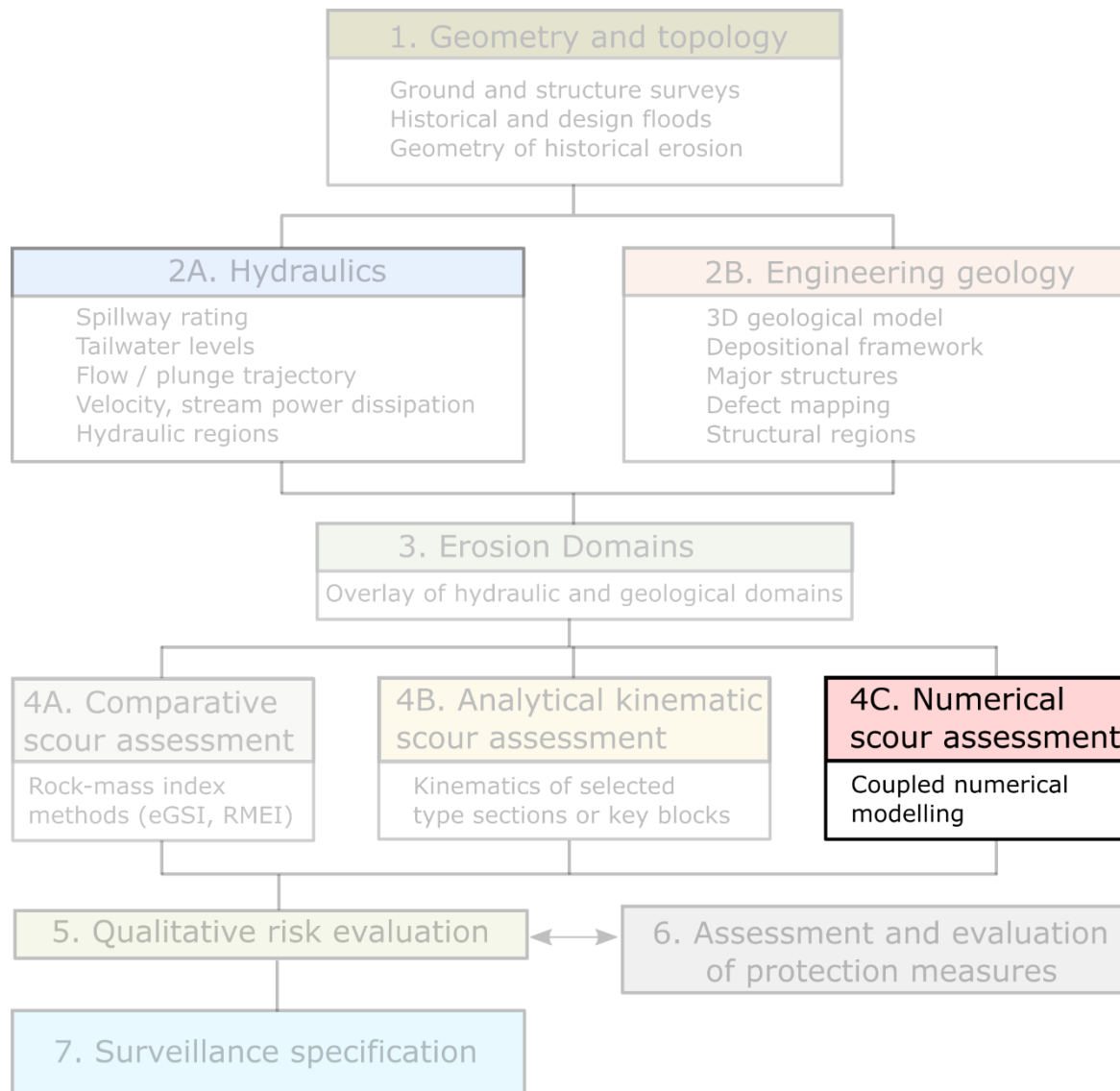
# 4B. Kinematic scour assessment



# 4B. Kinematic scour assessment

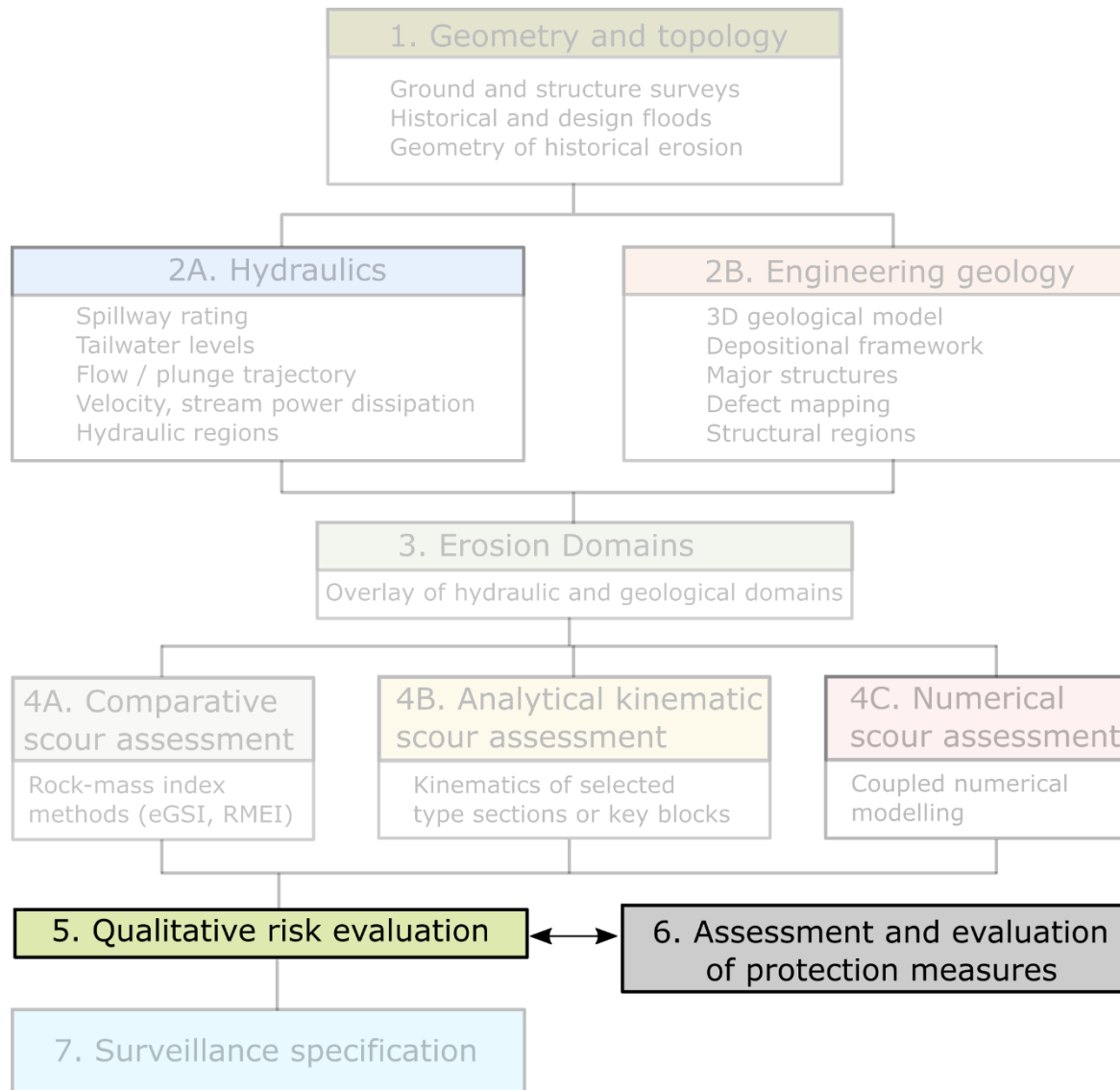


# 4C. Numerical scour assessment

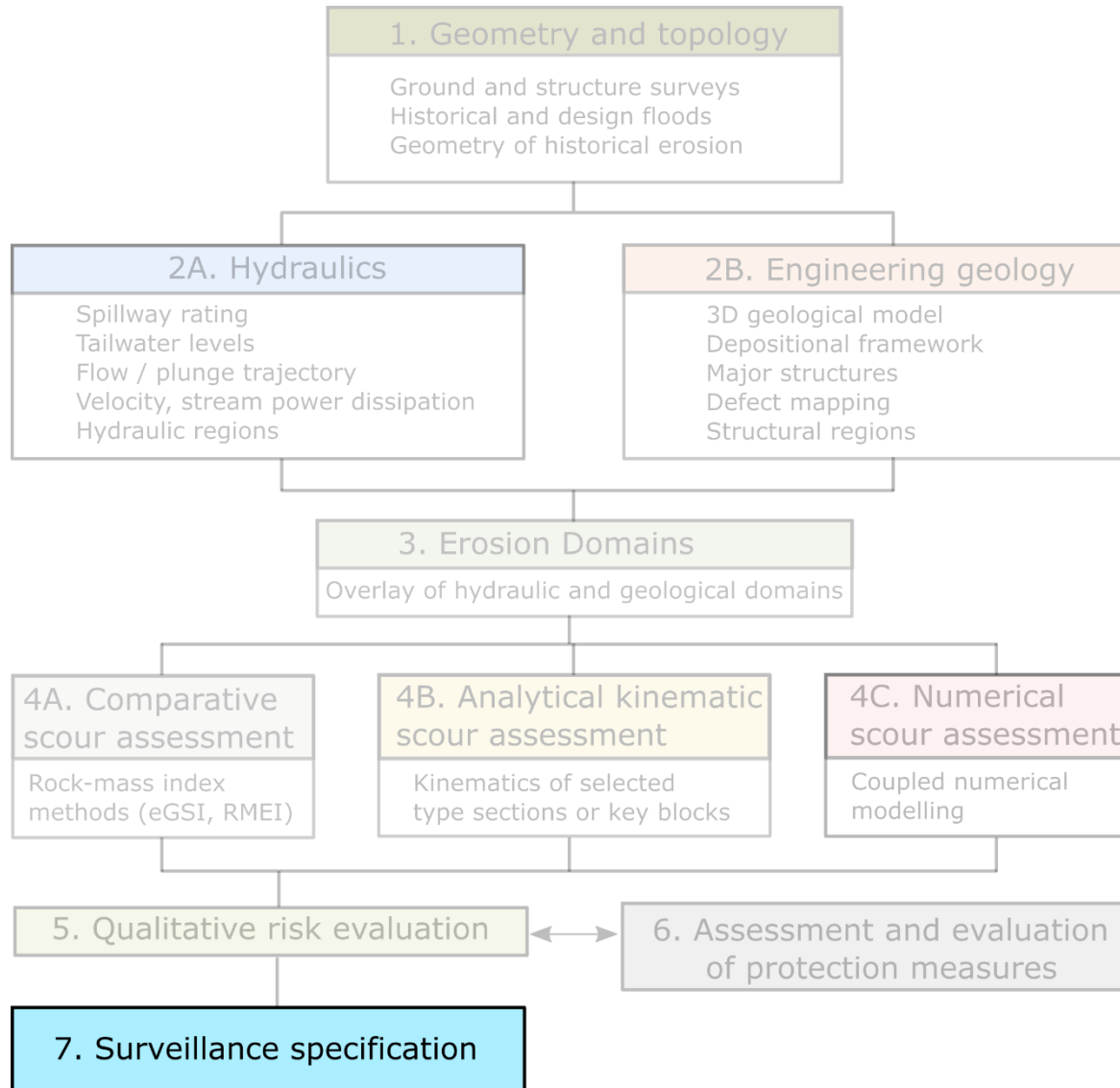




# 5 & 6. Risk and solutions



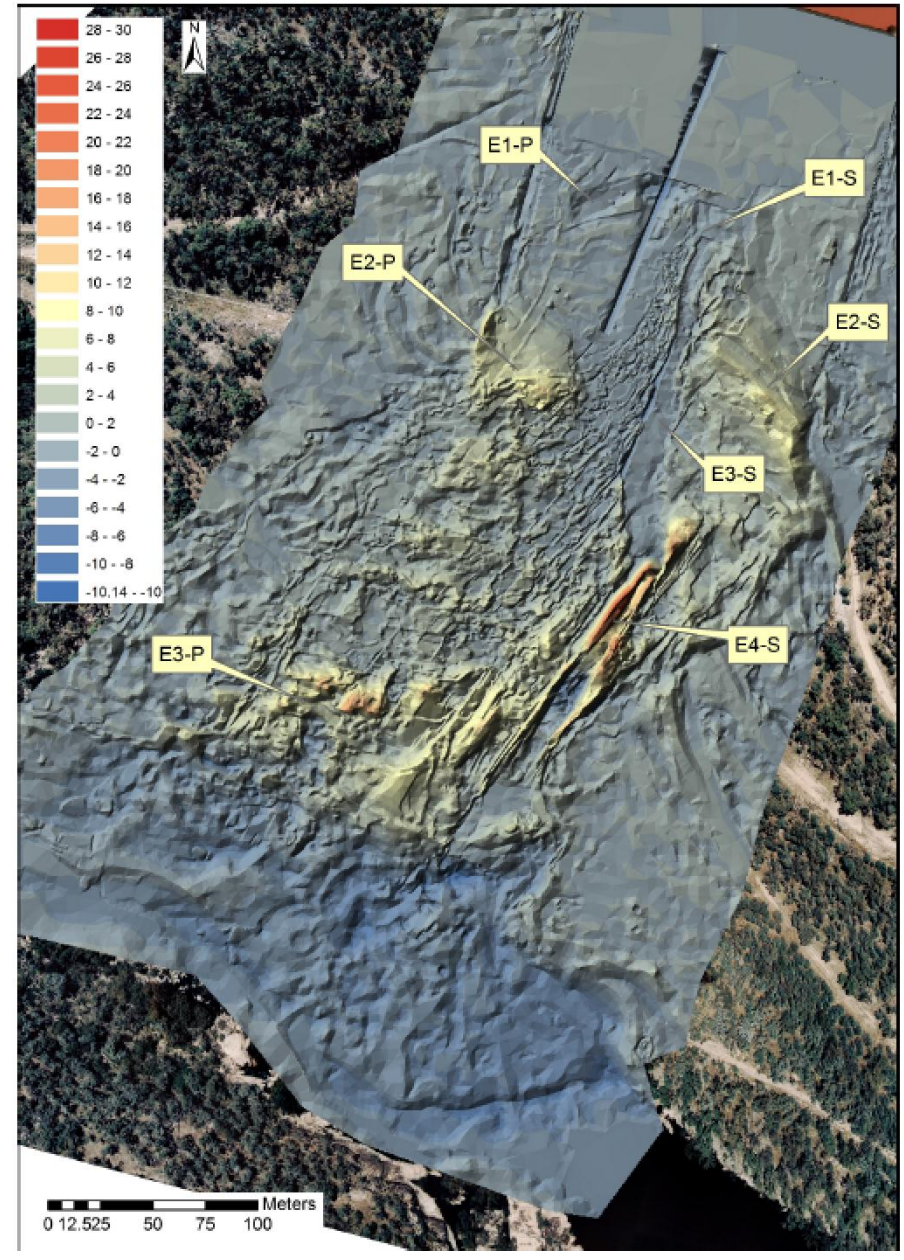
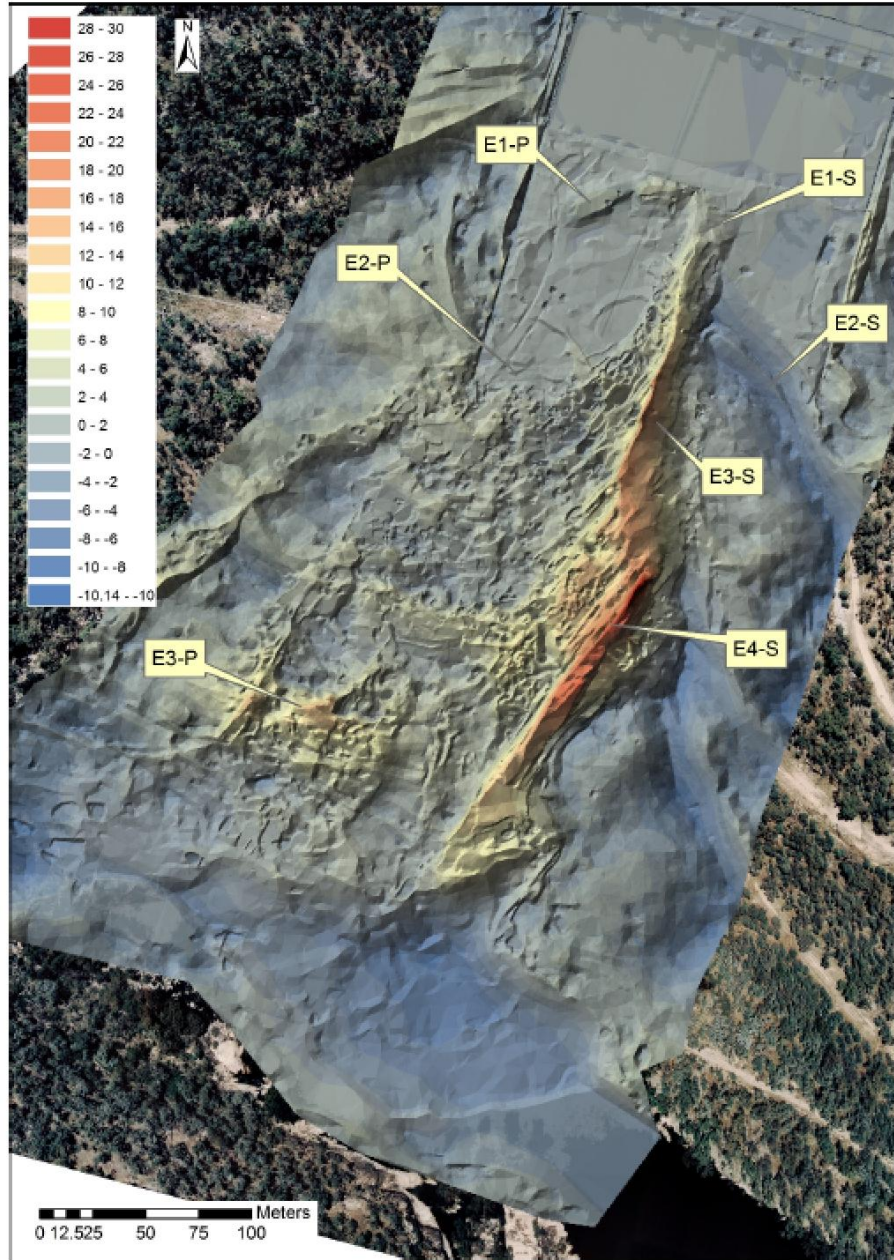
# 7. Surveillance



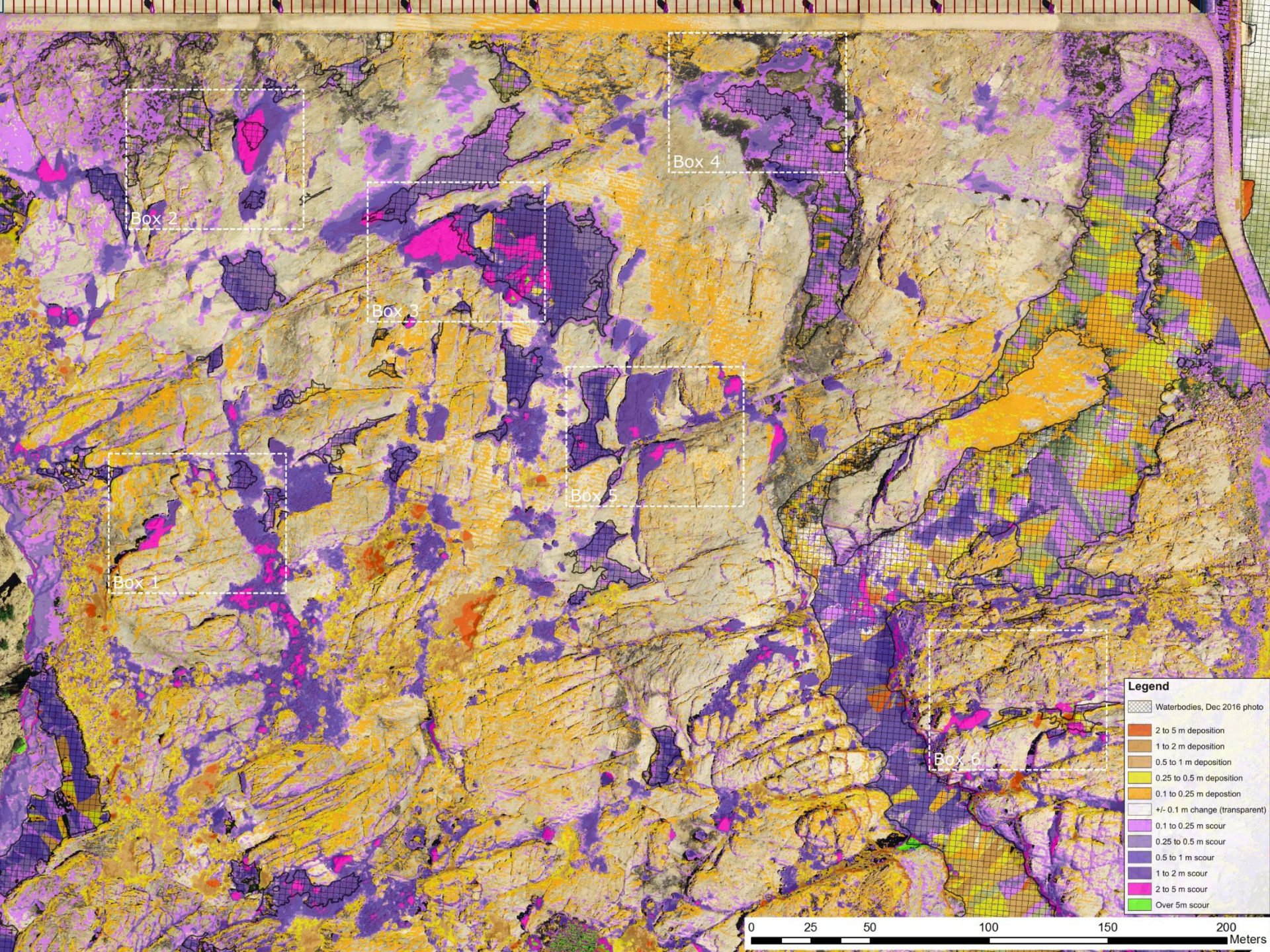
# 7. Surveillance





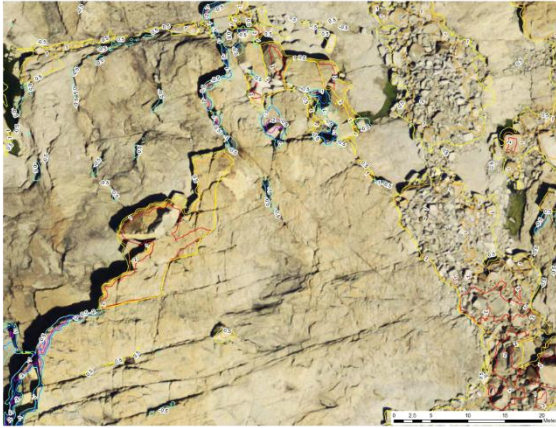




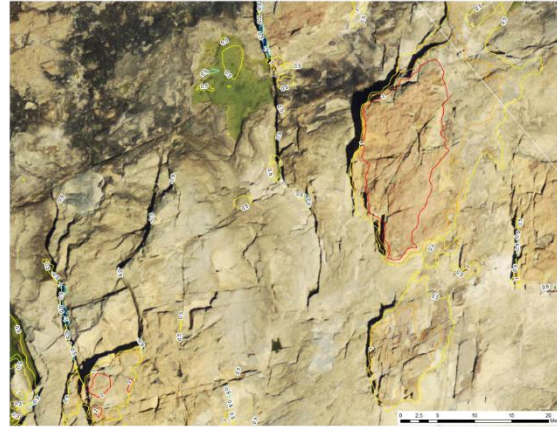




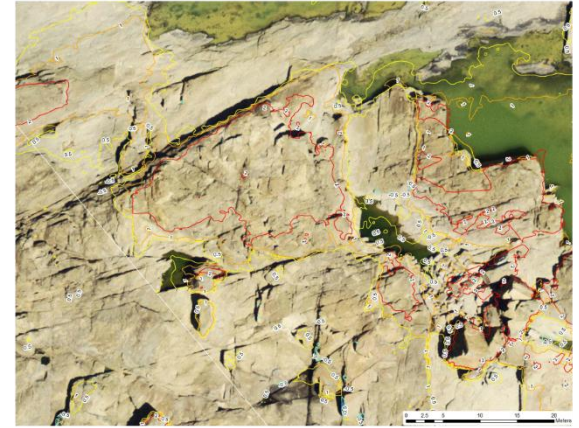
# 7. Surveillance



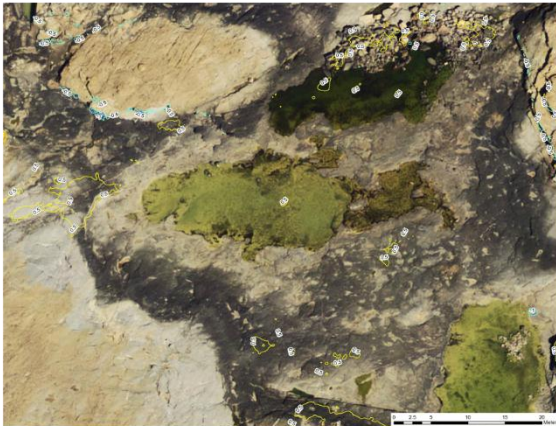
Box 1 2008



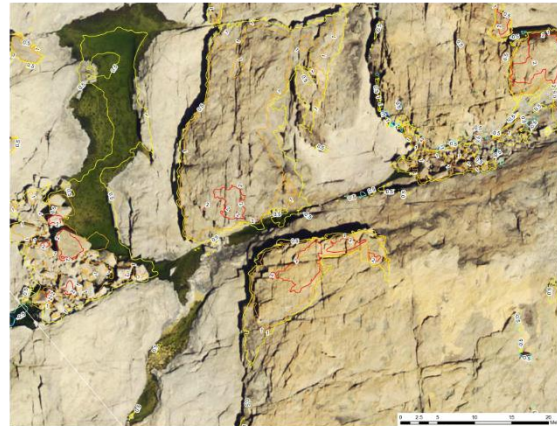
Box 2 2008



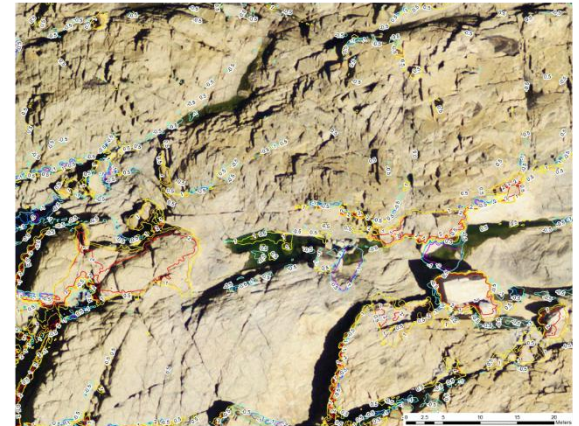
Box 3 2008



Box 4 2008



Box 5 2008



Box 6 2008

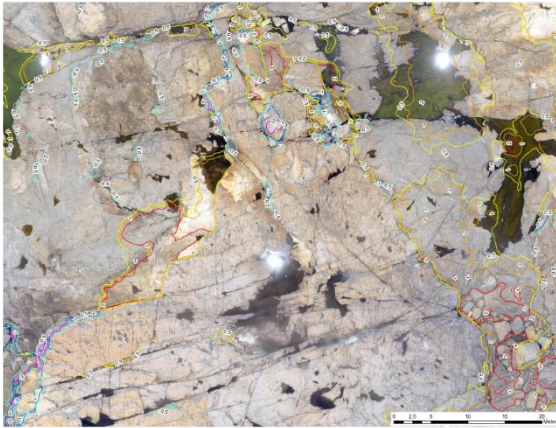
Contours (Dec 2016 minus Sept 2008)

- |                  |                |
|------------------|----------------|
| — 2m accretion   | — 0.5m erosion |
| — 1m accretion   | — 1m erosion   |
| — 0.5m accretion | — 2m erosion   |

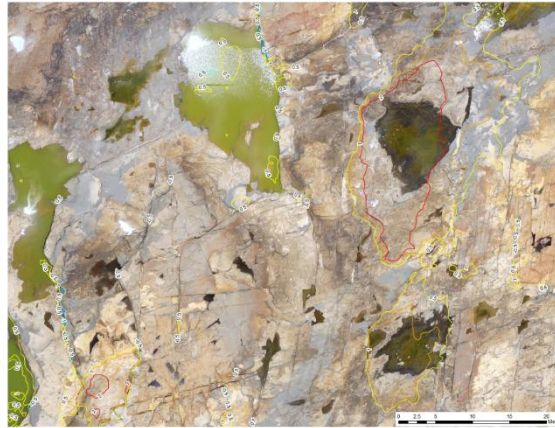




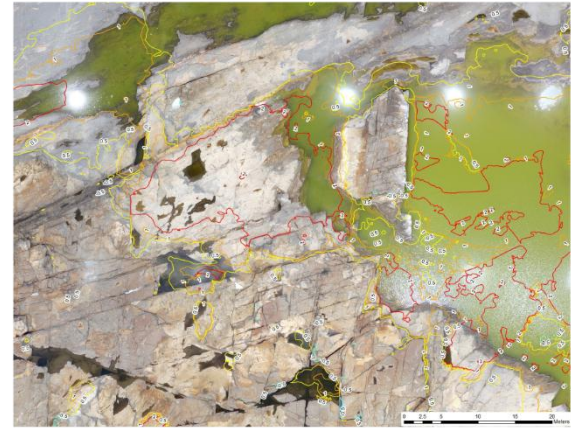
# 7. Surveillance



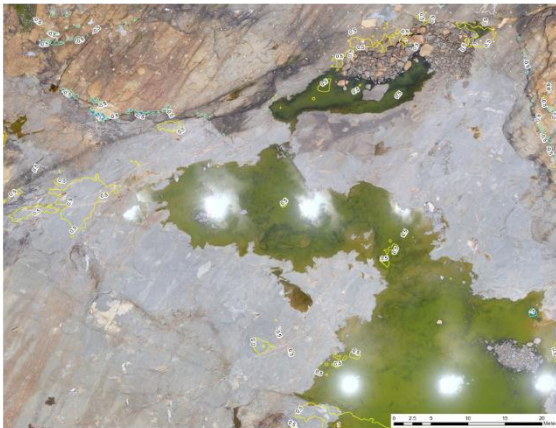
Box 1 2016



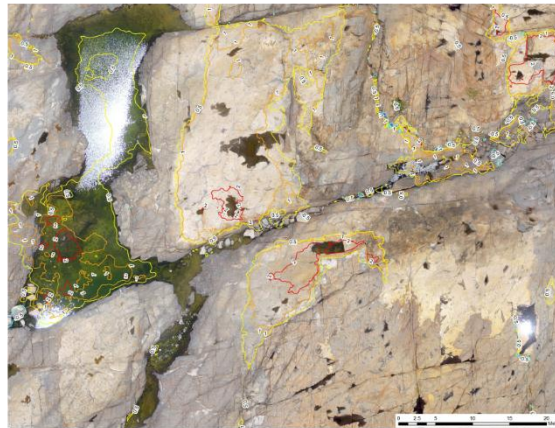
Box 2 2016



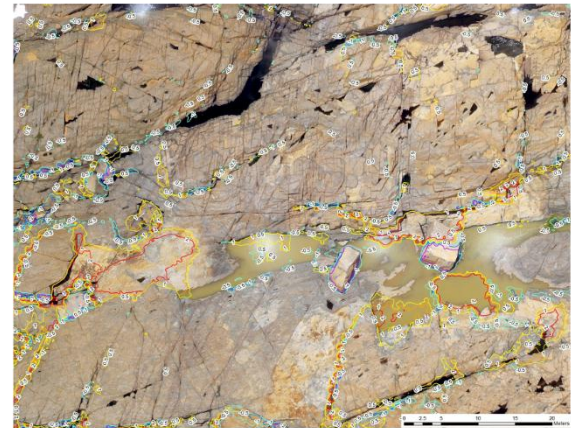
Box 3 2016



Box 4 2016



Box 5 2016



Box 6 2016

Contours (Dec 2016 minus Sept 2008)

- |                  |                |
|------------------|----------------|
| — 2m accretion   | — 0.5m erosion |
| — 1m accretion   | — 1m erosion   |
| — 0.5m accretion | — 2m erosion   |



# Further research

# Further research

1. UAV surveys
2. Additional laboratory testing
3. Stream power dissipation
4. Coupled numerical modelling of erosion



# Further research

## Stream power dissipation:

Dissipation of hydraulic power per unit area (Watts/m<sup>2</sup>)

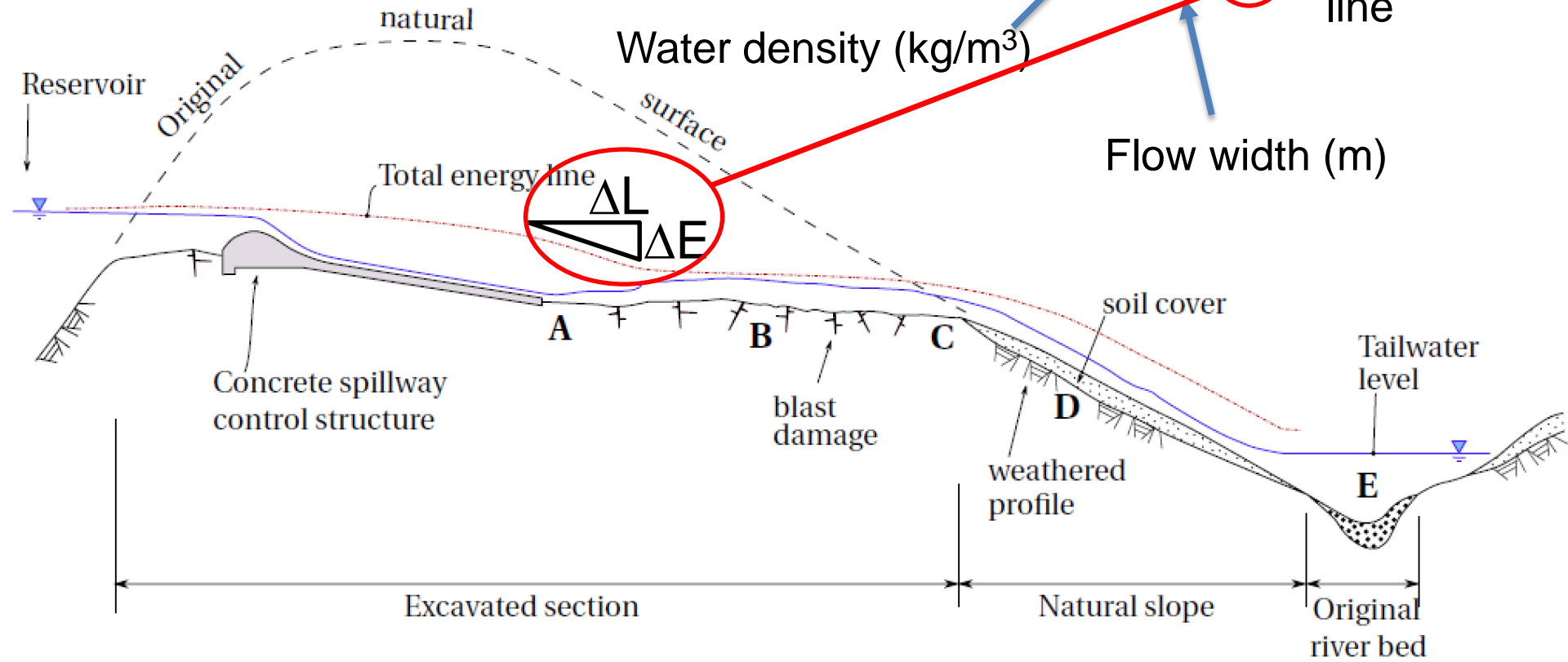
Gravity (m/s<sup>2</sup>)    Discharge (m<sup>3</sup>/s)

$$\Pi_{UD} = \rho g \frac{Q}{B} S_f$$

Slope of the total energy line

Water density (kg/m<sup>3</sup>)

Flow width (m)

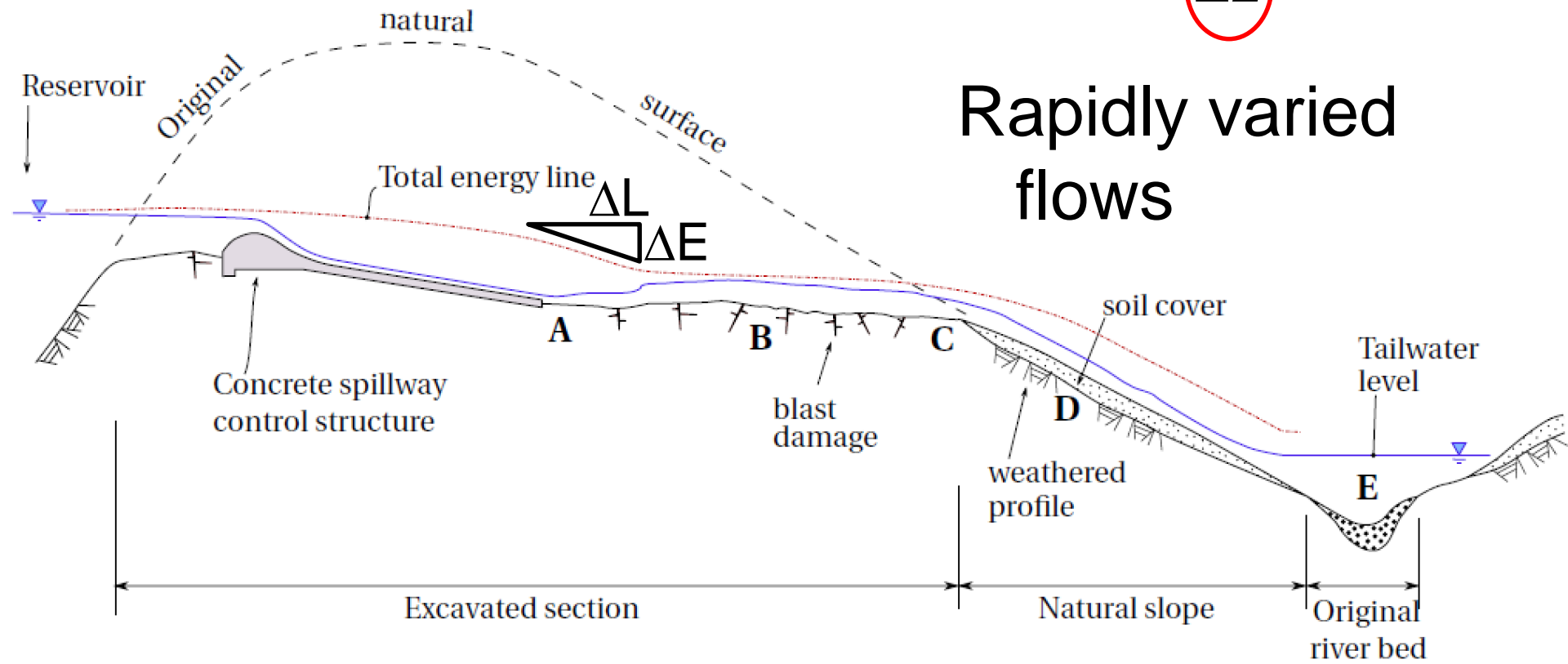


# Further research

Stream power dissipation:

$$\Pi_{UD} = \rho g q \frac{\Delta E}{\Delta L}$$

Rapidly varied flows

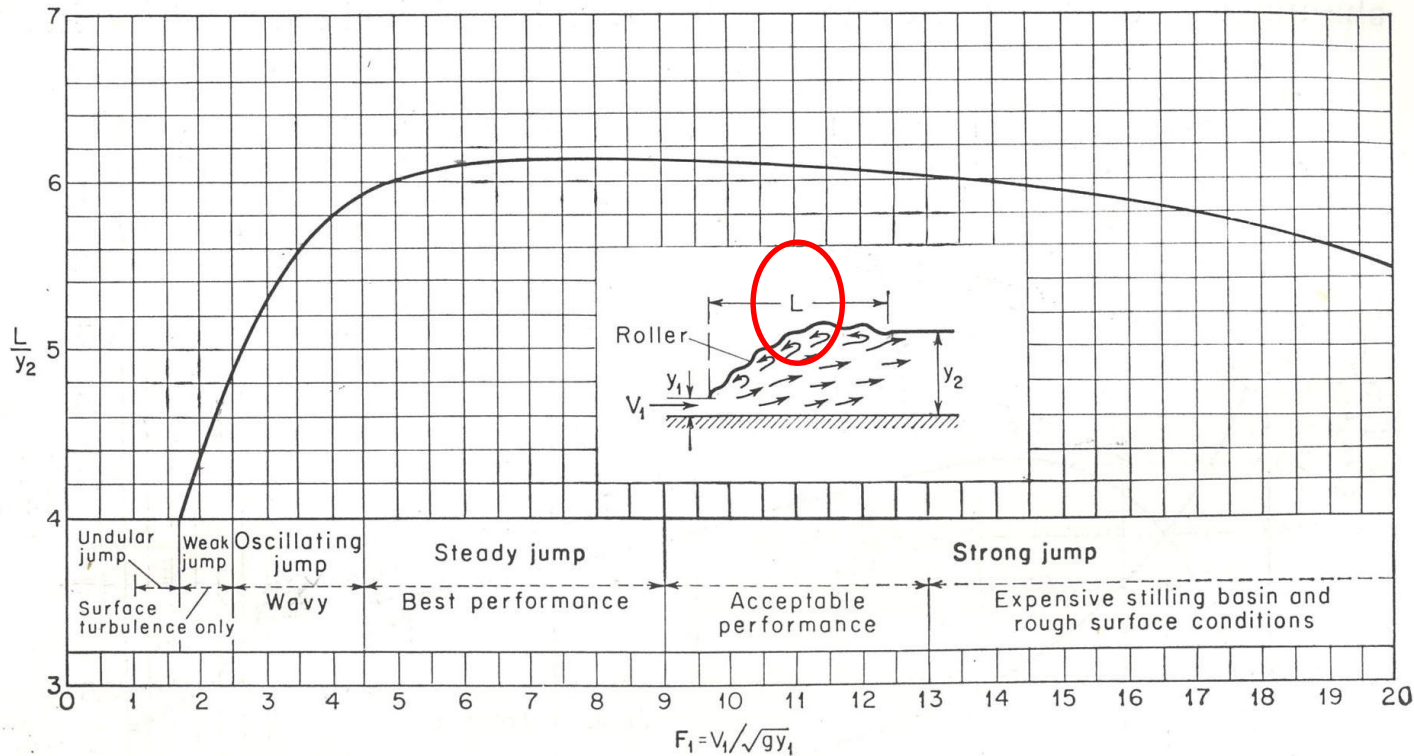


# Further research

Stream power dissipation:

Hydraulic jumps

$$\Pi_{UD} = \rho g q \frac{\Delta E}{\Delta L}$$



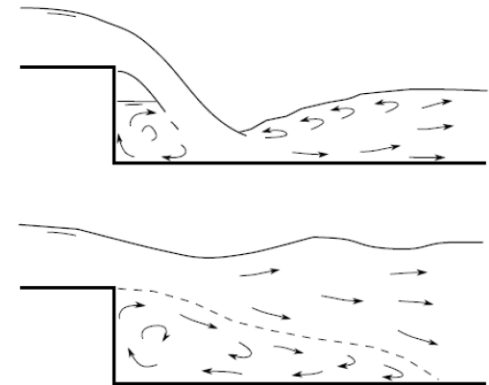
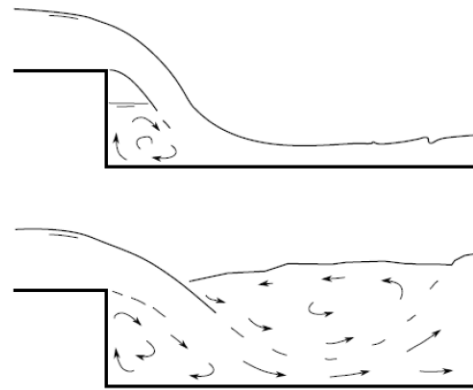
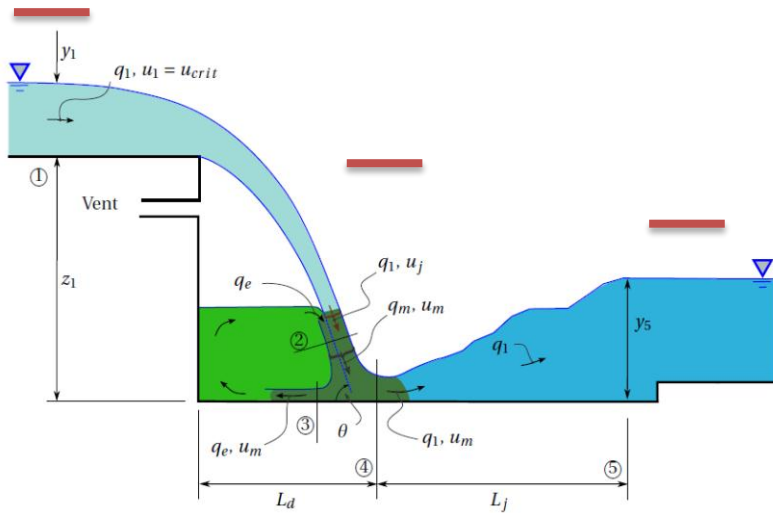


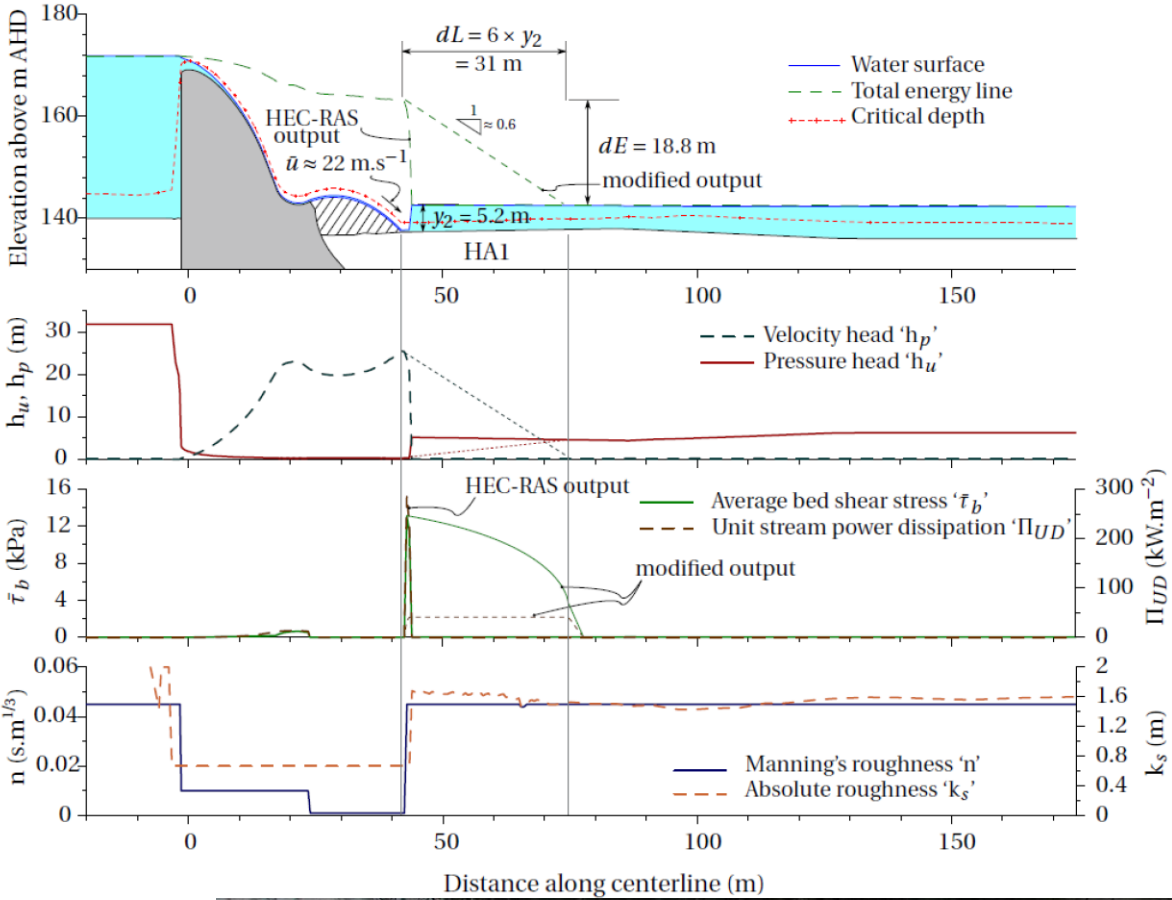
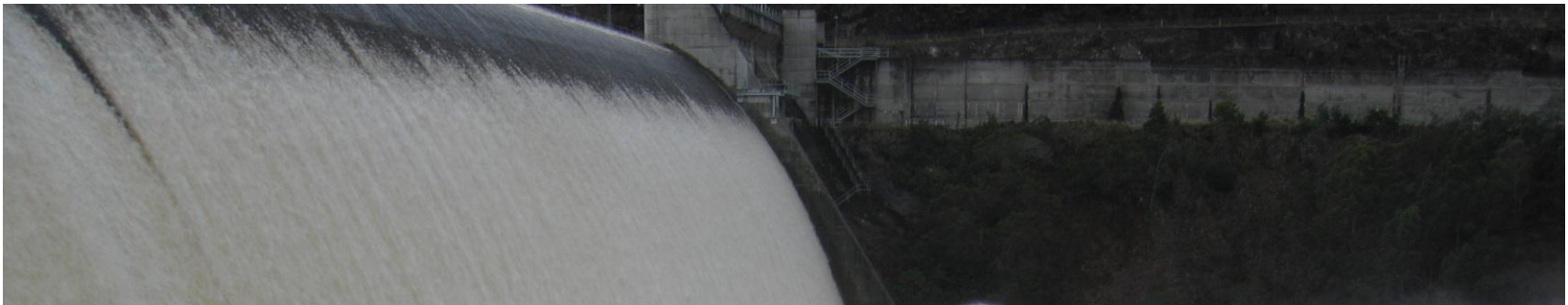
# Further research

Stream power dissipation:

Drop structures

$$\Pi_{UD} = \rho g q \frac{\Delta E}{\Delta L}$$

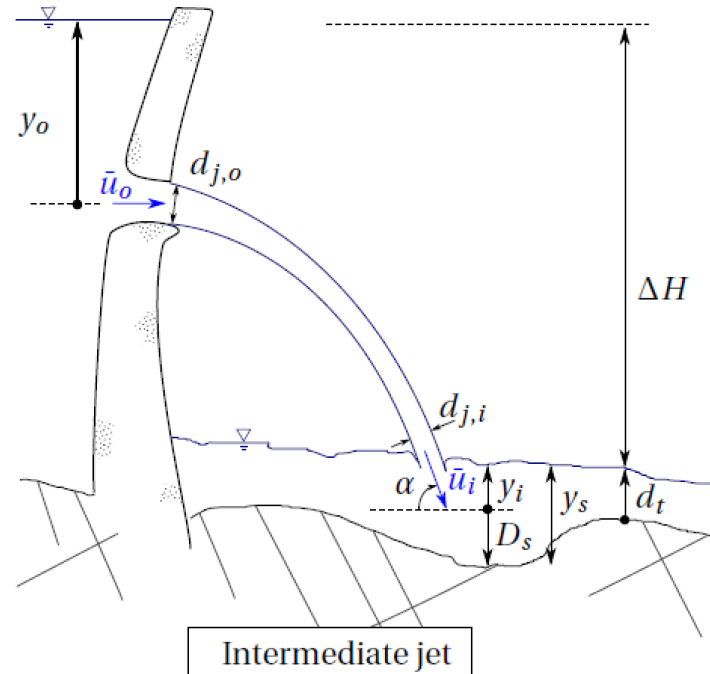
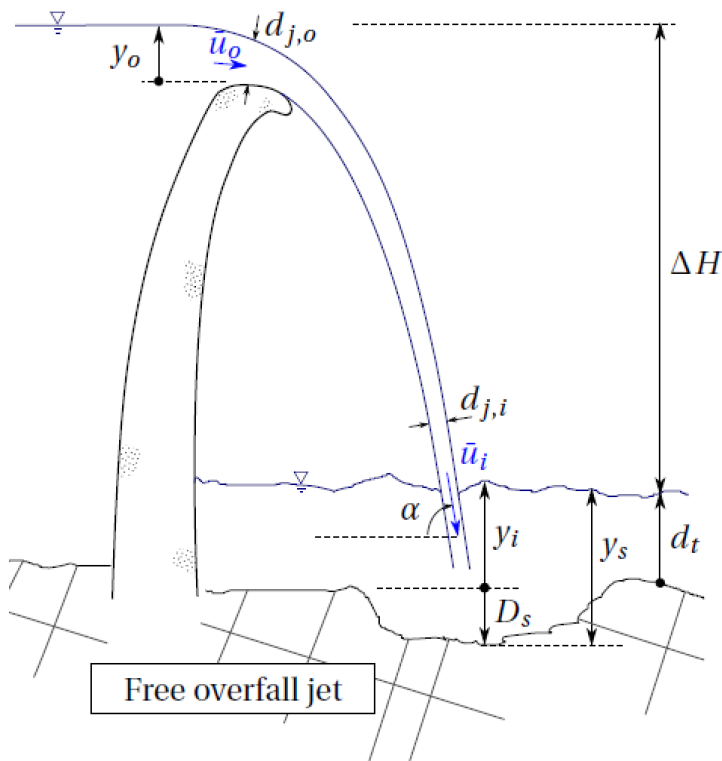




# Further research

Stream power dissipation:  
Plunging flows

$$\Pi_{UD} = \rho g q \frac{\Delta E}{\Delta L}$$

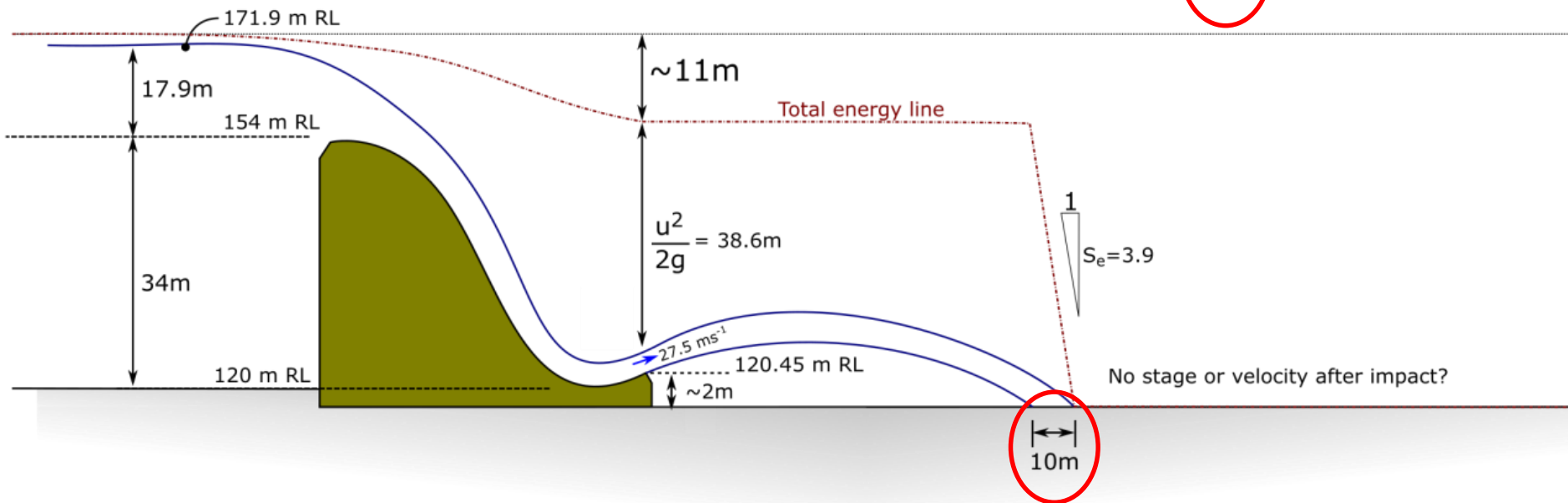




# Further research

Stream power dissipation:  
Plunging flows

$$\Pi_{UD} = \rho g q \frac{\Delta E}{\Delta L}$$

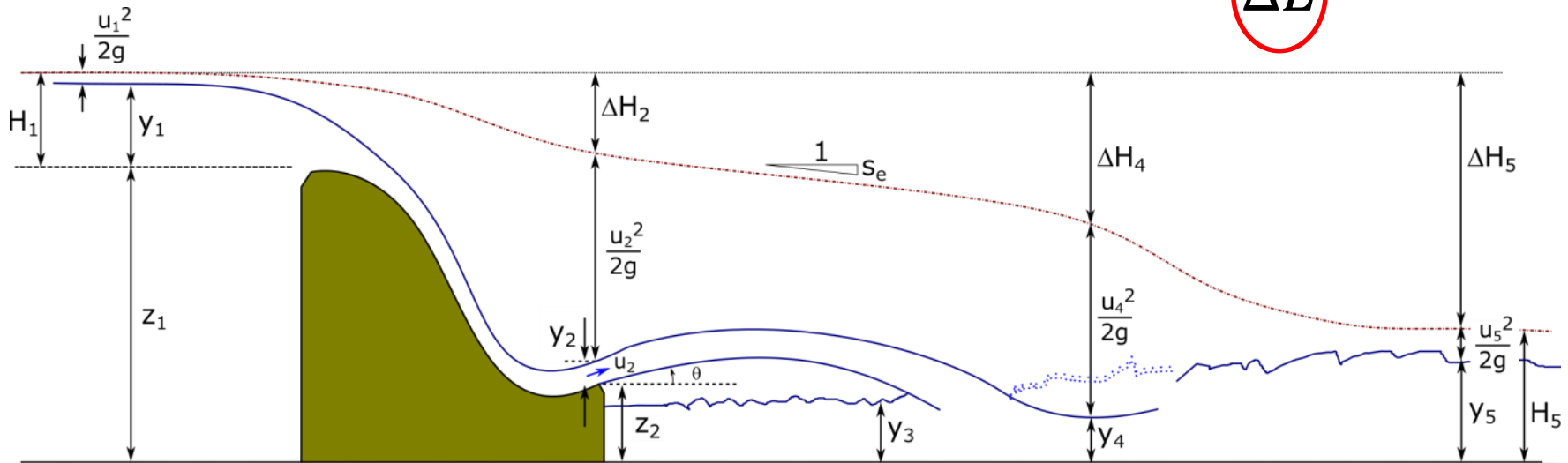


# Further research

Stream power dissipation:

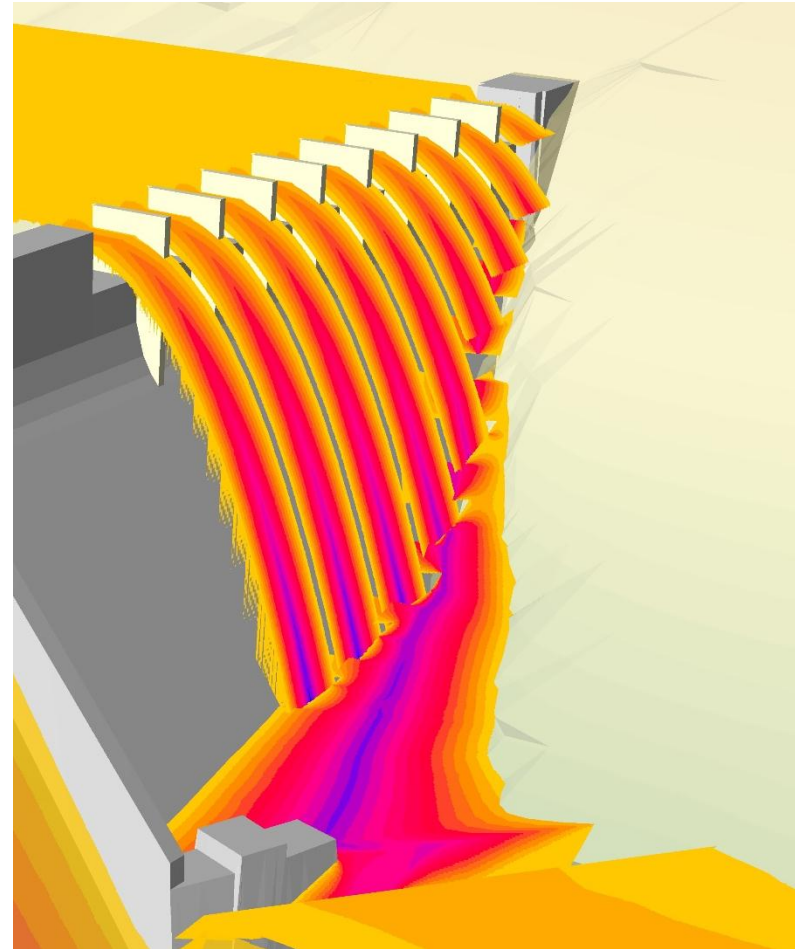
Plunging flows

$$\Pi_{UD} = \rho g q \frac{\Delta E}{\Delta L}$$



# Further research

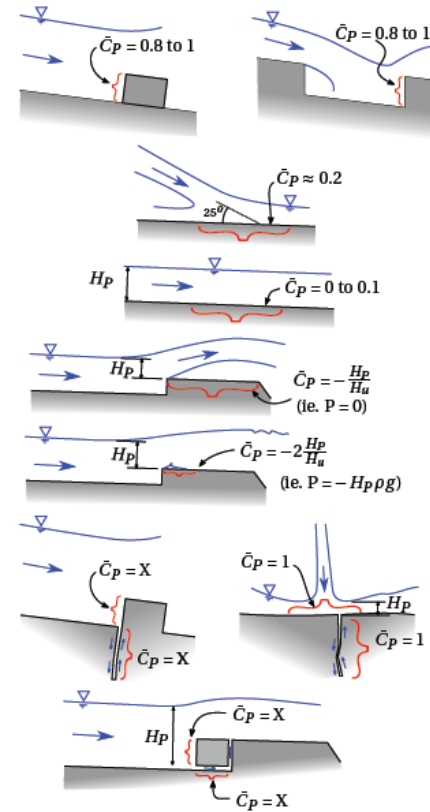
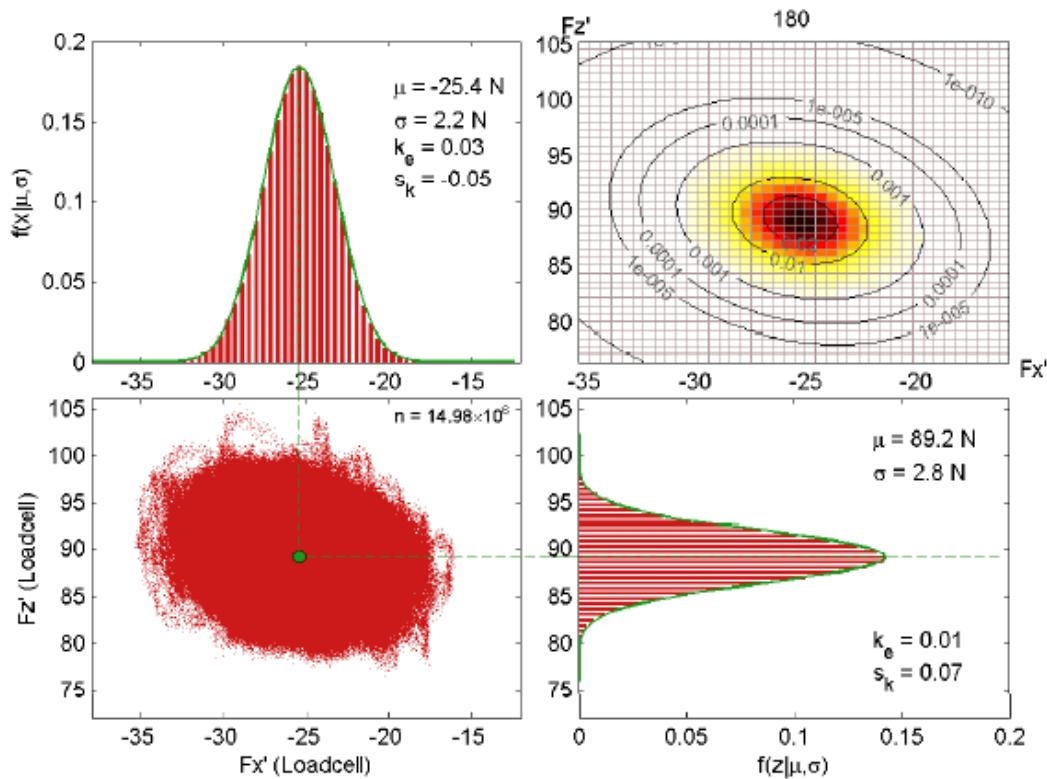
Stream power dissipation:  
Plunging flows

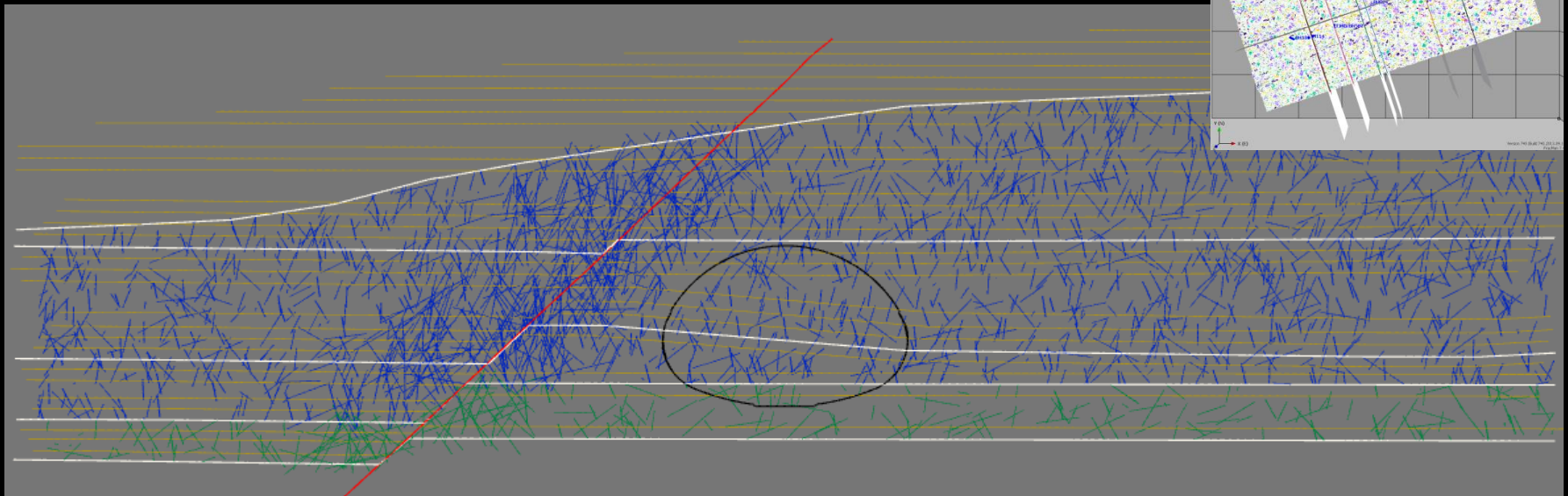
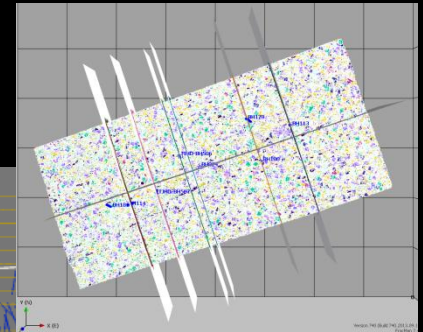
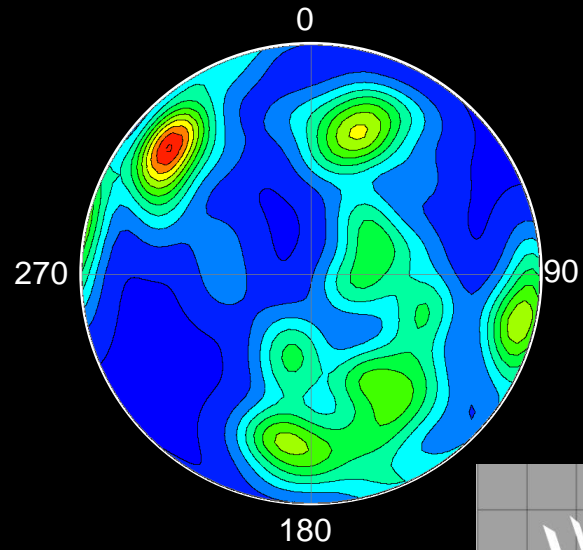
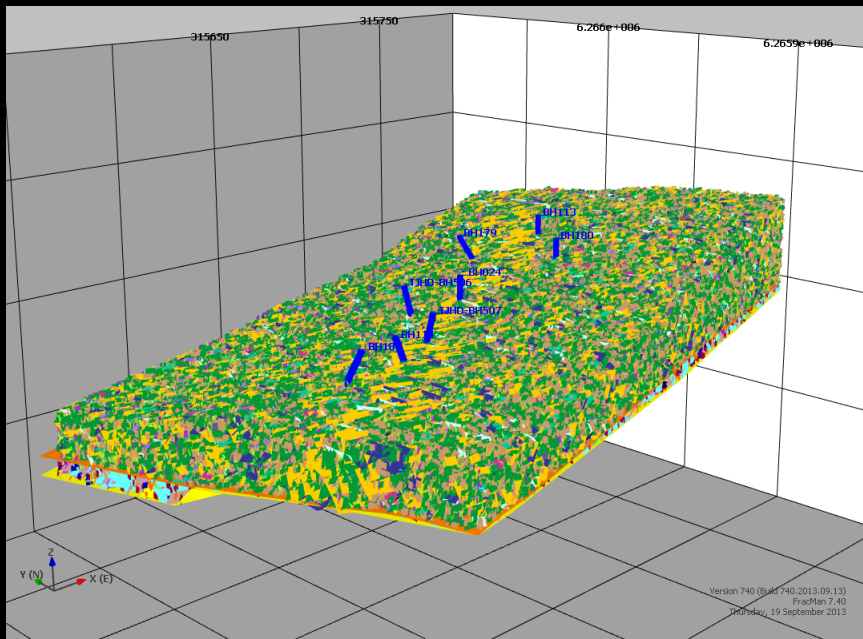




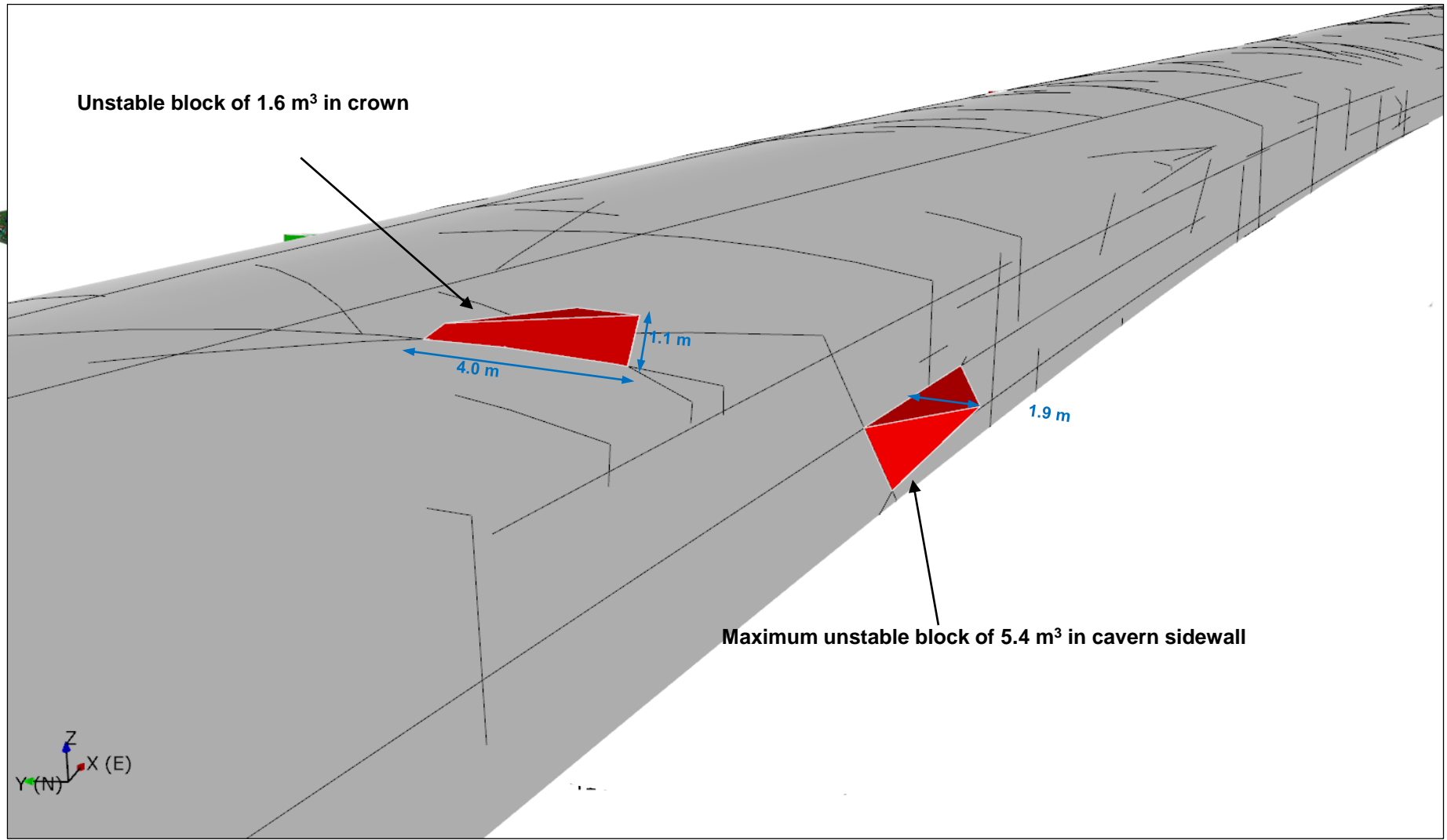
# Further research

## Coupled numerical modelling

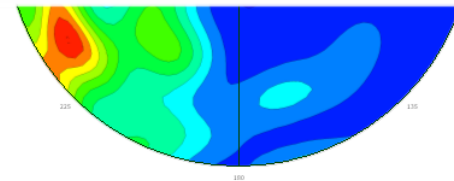
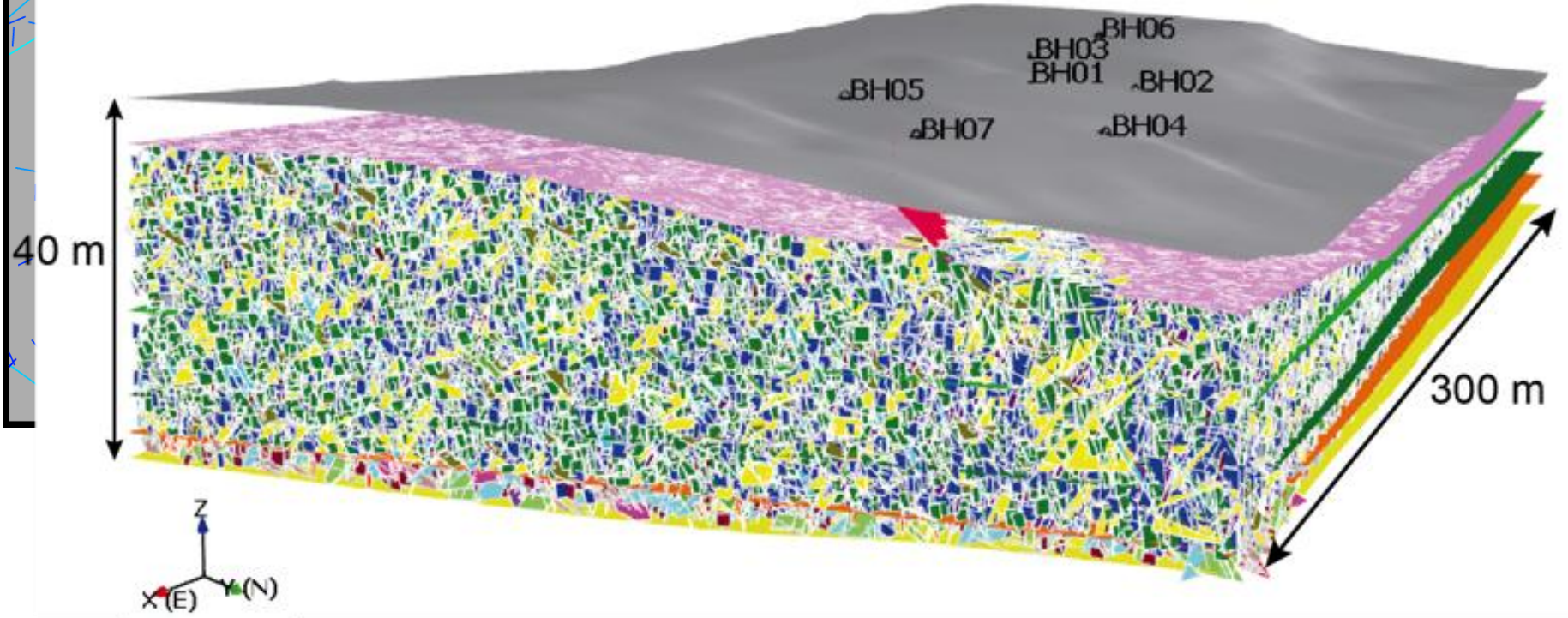
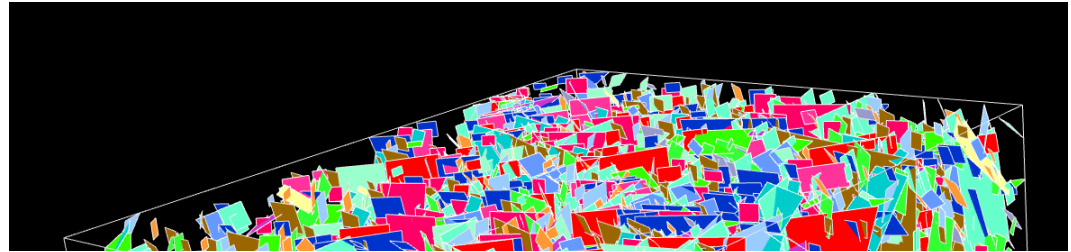
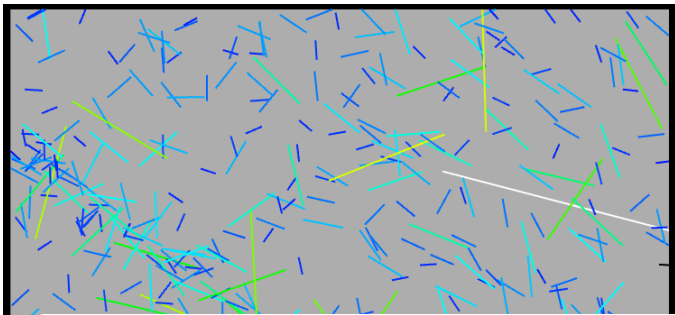




# Rock Wedge Analysis

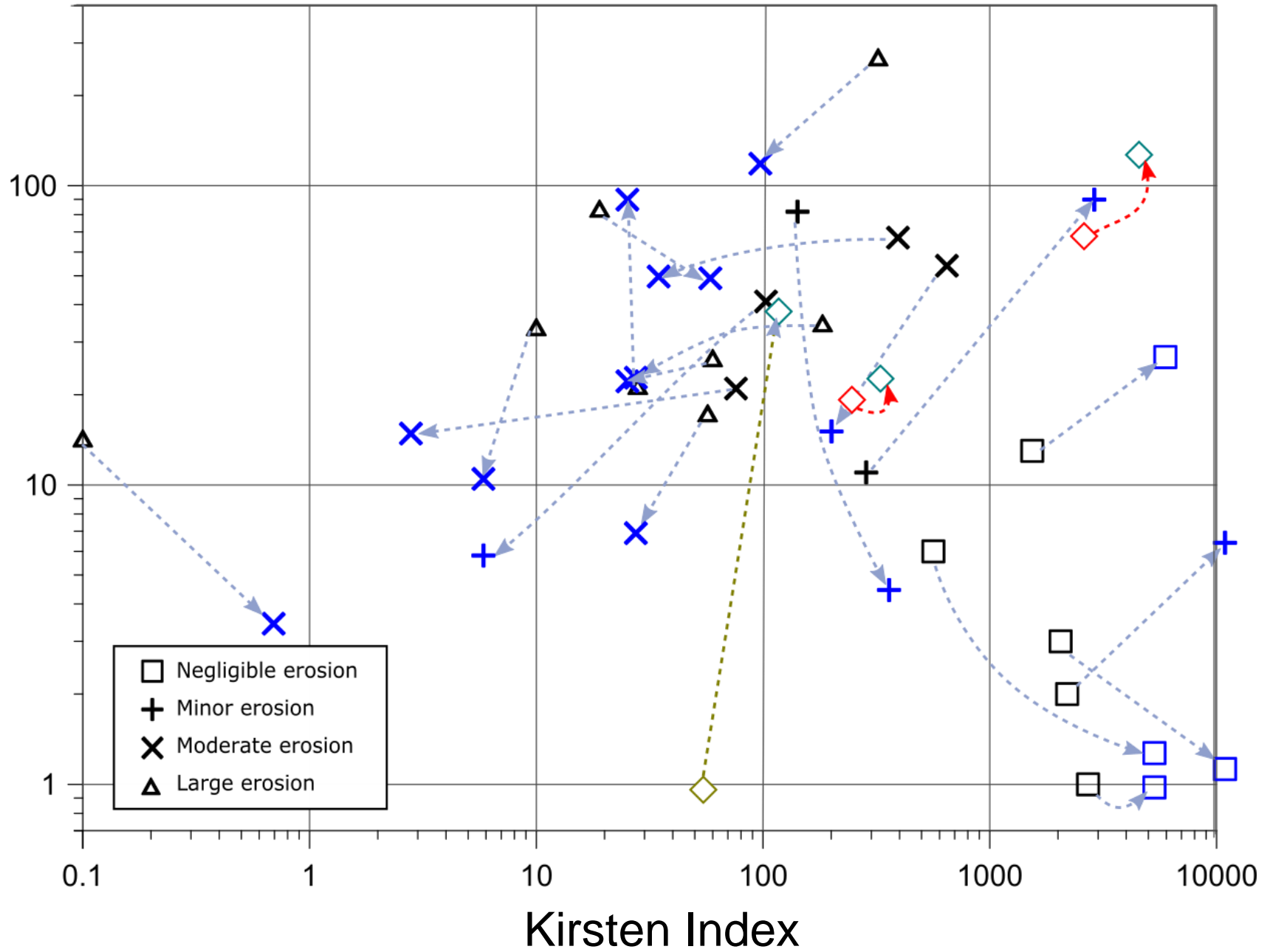






# Questions?

# Unit Stream Power Dissipation



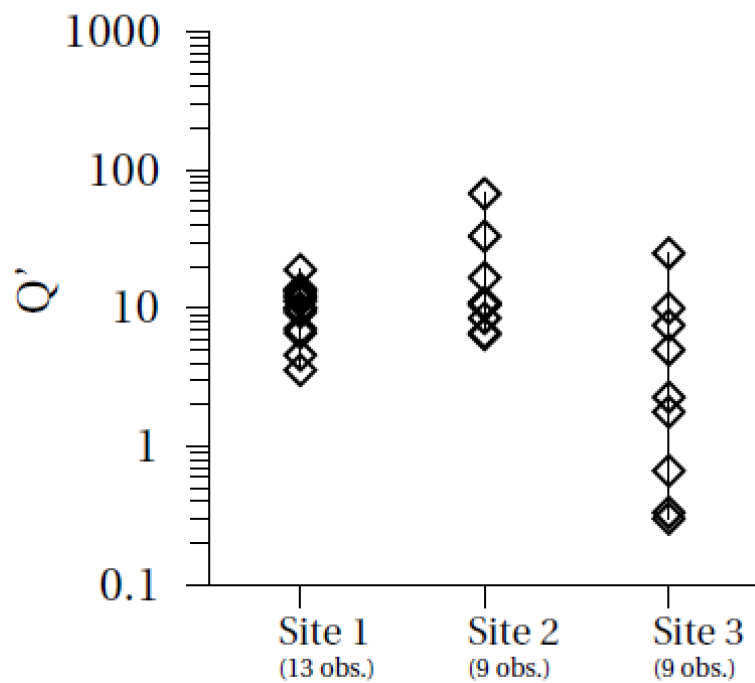
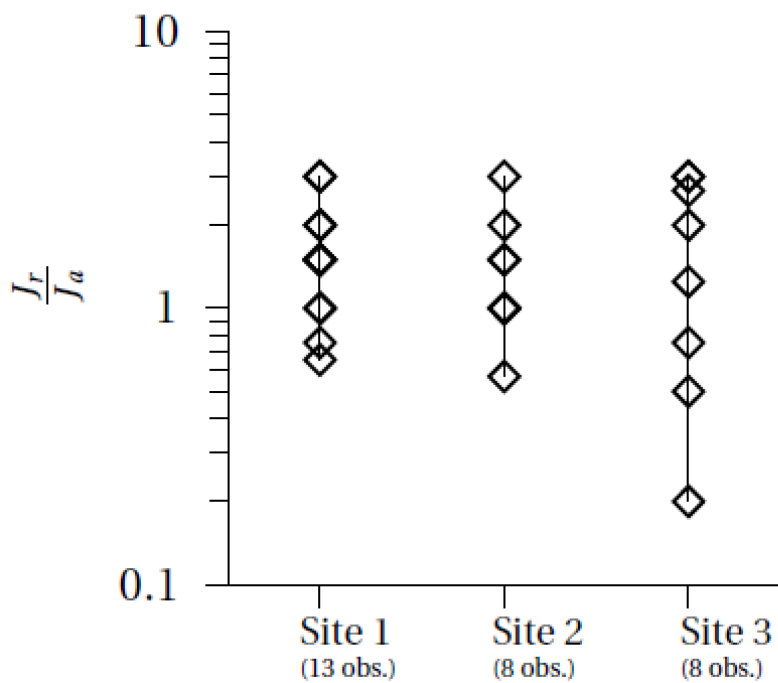
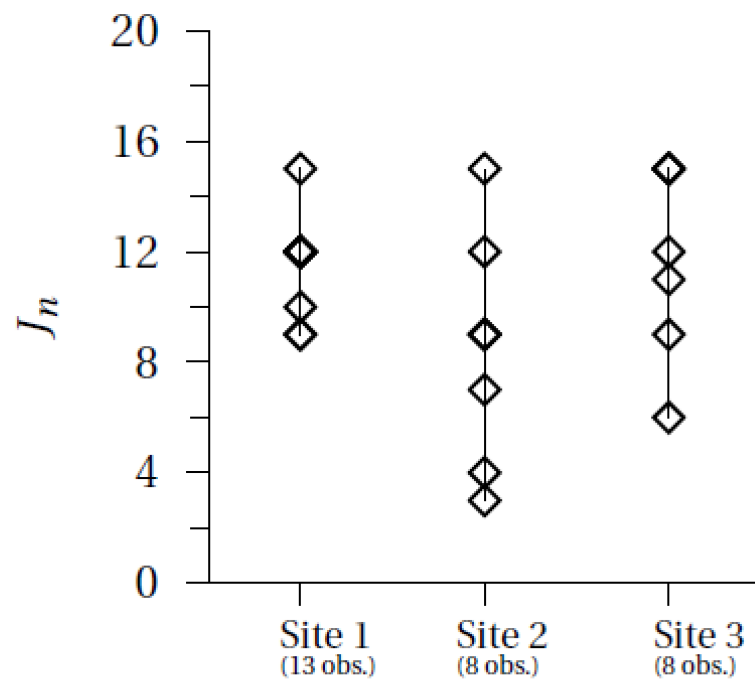
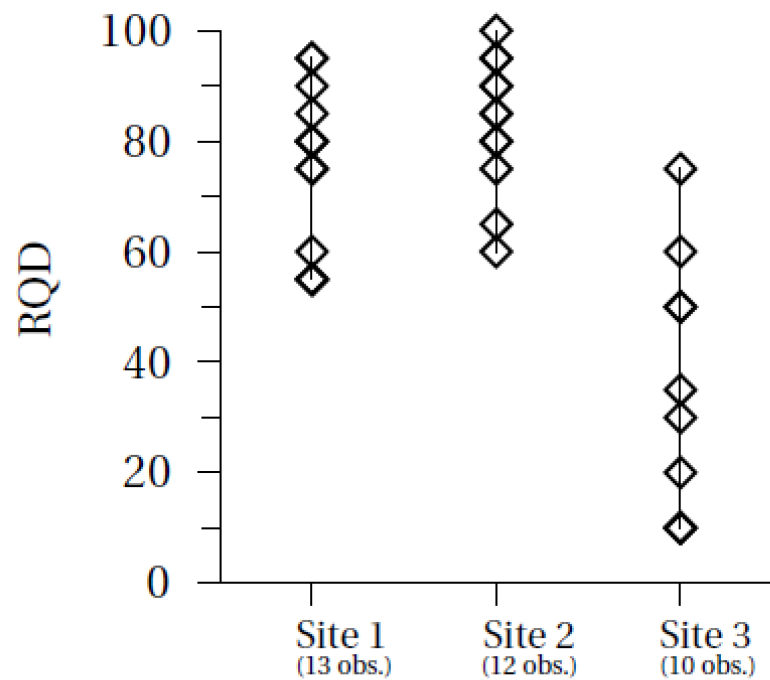


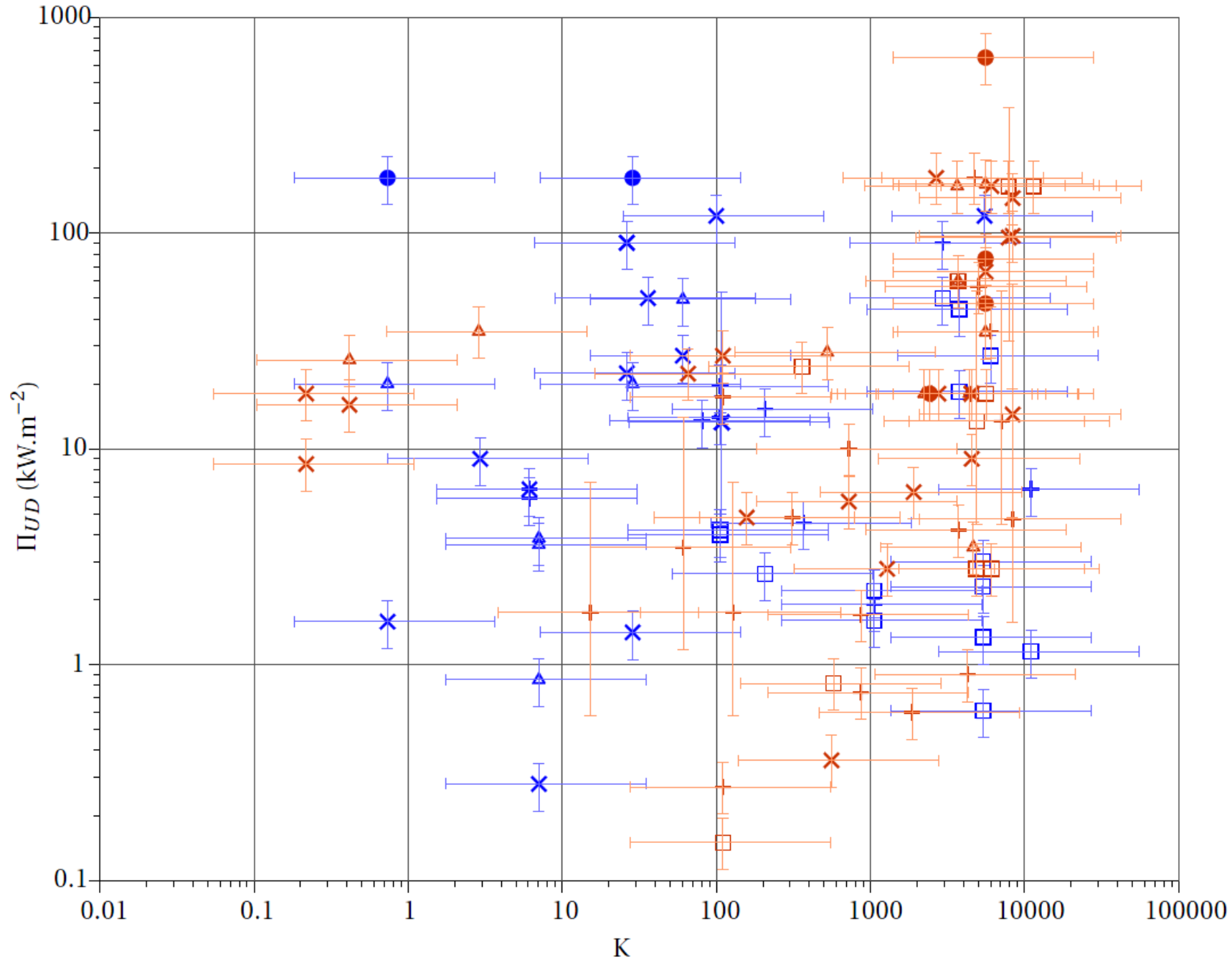
# Subjectivity in interpretation of Rock Mass Indices ...

## A 'blind test'

- Golder Associates – 1 person
- University of NSW - 1
- Douglas Partners - 2
- AECOM - 1
- URS - 1
- Pells Consulting - 2
- PSM 5

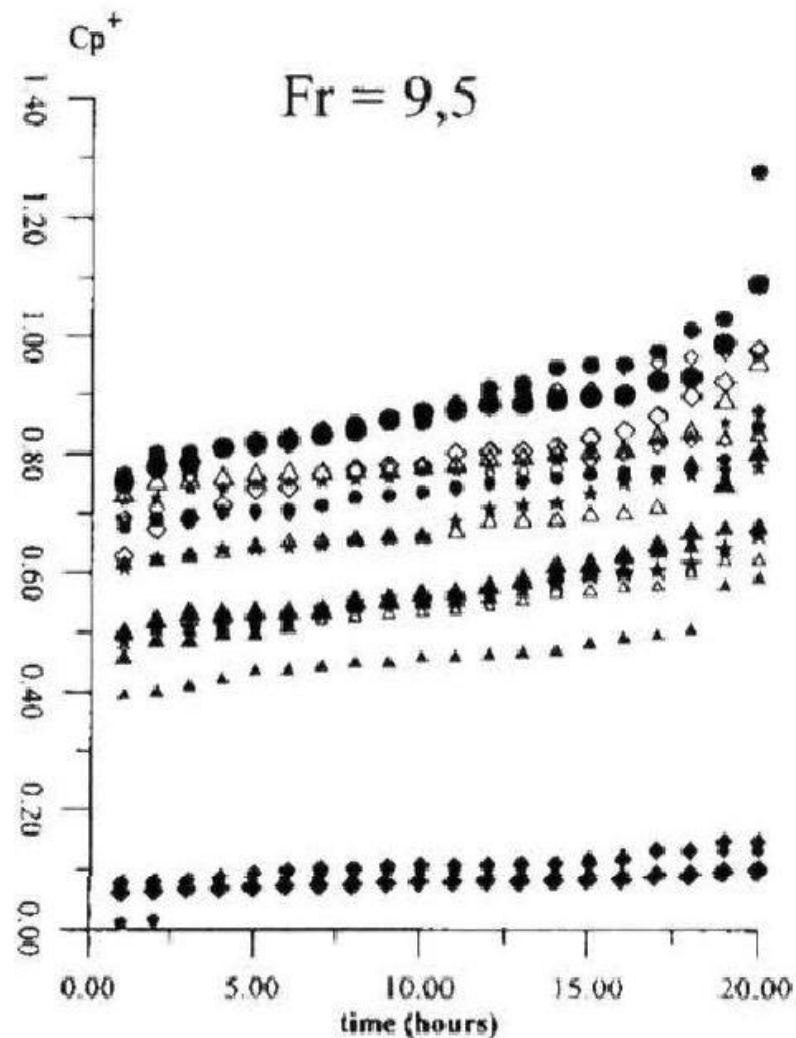
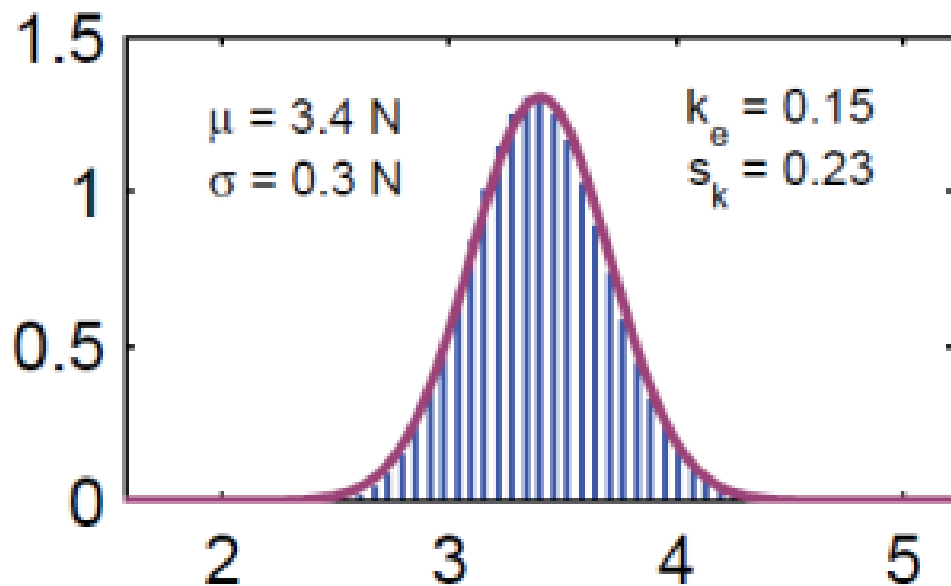








# Peak pressure?



Armenio et al. (2000)

Peak pressure?

eg:

$C_{p,max}$

Probability of a certain pressure ...

eg:

$$P(p = x) = \frac{1}{\sqrt{2\pi}\sigma_p} e^{-(x-\bar{p})^2/(2\sigma_p^2)}$$

$(\bar{C}_p, C_{p,\sigma})$



Considering statistics allows to assess time of erosion